

September 24, 2014

Internet Corporation for Assigned Names and Numbers ("ICANN") 12025 Waterfront Drive, Suite 300 Los Angeles, California 90094 Attention: New gTLD Program Staff

#### RE: Application for .Brand TLD Designation

Tata Sons Limited ("Registry Operator"), in connection with the execution of the Registry Agreement for the [.tata] TLD (the "Registry Agreement"), hereby applies for [.tata] TLD to be qualified by ICANN as a .Brand TLD.

Registry Operator confirms and represents to ICANN that the TLD meets each of the criteria for the TLD to be qualified as a .Brand TLD, as described in the .Brand TLD Application Process and Specification 13 attached thereto, and that all supplemental material accompanying this application is accurate and not misleading in any respect. Registry Operator also represents that the trademark registration attached hereto as <a href="Exhibit A">Exhibit A</a> and the registration policies attached hereto as <a href="Exhibit B">Exhibit B</a> are complete and accurate copies of the official trademark registration and Registry Operator's registration policies for the TLD, respectively.

Registry Operator agrees that if Registry Operator makes any changes to its registration policies for the TLD (whether before or after this application has been approved) that may disqualify the TLD as a .Brand TLD, it will promptly provide ICANN with a complete and accurate copy of the revised registration policies. In addition, if Registry Operator fails to maintain the trademark registration underlying its .Brand TLD application, it shall promptly notify ICANN of such failure. Registry Operator also agrees to maintain the criteria required to qualify as a .Brand TLD and to immediately notify ICANN of any changes in circumstances that could alter the statements made, and supporting materials provide with, this application.

Registry Operator acknowledges and agrees that this letter is binding on Registry Operator and, if any of the foregoing representations and agreements becomes untrue or not complied with, it shall be deemed a breach of the Registry Agreement by Registry Operator, and ICANN may assert its rights under the Registry Agreement, including by determining that the TLD no longer qualifies as a Brand TLD pursuant to the terms of Specification 13.

Questions about this request should be directed to the undersigned.

Submitted by: Position:

Dated:

Email:

Manager Legal 24.09.2014



### Exhibit A

## Trademark Registration

Country	Trademark	Appl. / Reg.	Class	SMD ID
		No.		
India	TATA	1590660	16	
USA	TATA	4031815	6, 9, 16, 30,	
			6, 9, 16, 30, 41, 42	







भारत सरकार

### **GOVERNMENT OF INDIA**

व्यापार चिन्ह रजिस्ट्री TRADE MARKS REGISTRY

क्रमांक No.

व्यापार चिन्ह अधिनियम, 1999

Trade Marks Act, 1999

व्यापार चिन्ह के रजिस्ट्रीकरण का प्रमाणपत्र, धारा 23 (2) नियम 62 (1) Certificate of Registration of Trade Mark, Section 23 (2), Rule 62 (1)

व्यापार चिन्ह संख्या/Trade Mark No.

दिनांक/ Date

ज.संख्या/ J.No.

13/08/2007 यह प्रमाणित किया जाता है कि जिस प्रकार चिन्ह की समाकृति इसके साथ संलग्न है, वह ...

1399

नाम से रजिस्टीकृत हो चुका है।

Certified that the Trade Mark / a representation is annexed hereto, has been registered in the name(s) of

TATA SONS LIMITED, A COMPANY INCORPORATED UNDER THE COMPANIES ACT, BOMBAY HOUSE, 24 HOMI MODY STREET, MUMBAI - 400 001., MANUFACTURER AND MERCHANTS, (Body Incorporate)

In Class

Under No.

as of the Date

in respect of

1590660

13 August 2007

PAPER, PRINTED MATTER, CARDBOARD AND GOODS MADE FROM THESE MATERIALS NOT INCLUDED IN OTHER



TATA

मेरे निदेश पर आज

..... वे दिन को इस पर मुद्रा लगायी गई

Sealed at my direction, this

**29<sup>th</sup>** 

day of

September, 2009

व्यापार चिन्ह रजिस्टी

Trade Marks Regist Numbai

**Registrar of Trade Marks** 

रजिस्ट्रीकरण आवेदन की तारीख से १० वर्ष के लिए है और तदुपरान्त वह १० वर्ष की कालावधि के लिए और प्रत्येक १० वर्ष की कालावधि के अवसान पर भी नवीनीकृत किया जा सकेगा। Registration is for 10 years from the date of application and may then be renewed for a period of 10 years and also at the expiration of each period of 10 years. यह प्रमाणपत्र विधि कार्यवाहियों मे प्रयोग के लिये या विदेश में रजिस्ट्रीकरण अभिप्राप्त करने के लिये नहीं है। This certificate is not for use in Legal proceedings or for obtaining Registration abroad.

