### .Brand TLD Designation Application for .CITI

Internet Corporation for Assigned Names and Numbers ("ICANN") 12025 Waterfront Drive, Suite 300 Los Angeles, California 90094 Attention: New gTLD Program Staff

RE: Application for .Brand TLD Designation

Citigroup Inc. ("Registry Operator"), in connection with the execution of the Registry Agreement for the .CITI TLD (the "Registry Agreement"), hereby applies for the .CITI TLD (Application ID: 1-2086-70305) to be qualified by ICANN as a .Brand TLD.

Registry Operator confirms and represents to ICANN that the TLD meets each of the criteria for the TLD to be qualified as a .Brand TLD, as described in the .Brand TLD Application Process and Specification 13 attached thereto, and that all supplemental material accompanying this application is accurate and not misleading in any respect. Registry Operator also represents that the trademark registration attached hereto as <a href="Exhibit A">Exhibit A</a> and the registration policies attached hereto as <a href="Exhibit B">Exhibit B</a> are complete and accurate copies of the official trademark registration and Registry Operator's registration policies for the TLD, respectively.

Registry Operator also submits detailed information, including particular evidence, attached hereto as <u>Exhibits C-D</u>, supporting the TLD's satisfaction of the .Brand TLD criteria set forth below.

- i. **TLD And Trademark Identity**. The CITI TLD string is identical to the textual elements protected by Registry Operator's United States Service Mark Registration for CITI (Reg. No. 1,181,467) (the "CITI Mark"). <u>See Exhibit A</u>.
  - a. **Trademark Clearinghouse Entry**. Registry Operator recorded the CITI Mark with proof of use with the Trademark Clearinghouse. A copy of the Signed Mark Data file (ID No. Trademark Clearinghouse for the CITI Mark is attached hereto as Exhibit C.
  - b. **Trademark Ownership.** The CITI Mark is owned and used by Registry Operator in connection with the offering of the financial services claimed in the submitted service mark registration, as demonstrated by the submitted service mark registration attached as <a href="Exhibit A">Exhibit D</a>.
  - c. **Registration Timing.** The submitted trademark registration for the CITI Mark was issued on December 8, 1981 (See Exhibit A), well before ICANN opened its new gTLD application window on January 12, 2012 and the Registry Operator submitted its TLD registry application.

- d. **Continuous Trademark Use.** Registry Operator has continuously used the CITI Mark in connection with at least some of the services identified in the submitted trademark registration since at least as early as 1979, and intends to maintain such use throughout the Term of the Registry Agreement.
- e. **No Punctuation.** The CITI Mark does not begin with a period or dot. <u>See</u> Exhibits A. D.
- f. **Trademark Unrelated To Registry Services.** Registry Operator has continuously used the CITI Mark in connection with at least some of the financial services identified in the submitted service mark registration since at least as early as 1979, and intends to maintain such use throughout the Term of the Registry Agreement. <u>See Exhibits A, D</u>. The services identified in the submitted trademark registration and supporting specimen do not reference the provision of any TLD Registry Service, as defined in Section 2.1 of Specification 6 of the Registry Agreement.
- ii. **Registrants and DNS Record Control.** As detailed in its registration policies (<u>See Exhibit B</u>), only Registry Operator or its Affiliates (as defined in the Registry Agreement) will be registrants of domain names in the TLD and control the DNS records associated with domain names at any level in the TLD.
- iii. **Non-Generic String**. The applied-for TLD CITI is not a Generic String TLD as defined in Specification 11 of the Registry Agreement. As defined in Section 3(c) of Specification 11 of the Registry Agreement, "Generic String" means a string consisting of a word or term that denominates or describes a general class of goods, services, groups, organizations or things, as opposed to distinguishing a specific brand of goods, services, groups, organizations or things from those of others. "CITI" is not a dictionary term or general class of goods or services, but is a specific brand of goods or services offered by Registry Operator. At the United States Patent & Trademark Office, Trademark Examining Attorneys substantively analyze trademark applications to determine if they are unregistrable for being generic in relation to the applied-for goods and services under Sections 2(e) and 23 of the Trademark Act. See Trademark Manual of Examining Procedure (TMEP) §§1209.01-.02. Registry Operator submits to ICANN a presumptively valid United States trademark registration for the CITI Mark, meaning that it is the opinion of the United States Patent and Trademark Office that the word CITI is not generic, and that it distinguishes a specific brand of financial services from the services of others.
- iv. **Registration Certificate**. Registry Operator has provided ICANN with an accurate and complete copy of a United States service mark registration for the CITI Mark. See Exhibit A.

