



Implementation Oversight Task Force (IOTF) Meeting

Call #2 | 25 March 2016

Agenda

- ⦿ Opening Remarks (Jonathan/Lise)

- ⦿ Implementation Items (Trang)
 - PTI Structure
 - CSC Charter

- ⦿ AOB

- ⦿ Closing Remarks (Jonathan/Lise)

PTI Structure

PTI Requirements

Proposals	
Names	<p>CWG 1108: “In order to identify and isolate the IANA naming functions, both functionally and legally, from the ICANN entity, the CWG-Stewardship recommends the creation of a Post-Transition IANA (PTI).” – Also refer to ICG X006, ICG X025, ICG 14, & ICG 89.</p> <p>CWG 1097: “ICANN will enter into a contract with PTI, granting PTI the rights and obligations to serve as the IANA Functions Operator (IFO) for the naming functions, and setting forth the rights and obligations of ICANN and PTI.”</p> <p>CWG1105: “...for reasons of coherence of the IANA function and overall operational logistics, all of the IANA functions will be transferred to PTI. However, it is not clear at the time of writing whether the other operational communities will undertake to contract directly with PTI... or whether those communities will have a contract with ICANN. ...if the other operational communities enter into a contract with ICANN, then ICANN will need to subcontract the performance of the functions to PTI.”</p> <p>Joint statement from ICANN 54 Public Forum: “...the three operational communities are committed to working together to develop an implementation plan based on our proposal for the IPR and any other areas in the proposal which need coordination among the three operational communities.” (https://meetings.icann.org/en/dublin54/schedule/thu-public-forum/transcript-public-forum-22oct15-en.pdf)</p>

PTI Requirements

	Proposals
Numbers	<p>ICG X009: “The Numbers and Protocol Parameters communities have confirmed that they have no objection to ICANN subcontracting their parts of the IANA functions to PTI... The RIRs would establish a Service Level Agreement with ICANN for the performance of the numbering.”</p> <p>Numbers SLA 2.0 15.11.1: “Operator shall not sub-contract or delegate to a third party entity for its provision of the IANA Numbering Services under this Agreement without the prior written consent of the RIRs, such consent not to be unreasonably withheld.” (https://www.nro.net/wp-content/uploads/Numbers-SLA-2.0.pdf)</p> <p>Numbers SLA 2.0 15.11.2: “Notwithstanding the foregoing, any sub-contracting approved by the RIRs shall not release Operator from, or diminish, its contractual obligations under this Agreement and Operator shall remain fully liable to the RIRs under this Agreement.” (https://www.nro.net/wp-content/uploads/Numbers-SLA-2.0.pdf)</p> <p>CRISP comments to ICG: “we are willing to commit to coordinate with the other communities” (http://mm.ianacg.org/pipermail/internal-cg_ianacg.org/2015-October/001810.html)</p> <p>ICG 24: “The RIRs would establish a Service Level Agreement with ICANN for the performance of the numbering functions... The RIRs intend to allow sub-contracting with permission.”</p> <p>CRISP 2073: “ICANN to continue as the IANA Functions Operator for the IANA Numbering Services hereinafter referred to as the IANA Numbering Services Operator, via a contract with the RIRs ”</p> <p>CRISP 2076: “To maintain stability and continuity in operations of the IANA Numbering Services, very minimal changes to the arrangements listed in Section 2.2 are proposed, including the identification of the proposed initial IANA Numbering Services Operator... the RIRs have been very satisfied with the performance of ICANN in the role of the IANA Numbering Services Operator ...considering the Internet Number Community’s strong desire for stability and a minimum of operational change, the Internet Number Community believes that ICANN should remain in the role of the IANA Numbering Services Operator for at least the initial term of the new contract.</p> <p>Joint statement from ICANN 54 Public Forum: “...the three operational communities are committed to working together to develop an implementation plan based on our proposal for the IPR and any other areas in the proposal which need coordination among the three operational communities.” (https://meetings.icann.org/en/dublin54/schedule/thu-public-forum/transcript-public-forum-22oct15-en.pdf)</p>