टिप्पणी - इस व्यापार विन्ह के स्वामित्व में कोई परिवर्तन होने पर, या कारेबार के मुख्य स्थान के पते में या भारत में तामील के लिये पते में परिवर्तन होने पर परिवर्तन के लिये आवेदन

Note: Upon any change of ownership of this Trade Mark, or change in address, of the principal place of business or address for service in India a request should AT ONCE be made to register the change.

## United States of America United States Patent and Trademark Office

# TATA

Reg. No. 4,031,815

Registered Sep. 27, 2011 24 HOMI MODY STREET

Int. Cls.: 6, 9, 16, 30, 41,

and 42

**TRADEMARK** 

SERVICE MARK

PRINCIPAL REGISTER

TATA SONS LIMITED (INDIA COMPANY)

**BOMBAY HOUSE** 

MUMBAI, INDIA 400001

FOR: COMMON METALS AND THEIR ALLOYS FOR FURTHER MANUFACTURE; STEEL WIRES; TINPLATE FOR FURTHER MANUFACTURE; METAL BUILDING MATERIAL, NAMELY, STRUCTURAL STEEL, DECKING; HOT ROLLED STEEL BARS, PLATES, SHEETS AND COILS; COLD ROLLED STEEL SHEETS AND COILS; GALVANIZED STEEL WIRE; STAINLESS STEEL BARS; HIGH CARBON STEEL WIRE; STEEL RODS, STEEL IN BILLET FORM; METAL FASTENERS, NAMELY, METAL PIPES AND STEEL PLATES; TRANSPORT-ABLE BUILDINGS OF METAL; MATERIALS OF METAL FOR RAILWAY TRACKS; NON-ELECTRIC CABLES AND WIRES OF COMMON METAL; AND PIPES AND TUBES OF METAL; COMMON METAL BOLTS; LININGS OF METAL FOR BUILDING PURPOSES, IN CLASS 6 (U.S. CLS. 2, 12, 13, 14, 23, 25 AND 50).

FIRST USE 1-31-1999; IN COMMERCE 6-30-1999.

FOR: COMPUTER PROGRAMS FOR WIRELESS COMMUNICATIONS FOR USE IN BANK-ING, SECURITIES, MANUFACTURING, FINANCIAL SERVICE INDUSTRY, PAYMENT SYSTEMS APPLICATIONS, INSURANCE, HEALTHCARE, RETAIL, ELECTRONIC COM-MERCE, LOCATION IDENTIFICATION AND E-GOVERNANCE APPLICATIONS; A FULL LINE OF COMPUTER SOFTWARE FOR BUSINESS, HOME, EDUCATION, AND DEVELOPER USE, NAMELY, COMPUTER PROGRAMS FOR USE AND FACILITATING SERVICES IN BANKING, SECURITIES, MANUFACTURING, FINANCIAL SERVICE INDUSTRY, PAYMENT SYSTEMS APPLICATIONS, INSURANCE, HEALTHCARE, AND RETAIL INDUSTRIES: COMPUTER PROGRAMS FOR DEVELOPING SYSTEM MANAGEMENT SOLUTIONS; COMPUTER PROGRAMS FOR SYSTEMS MANAGEMENT, NAMELY, NETWORK MAN-AGEMENT, ASSET MANAGEMENT, CONFIGURATION MANAGEMENT, PERFORMANCE MANAGEMENT, FAULT MANAGEMENT, DISTRIBUTION MANAGEMENT, SECURITY MANAGEMENT AND ADMINISTRATION; COMPUTER SOFTWARE FOR COMPUTER SOURCE CODE REVIEW AND SOFTWARE MODELING; COMPUTER SYSTEMS COM-PRISED OF OPERATING PROGRAMS, SOFTWARE USED FOR BANKING, SECURITIES, MANUFACTURING, FINANCIAL SERVICE INDUSTRY, PAYMENT SYSTEMS APPLICA-TIONS, INSURANCE, HEALTHCARE, AND RETAIL INDUSTRIES, DATABASE MANAGE-MENT PROGRAMS, APPLICATION SOFTWARE, TRANSACTION PROCESSING PROGRAMS, SECURITY SOFTWARE; COMPUTER OPERATING SYSTEM SOFTWARE TO FACILITATE