Registry Operator agrees that if Registry Operator makes any changes to its registration policies for the TLD (whether before or after this application has been approved) that may

disqualify the TLD as a .Brand TLD, it will promptly provide ICANN with a complete and accurate copy of the revised registration policies. In addition, if Registry Operator fails to maintain the trademark registration underlying its .Brand TLD application, it shall promptly notify ICANN of such failure. Registry Operator also agrees to maintain the criteria required to qualify as a .Brand TLD and to immediately notify ICANN of any changes in circumstances that could alter the statements made, and supporting materials provide with, this application.

Registry Operator acknowledges and agrees that this letter is binding on Registry Operator and, if any of the foregoing representations and agreements becomes untrue or not complied with, it shall be deemed a breach of the Registry Agreement by Registry Operator, and ICANN may assert its rights under the Registry Agreement, including by determining that the TLD no longer qualifies as a .Brand TLD pursuant to the terms of Specification 13. Questions about this request should be directed to

Submitted by:

Position:

Counsel for Citigroup Inc.

Dated: August 29, 2014

Email:

# Exhibit A

# **Trademark Registration**

Int. Cl.: 36

Prior U.S. Cl.: 102

### United States Patent and Trademark Office

Reg. No. 1,181,467 Registered Dec. 8, 1981

### SERVICE MARK Principal Register

### **CITI**

Citicorp (Delaware corporation) 399 Park Ave. New York, N.Y. 10043 For: FINANCIAL SERVICES—NAMELY, EXTENDING CONSUMER AND INDUSTRIAL LOANS TO OTHERS; FACTORING SERVICES; CREDIT CARD SERVICING AND THE PURCHASING AND SERVICING OF CONSUMER RECEIVABLES ASSOCIATED THEREWITH; COMMERCIAL LENDING; SERVICING LOANS AND EXTENSIONS OF CREDIT; REAL ESTATE LENDING; MORTGAGE FINANCING AND MORTGAGE SERVICING; INVESTMENT ADVISORY AND FINANCIAL ADVISORY SERVICES; PROVIDING VENTURE CAPITAL TO OTHERS, in CLASS 36 (U.S. Cl. 102).

First use Jan. 30, 1979; in commerce Jan. 30, 1979.

Ser. No. 207,983, filed Mar. 19, 1979.

MARK B. HARRISON, Primary Examiner

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER
EFFECTIVE DATE:	08/01/2005

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Citicorp		07/28/2005	CORPORATION: DELAWARE

### RECEIVING PARTY DATA

Name:	Citigroup Inc.
Street Address:	399 Park Avenue
City:	New York
State/Country:	NEW YORK
Postal Code:	10043
Entity Type:	CORPORATION: DELAWARE

PROPERTY NUMBERS Total: 211

Property Type	Number	Word Mark
Registration Number:		1-800-ASK-CITI
Serial Number:		1-888-ASK-CITI
Registration Number:		A NEW IDEA. A BETTER DEAL.
Serial Number:		ACCIDENT SHIELD
Serial Number:		AHORA HAY UNA TARJETA LA QUE PUEDES CONTAR
Registration Number:		APPRECIATION PLUS
Serial Number:		BALANCE SHIELD
Serial Number:		BECAUSE IT'S SAFER TO KNOW THAN TO GUESS.
Registration Number:		BIZZED
Registration Number:		
Registration Number:		BROKER ACCESS
Registration Number:		BRONZE
Registration Number:		BUSINESS BANKING EDGE