PTI Requirements

	Proposals
Protocol Parameters	<p>ICG X009: “The Numbers and Protocol Parameters communities have confirmed that they have no objection to ICANN subcontracting their parts of the IANA functions to PTI... The IETF would maintain its existing Memorandum of Understanding with ICANN for the performance of the protocol parameters functions.”</p> <p>IANAPLAN 3060: “No new organizations or structures are required”</p> <p>IANAPLAN 3061: “IANA protocol parameters registry updates will continue to function day-to-day, as they have been doing for the last decade or more. The IETF community is very satisfied with the current arrangement with ICANN.”</p> <p>IAB comments to ICG: “...we understand that the existing MoU between the IETF and ICANN will continue without change. That is, the MoU remains the basis for the administration and maintenance of the protocol parameters registries. This means that ICANN will be effectively subcontracting the performance of its obligations to the PTI. We have no objection to this mode of operation because we understand that the obligations themselves will remain with ICANN.” (https://www.iab.org/documents/correspondence-reports-documents/2015-2/iab-comments-on-icg-proposal/)</p> <p>ICG 20: “The Protocol Parameters community proposed to continue to rely on the system of agreements, policies, and oversight mechanisms created by the IETF, ICANN, and IAB for the provision of the protocols parameters-related IANA functions... The IETF asked for three acknowledgements to be made as part of the transition: ... 2) That ICANN carries out the obligations established under C.7.3 and I.61 of the ICANN-NTIA IANA Functions Contract and 3) that ICANN, the IETF, and subsequent IANA Functions Operator(s) work together to minimize disruption in the use of the protocol parameters registries or other resources currently located at iana.org.”</p> <p>ICG 24: “The existing MoU between the IETF and ICANN is silent about sub-contracting, and therefore implicitly allows it.”</p> <p>Joint statement from ICANN 54 Public Forum: “...the three operational communities are committed to working together to develop an implementation plan based on our proposal for the IPR and any other areas in the proposal which need coordination among the three operational communities.” (https://meetings.icann.org/en/dublin54/schedule/thu-public-forum/transcript-public-forum-22oct15-en.pdf)</p>

Assessment of responsibilities

Current

	Names	Numbers	Protocol Parameters
Performance of IANA Function(s)	ICANN	ICANN	ICANN
Oversight Body	NTIA	NTIA	NTIA IAOC
Reporting By	ICANN	ICANN	ICANN
Policy Implementation	ICANN	ICANN	ICANN