Director of the United States Patent and Trademark Office

 $Reg.\ N_0.\ 4,031.815$  computer use and operation; computer operating system software: COMPUTER OPERATING PROGRAMS; COMPUTER HARDWARE AND SOFTWARE FOR THE DEVELOPMENT, MAINTENANCE, AND USE OF LOCAL AND WIDE AREA COM-PUTER NETWORKS; SOFTWARE FOR USE IN OPERATING AND MAINTAINING COM-PUTER SYSTEMS, NAMELY, OPERATING SYSTEMS, DATABASE MANAGEMENT SYS-TEMS, TRANSACTION PROCESSING SYSTEM, SECURITY SOFTWARE; ALGORITHM SOFTWARE PROGRAMS FOR THE OPERATION AND CONTROL OF COMPUTERS; STRUCTURAL PARTS FOR ALL THE AFORESAID GOODS; AND USER MANUALS FOR USE WITH, AND SOLD AS A UNIT WITH, ALL THE AFORESAID GOODS; COMPUTER OPERATING PROGRAMS USED FOR BANKING, SECURITIES, MANUFACTURING, FIN-ANCIAL SERVICE INDUSTRY, PAYMENT SYSTEMS APPLICATIONS, INSURANCE, HEALTHCARE, AND RETAIL INDUSTRIES, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 7-1-1999; IN COMMERCE 10-1-1999.

FOR: ENVELOPES, INDEX CARDS, BUSINESS FORMS; COMPUTER PRINTOUT PAPER AND NOTE PADS, COMPOSITOR AND TYPEWRITER RIBBONS: IMAGE TRANSFER PAPER: PERIODICAL PUBLICATIONS CONTAINING ARTICLES ABOUT DATA PROCESSING AND SUBJECTS OF GENERAL INTEREST; INSTRUCTION BOOKS FOR THE DESIGN, CONSTRUCTION, MAINTENANCE, OPERATION AND PROGRAMMING OF DATA PRO-CESSING EQUIPMENT; ELECTRIC TYPEWRITERS; MAGNETIC MEDIA TYPEWRITERS; PRINTING TYPES; AD PRINTING TYPING ELEMENTS; PRINTED MATTER, NAMELY, INSTRUCTIONAL MANUALS FOR COMPUTER PROGRAMS, MAGAZINES, NEWSLETTERS, NEWSPAPER INSERTS AND BOOKLETS, ALL IN THE FIELDS OF COMPUTER SOFTWARE, COMPUTERS, COMPUTER SYSTEM HARDWARE, NETWORK HARDWARE, TELECOM-MUNICATIONS, INFORMATION TECHNOLOGY, WORD PROCESSING, DATABASE MANAGEMENT, MULTIMEDIA, ENTERTAINMENT EQUIPMENT AND E-COMMERCE; PRINTED MATTER, NAMELY, INSTRUCTIONAL MANUALS FOR COMPUTER PROGRAMS, MAGAZINES, NEWSLETTERS, NEWSPAPER INSERTS AND BOOKLETS, ALL IN THE FIELDS OF DESIGNING, CREATING, IMPLEMENTING AND MAINTAINING WEB SITES FOR OTHERS, COMPUTER SYSTEMS AND NETWORKS, AND COMPUTER PROGRAMMING FOR OTHERS, ALL IN RELATION TO COMMERCIAL INTERACTIONS OVER A GLOBAL COMPUTER NETWORK, IN CLASS 16 (U.S. CLS. 2, 5, 22, 23, 29, 37, 38 AND 50).

FIRST USE 10-31-1999; IN COMMERCE 1-31-2000.

FOR: TEA, COFFEE, RICE, SPICES, FLOUR AND PREPARATIONS MADE FROM CEREALS, NAMELY, PROCESSED CEREALS AND BREAKFAST CEREALS; BREAD, PASTRY AND CONFECTIONERY ITEMS, NAMELY, PASTRIES, CHOCOLATES AND FUDGE BARS; HONEY, MUSTARD, SALT, AND SAUCES, IN CLASS 30 (U.S. CL. 46).

FIRST USE 5-19-1964; IN COMMERCE 5-19-1964.

FOR: CONDUCTING SIMULATION WORKSHOPS IN THE FIELDS OF PERFORMANCE ENHANCING PRODUCTS, CONTENT DESIGN AND DEVELOPMENT, TECHNOLOGY SOLUTIONS IN THE FIELDS OF BUSINESS STUDIES, UNIVERSITY AND SCHOOL EDU-CATION, LANGUAGE TRAINING, TRAINING SERVICES IN THE FIELD OF INFORMATION TECHNOLOGY, GOVERNMENT AND DEFENSE, AIRLINES, HEALTHCARE, RETAIL, TELECOM, MANUFACTURING, BANKING, INSURANCE AND FAST MOVING CONSUMER GOODS, IN CLASS 41 (U.S. CLS. 100, 101 AND 107).

FIRST USE 10-31-2006; IN COMMERCE 10-31-2006.

FOR: DATABASE DEVELOPMENT SERVICES, NAMELY, INFORMATION TECHNOLOGY INFRASTRUCTURE DEVELOPMENT: COMPUTER PROJECT MANAGEMENT SERVICES FEATURING THE DEVELOPMENT OF INFORMATION TECHNOLOGY INFRASTRUC-TURES; COMPUTER NETWORK DESIGN FOR OTHERS; COMPUTER TECHNOLOGY CONSULTATION: COMPUTER PROGRAMMING FOR OTHERS: COMPUTER SOFTWARE

## $Reg.\ No.\ 4,031,815\ \ {\rm DESIGN}\ FOR\ OTHERS\ AND\ MECHANICAL,\ ELECTRICAL\ AND\ SYSTEMS\ ENGINEERING\ SERVICES\ FOR\ OTHERS,\ IN\ CLASS\ 42\ (U.S.\ CLS.\ 100\ AND\ 101).$

FIRST USE 11-30-1975; IN COMMERCE 11-30-1975.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 2,814,916, 2,929,070, AND OTHERS.

SN 77-024,615, FILED 10-19-2006.

KELLY BOULTON, EXAMINING ATTORNEY

Page: 3 / RN # 4,031,815

## REQUIREMENTS TO MAINTAIN YOUR FEDERAL TRADEMARK REGISTRATION

WARNING: YOUR REGISTRATION WILL BE CANCELLED IF YOU DO NOT FILE THE DOCUMENTS BELOW DURING THE SPECIFIED TIME PERIODS.

Requirements in the First Ten Years\* What and When to File:

First Filing Deadline: You must file a Declaration of Use (or Excusable Nonuse) between the 5th and 6th years after the registration date. See 15 U.S.C. §§1058, 1141k. If the declaration is accepted, the registration will continue in force for the remainder of the ten-year period, calculated from the registration date, unless cancelled by an order of the Commissioner for Trademarks or a federal court.

**Second Filing Deadline:** You must file a Declaration of Use (or Excusable Nonuse) **and** an Application for Renewal between the 9th and 10th years after the registration date.\* See 15 U.S.C. §1059.

Requirements in Successive Ten-Year Periods\* What and When to File:

You must file a Declaration of Use (or Excusable Nonuse) **and** an Application for Renewal between every 9th and 10th-year period, calculated from the registration date.\*

#### **Grace Period Filings\***

The above documents will be accepted as timely if filed within six months after the deadlines listed above with the payment of an additional fee.

The United States Patent and Trademark Office (USPTO) will NOT send you any future notice or reminder of these filing requirements.

\*ATTENTION MADRID PROTOCOL REGISTRANTS: The holder of an international registration with an extension of protection to the United States under the Madrid Protocol must timely file the Declarations of Use (or Excusable Nonuse) referenced above directly with the USPTO. The time periods for filing are based on the U.S. registration date (not the international registration date). The deadlines and grace periods for the Declarations of Use (or Excusable Nonuse) are identical to those for nationally issued registrations. See 15 U.S.C. §§1058, 1141k. However, owners of international registrations do not file renewal applications at the USPTO. Instead, the holder must file a renewal of the underlying international registration at the International Bureau of the World Intellectual Property Organization, under Article 7 of the Madrid Protocol, before the expiration of each ten-year term of protection, calculated from the date of the international registration. See 15 U.S.C. §1141j. For more information and renewal forms for the international registration, see http://www.wipo.int/madrid/en/.

NOTE: Fees and requirements for maintaining registrations are subject to change. Please check the USPTO website for further information. With the exception of renewal applications for registered extensions of protection, you can file the registration maintenance documents referenced above online at <a href="http://www.uspto.gov">http://www.uspto.gov</a>.

#### **Exhibit B**

#### **TLD Registration Polices**

#### .TATA TLD REGISTRY POLICIES

Tata's registry policies are designed to follow the highest of standards and meet the criteria for global operations.

#### 1. ELEGIBILITY

TATA will be used for TATA group of companies. It will only be available to the registrants of TATA only (including the Sunrise period).

#### 2. TERM OF REGISTRATION / RENEWAL

<u>Initial Term</u> - In general, domains will be offered for periods of one (1) to ten (10) years, but no greater than ten years.

<u>Renewal</u> - The term may be extended at any time for a period of one (1) to ten (10) years provided that the total aggregate term of the Domain Name does not exceed ten (10) years at any time.