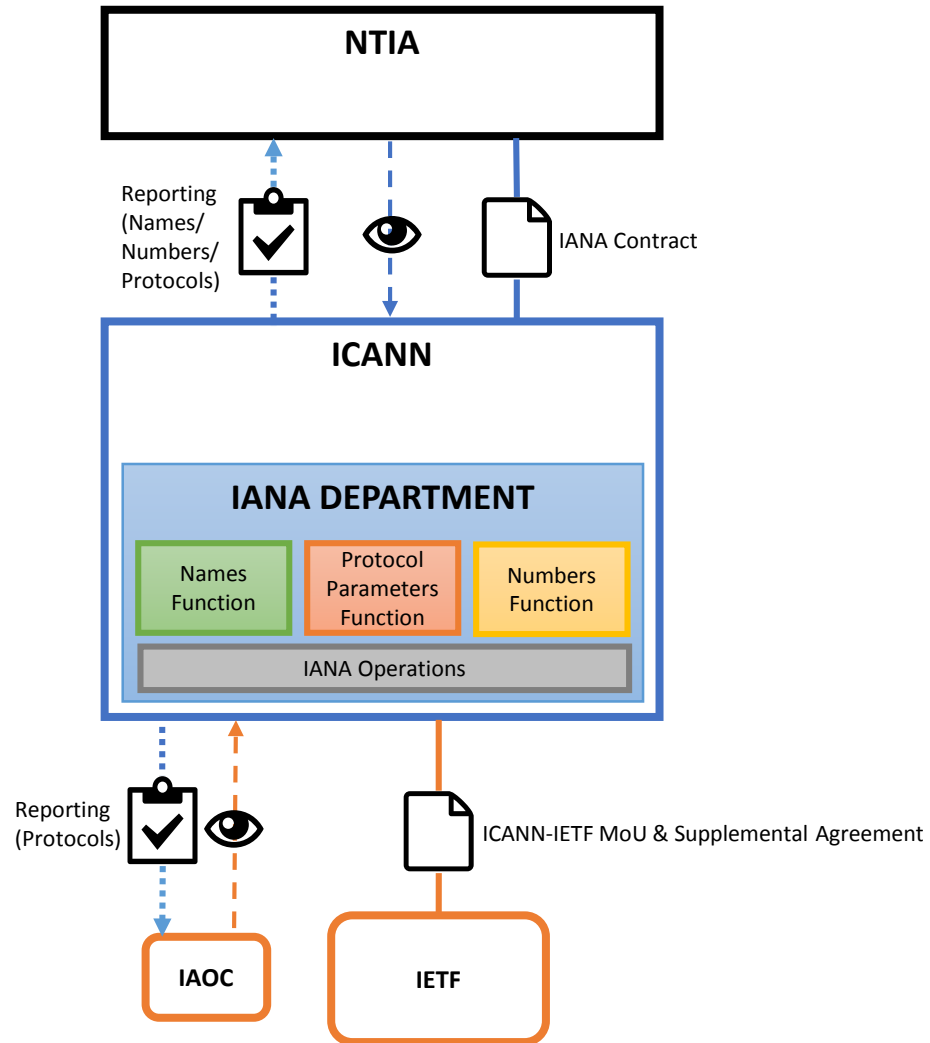
PTI - Names Only

	Names	Numbers	Protocol Parameters
Performance of IANA Function(s)	PTI	ICANN	ICANN
Oversight Body	CSC	RIR Review Committee	IAOC
Reporting By	PTI	ICANN	ICANN
Policy Implementation	ICANN PTI	ICANN	ICANN

PTI - All 3 IANA functions

	Names	Numbers	Protocol Parameters
Performance of IANA Function(s)	PTI	PTI	PTI
Oversight Body	CSC	ICANN RIR Review Committee	ICANN IAOC
Reporting By	PTI	PTI ICANN	PTI ICANN
Policy Implementation	ICANN PTI	ICANN PTI	ICANN PTI

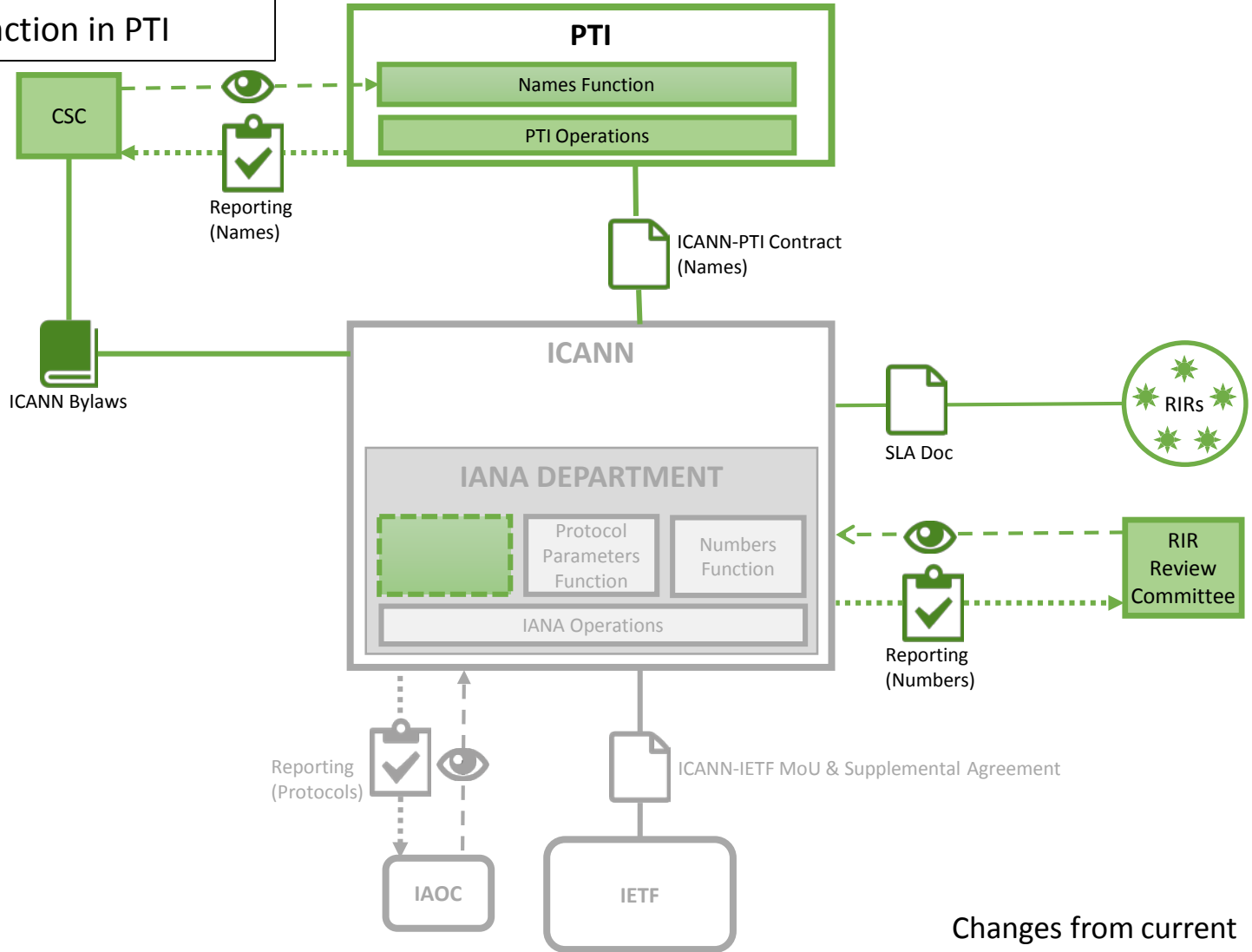
Oversight / Services / Reporting Currently Structure