<u>Cancellation</u> - The Registrant may cancel a Domain Name registration at any time by submitting its request in writing with the Registrar.

#### 3. ROLL OUT

The roll-out of our TLD is anticipated to feature the following phases:

- Reservation of reserved names.
- General Availability period real-time registrations, made on a first-come first-served basis.
- The registration of domain names in the .TATA TLD will follow standard practices, procedures and policies, which include the following:
  - Domain registration polices (for example, grace periods, transfer policies, etc.)
  - o This TLD will follow the ICANN standard domain lifecycle.
- When the domain is created, the standard five day Add Grace Period begins, the domain and contact information are available in WHOIS, and normal operating EPP domain statuses will apply. Other specifics regarding registration rules for an active domain include:
  - The domain must be unique;
  - Restricted or reserved domains cannot be registered;
  - The domain can be registered from 1-10 years:

- The domain can be renewed at any time for 1-10 years, but cannot exceed 10 years;
- The domain can be explicitly deleted at any time;
- Contacts and hosts can be modified at any time.
- Abuse prevention tools and policies, for example, measures to promote WHOIS accuracy and efforts to reduce Phishing and Pharming,
- Rights protection mechanisms and dispute resolution mechanism policies (for example, UDRP, URS) will also be implemented as per guidelines from ICANN.
- Other detailed policies for this domain include policies for reserved names.

#### 4. REGISTRY RESERVED NAMES

We will reserve the following classes of domain names, which will not be made generally available to registrants via the Sunrise or subsequent periods:

- All of the reserved names required in Specification 5 of the new gTLD Registry Agreement.
- The geographic names required in Specification 5 of the new gTLD Registry Agreement.
- The registry operator's own name and variations thereof, and registry operations names (such as registry.tld, and www.tld), for internal use.
- Names related to ICANN and Internet standards bodies (iana.tld, ietf.tld, w3c.tld, etc.), for delegation of those names to the relevant organizations upon their request.
- The list of reserved names will be published publicly before the Sunrise period begins, so that registrars and potential registrants will know which names have been set aside.

#### 5. PRIVACY AND DATA PROTECTION AND WHOIS

Privacy and confidential information protection as per the New gTLD Registry Agreement, we will make domain contact data (and other fields) freely and publicly available via a Web-based WHOIS server. This default set of fields includes the mandatory publication of registrant data. Our Registry-Registrar Agreement will require that registrants consent to this publication.

We shall notify each of our registrars regarding the purposes for which data about any identified or identifiable natural person ("Personal Data") submitted to the Registry Operator by such registrar is collected and used, and the intended recipients (or categories of recipients) of such Personal Data (the data in question is essentially the registrant and contact data required to be published in the WHOIS). We will require each registrar to obtain the consent of each registrant in the TLD for the collection and use of such Personal Data. The policies will be posted publicly on

our TLD web site. As the registry operator, we shall not use or authorize the use of Personal Data in any way that is incompatible with the notice provided to registrars.

Our privacy and data use policies are as follows:

- As registry operator, we do not plan on selling bulk WHOIS data. We will not sell contact data in any way. We will not allow, enable, or otherwise support the transmission by e-mail, telephone, or facsimile of mass unsolicited, commercial advertising or solicitations.
- DNS query data will never be sold in a way that is personally identifiable.
- We may from time to time use the demographic data collected for statistical analysis, provided that this analysis will not disclose individual Personal Data and provided that such use is compatible with the notice provided to registrars regarding the purpose and procedures for such use.

As the registry operator we shall take significant steps to protect Personal Data collected from registrars from loss, misuse, unauthorized disclosure, alteration, or destruction.

In order to acquire and maintain accreditation for our TLD, we will require registrars to adhere to certain information technology policies designed to help protect registrant data. These will include standards for access to the registry system and password management protocols.

We will allow the use of proxy and privacy services, which can protect the personal data of registrants from spammers and other parties that mine zone files and WHOIS data. We are aware that there are parties who may use privacy services to protect their free speech rights, or to avoid religious or political persecution.

#### 6. REVOCATION OF DOMAIN NAMES

The Registry may revoke a Domain Name immediately at its sole discretion:

- i. to comply with applicable law, court order, government rule or under any dispute resolution processes;
- ii. in order to protect the integrity and stability of the domain name system and the Registry;
- iii. to comply with Specification 13;
- iv. as required by ICANN Consensus Policy;
- v. where such Domain Name is placed under reserved names list at any time; and
- vi. where Registrant fails to make payment to the Registrar for registration, renewal or any other relevant services.