Oversight / Services / Reporting

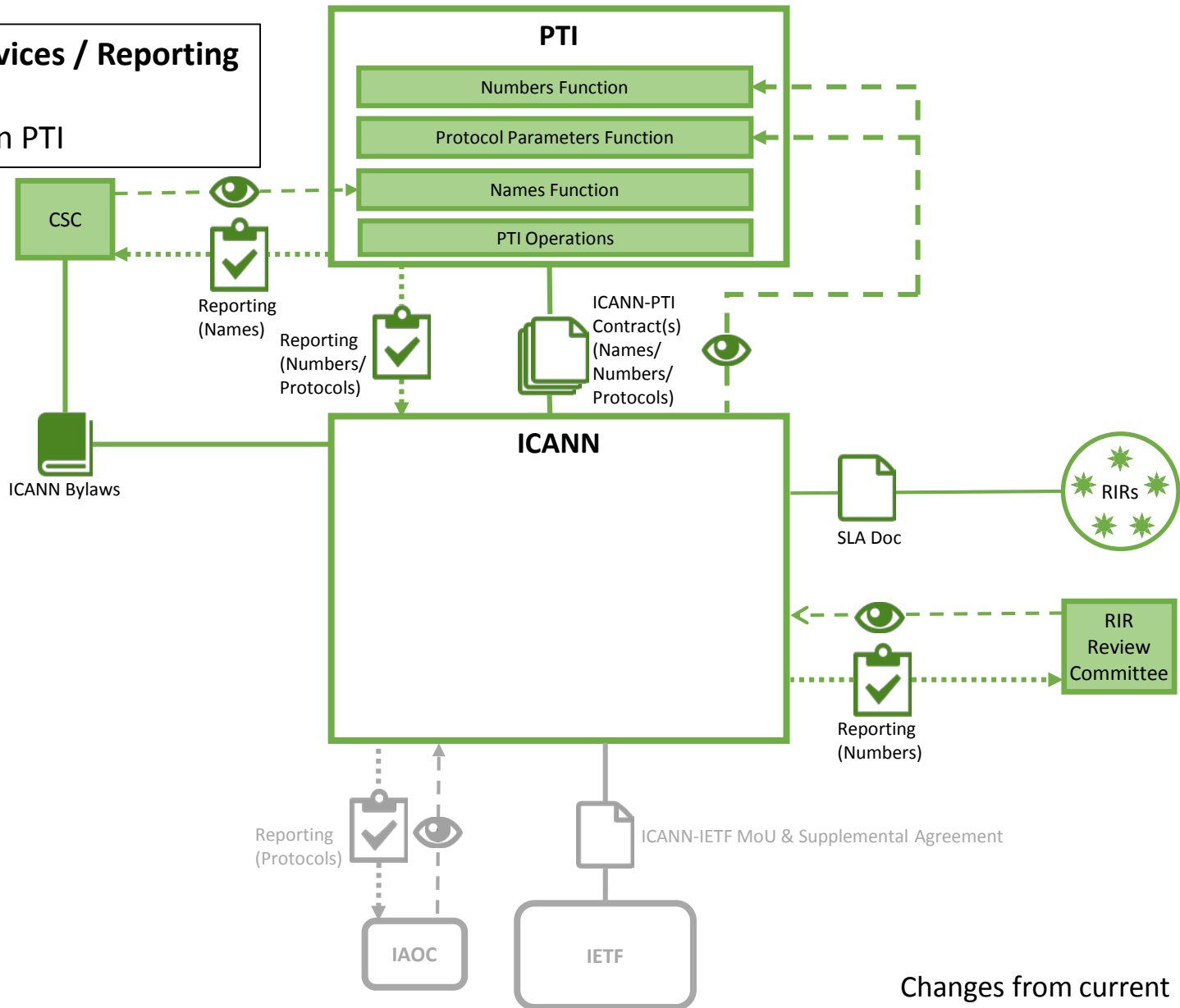
Post-Transition:

Only Names function in PTI



Changes from current structure highlighted in green

Oversight / Services / Reporting
Post-Transition:
All 3 functions in PTI



Changes from current structure highlighted in green

CSC Charter

CSC Charter

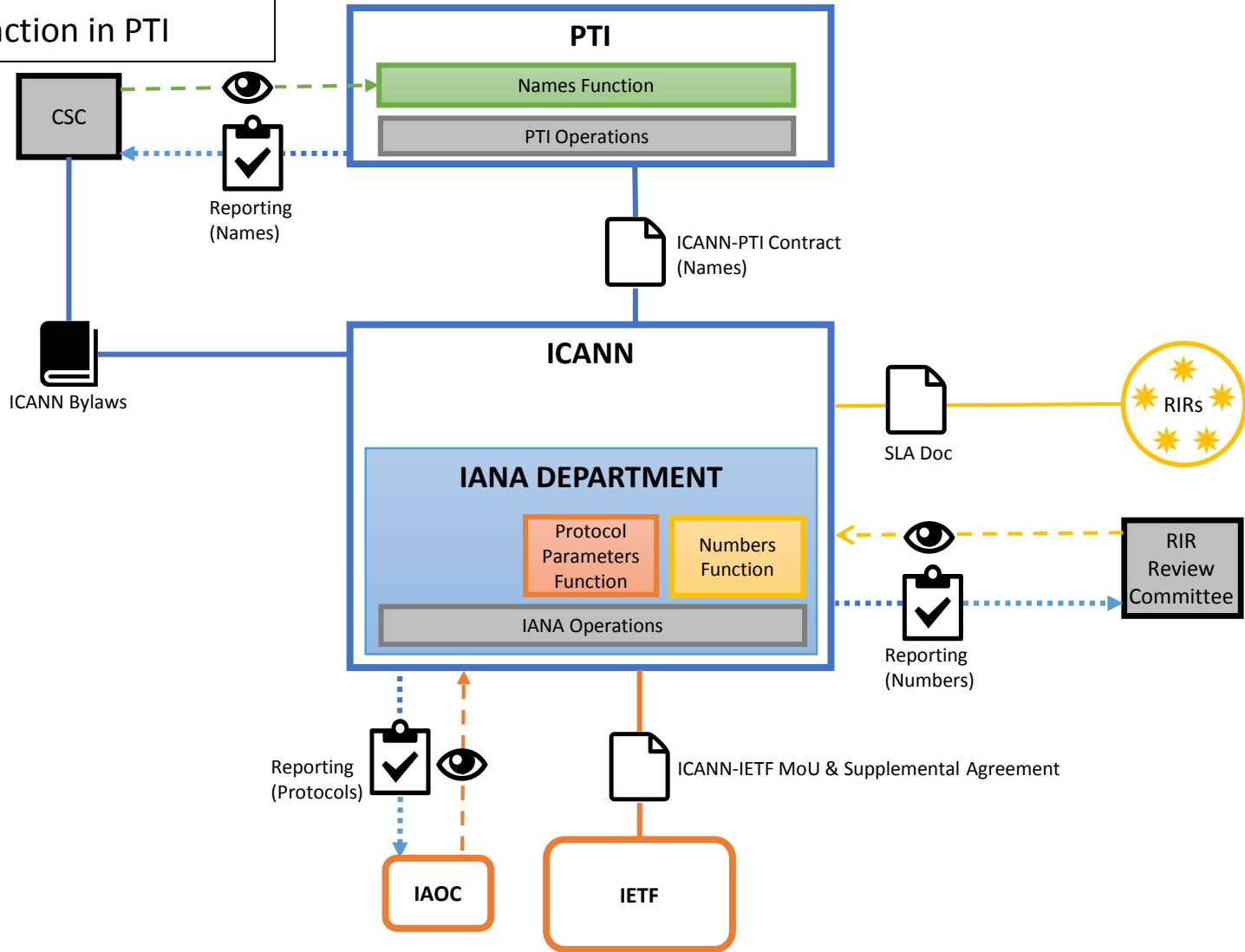
	Proposals	Questions / Comments
1	CWG 1334: “The full membership of the CSC must be approved by the ccNSO and the GNSO.”	Initial selections only or ongoing selections including interim members due to recall?
2	CWG 1334: “The full membership of the CSC must be approved by the ccNSO and the GNSO... they will take into account the overall composition of the proposed CSC in terms of geographic diversity and skill sets. ”	Skill set requirements need to be defined.
3	CWG 1327: “The CSC should be kept small and comprise representatives with direct experience and knowledge of IANA naming functions. At a minimum the CSC will comprise: <ul style="list-style-type: none"> • Two gTLD Registry Operators. • Two ccTLD Registry Operators. • One additional TLD representative not considered a ccTLD or gTLD registry operator such as the IAB for .ARPA could also be included in the minimum requirements but is not mandatory. • One liaison from the IANA Functions Operator (PTI).” 	Role of PTI needs to be clarified.
4	CWG 1327: “The CSC should be kept small and comprise representatives with direct experience and knowledge of IANA naming functions. At a minimum the CSC will comprise: <ul style="list-style-type: none"> • Two gTLD Registry Operators. • Two ccTLD Registry Operators. • One additional TLD representative not considered a ccTLD or gTLD registry operator such as the IAB for .ARPA could also be included in the minimum requirements but is not mandatory. • One liaison from the IANA Functions Operator (PTI).” 	TLD representative not considered a ccTLD or gTLD registry operators needs clarification.
5	N/A	CSC voting threshold needs to be defined.
6	N/A	“ccNSO” is mentioned throughout the CSC Charter. Needs clarification whether it means “ccNSO Council” or “ccNSO Council and ccTLDs.”

Appendix

List of implementation item requiring input from IOTF

Category	Items	Priority
PTI	Selection of PTI independent Board of Directors	High
PTI	PTI structure	High
CSC	CSC charter	High
RZERC	RZERC charter	High
PTI	PTI governance documents	Medium
PTI	ICANN-PTI Contract	Medium
IANA Escalation Mechanisms	IANA Customer Service Complaint Resolution Process	Low
IANA Escalation Mechanisms	IANA Problem Resolution Process	Low
IANA IPR	TBD	Low

Oversight / Services / Reporting
Post-Transition:
Only Names function in PTI



Oversight / Services / Reporting
Post-Transition:
All 3 functions in PTI