TRADEMARK "REEL: 003218 FRAME: 0313

900038740

Serial Number:	С2ІТ
Serial Number:	C2IT
Registration Number:	CARDS BUILT AROUND YOU
Serial Number:	CHAIRMAN CARD
Registration Number:	3 0
Registration Number:	CHECKING PLUS
Registration Number:	CHECKS-AS-CASH
Registration Number:	CHOICE
Registration Number:	СІТІ
Serial Number:	СІТІ
Registration Number:	СІТІ
Registration Number:	CITI BRONZE
Registration Number:	CITI F/I
Registration Number:	CITI FI
Serial Number:	CITI GLOW
Registration Number:	CITI PREMIERPASS
Serial Number:	CITI PRIVATE PASS
Serial Number:	CITI PROFESSIONAL
Serial Number:	CITI SIMPLICITY
Serial Number:	CITI SIMPLICITY +
Serial Number:	CITI SIMPLICITY PLUS
Registration Number:	CITI\$HOPPER
Registration Number:	CITI.YOU
Registration Number:	CITIACCESS
Registration Number:	CITIASSIST
Registration Number:	CITIBANK
Registration Number:	CITIBANK EVERYTHING COUNTS
Registration Number:	CITIBANK FAMILYTECH
Registration Number:	CITIBANKING
Registration Number:	CITIBUSINESS
Serial Number:	CITIBUSINESS PREMIERPASS
	CITICAPITAL

Registration Number:	CITICARD
Registration Number:	CITICARD
Registration Number:	CITICARD BANKING CENTER
Registration Number:	CITICASH MANAGER
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Registration Number:	CITICORP TRAVELERS CHECKS
Registration Number:	CITIDIRECT
Registration Number:	CITIELITE
Registration Number:	CITIEXPERT
Registration Number:	CITIEXPRESO
Registration Number:	CITIEXPRESS
Registration Number:	CITIEXTRAS
Registration Number:	CITIFAX
Registration Number:	CITFI.COM
Registration Number:	CITIFINANCIAL
Serial Number:	CITIFINANCIAL AUTO CERTIFIED GOLD
Serial Number:	CITIFINANCIAL AUTO CERTIFIED SILVER
Registration Number:	CITIFOLIO
Registration Number:	CITIFUNDS
Registration Number:	CITIFX
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		TRADEMARK	
Signature:			
NAME OF SUBMITTER:			
ATTORNEY DOCKET NUMBER:			
	York, NEW		
Correspondent Name:  Address Line 1: 399 Park Avenue			
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CORRESPONDENCE DATA			
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Serial Number:		YOUR LAWYER. YOUR ACCOUNTANT. YOUR SOURCE.	
Registration Number:		WORLDLINK	
Registration Number:		WORLDLINK	
Serial Number:		WHOLE NET WORTH	
Serial Number:		WHEN THERE'S MONEY 2 MOVE	
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Registration Number:		UNIFIED MANAGED ACCOUNT	
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PAGE 1

# The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"CITICORP", A DELAWARE CORPORATION,

WITH AND INTO "CITIGROUP INC." UNDER THE NAME OF "CITIGROUP INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-EIGHTH DAY OF JULY, A.D. 2005, AT 3:33 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE FIRST DAY OF AUGUST, A.D. 2005, AT 10 O'CLOCK A.M.

8100M

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Harriet Smith Windson, Secretary of State

AUTHENTICATION: 4402441

DATE: 12-23-05

07/ALG. 1. 2005 (2:19 PMP 12 79CORPORATE TRUST CENTER TP

State of Delaware

NO. 9586-P. 5

Secretary of State Division of Corporations Delivered 03:33 PM 07/28/2005 FILED 03:33 PM 07/28/2005 SRV 050624131 - 2154254 FILE

# CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

CITICORP

INTO

CITIGROUP INC.

\*\*\*\*\*

Citigroup Inc., a corporation organized and existing under the laws of the State of Delaware.

DOES HEREBY CERTIFY:

FIRST: That this corporation was incorporated on the 8th day of March, 1988, pursuant to the General Corporation Law of the State of Delaware.

SECOND: That this corporation owns all of the outstanding shares of the stock of Citicorp, a corporation incorporated on the 8th day of May, 1998, pursuant to the General Corporation Law of the State of Delaware.

THIRD: That this corporation, by the following resolutions of its Board of Directors, duly adopted at a meeting held on the 19th day of April, 2005. determined to and did authorize the merger of its wholly owned subsidiary, Citicorp, Into itself pursuant to Section 253 of the Delaware General Corporation Law:

\*FURTHER RESOLVED, (a) that, effective on or about July 1, 2005 (or at such later date as an Authorized Officer (as hereinafter defined) determines appropriate in connection with obtaining applicable Governmental Approvals for the Mergers, the Contributions and the Distributions (as such terms are hereinafter defined) or in connection with other transactions being undertaken by Citigroup Inc., such determination to be evidenced by such Authorized Officer's execution of the Certificate of Ownership and Merger with respect to the CHC Merger (as hereinafter defined)), Citigroup Holdings Company, a Delaware corporation, shall merge (the "CHC Merger") with and into Citigroup Inc., which shall be the surviving corporation, pursuant to Section 253 of the General Corporation Law of the State of Delaware (the "DGCL"), (b) that, by virtue of the CHC Merger, each issued and outstanding share of common stock of Citigroup Holdings Company shall be cancelled, the separate existence of Citigroup Inc. Holdings Company shall cease and no consideration shall be

delivered in exchange therefor, (c) that the Certificate of Incorporation and By-Laws of Citigroup Inc. immediately prior to the CHC Merger shall be the Certificate of Incorporation and By-Laws of such surviving corporation, and (d) that the officers and directors of Citigroup Inc. immediately prior to the CHC Merger shall be the officers and directors of such surviving corporation; and be it

FURTHER RESOLVED, (a) that, effective following the consummation of the CHC Merger and on the date thereof, Citicorp, a Delaware corporation, shall merge (the "Citicorp Merger" and together with the CHC Merger, the "Mergers") with and into Citigroup Inc., which shall be the surviving corporation, pursuant to Section 253 of the DGCL, (b) that by virtue of the Citicorp Merger, each issued and outstanding share of common stock of Citicorp shall be cancelled, the separate existence of Citicorp shall cease and no consideration shall be delivered in exchange therefor, (c) that the Certificate of Incorporation and By-Laws of Citigroup Inc. Immediately prior to the Citicorp Merger shall be the Certificate of Incorporation, and (d) that the officers and directors of Citigroup Inc. immediately prior to the Citicorp Merger shall be the officers and directors of such surviving corporation; and be it

FURTHER RESOLVED, that the Chief Executive Officer, the President, any Vice Chairman, the Chief Financial Officer, the Controller, the Treasurer, or any officer with the authority of a Vice President of Citigroup Inc. (each, an "Appropriate Officer") be, and each of them hereby is, authorized and directed to execute and deliver, in the name and on behalf of Citigroup Inc., a Certificate of Ownership and Merger with respect to each Merger setting forth, among other things, a copy of the resolutions of the Board authorizing each Merger and the date of their adoption, and to cause such documents to be filed in the Office of the Secretary of State of the State of Delaware in accordance with Sections 103 and 253 of the DGCL; and be it

FURTHER RESOLVED, that pursuant to Sections 253(c) and 251(d) of the DGCL, the resolutions with respect to each Merger may be terminated by the Board at any time prior to the time that the Certificate of Ownership and Merger with respect to such Merger filed in the Office of the Secretary of State of the State of Delaware becomes effective in accordance with Section 103 of the DGCL".

FOURTH: That this Certificate of Ownership and Merger shall be effective on August 1, 2005 at 10:00 a.m. E.S.T.

IN WITNESS WHEREOF, said Citigroup Inc. Inc. has caused this Certificate to be signed by the late of the control of the contro

TRADEMARK REEL: 003218 FRAME: 0324

**RECORDED: 12/29/2005** 



# NOTICE OF ACCEPTANCE OF §8 DECLARATION AND §9 RENEWAL MAILING DATE: Nov 23, 2011

The declaration and renewal application filed in connection with the registration identified below meets the requirements of Sections 8 and 9 of the Trademark Act, 15 U.S.C. §§1058 and 1059. The declaration is accepted and renewal is granted. The registration remains in force.

For further information about this notice, visit our website at: <a href="http://www.uspto.gov">http://www.uspto.gov</a>. To review information regarding the referenced registration, go to <a href="http://tarr.uspto.gov">http://tarr.uspto.gov</a>.

REG NUMBER: 1181467 MARK: CITI

OWNER: CITIGROUP INC.

#### Side - 2

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### Record 1 out of 1

TSDR

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return to TESS)

# yped Drawing

Word Mark CITI

Goods and Services

IC 036. US 100 101 102. G & S: Financial Services-Namely, Extending Consumer and Industrial Loans to Others; [Factoring Services; ] Credit Card Servicing and the Purchasing and Servicing of Consumer Receivables Associated Therewith; Commercial Lending; Servicing Loans and Extensions of Credit; Real Estate Lending; Mortgage Financing and Mortgage Servicing; Investment Advisory and Financial Advisory Services; Providing Venture Capital to Others. FIRST USE: 19790130. FIRST USE IN COMMERCE:

19790130

Mark

**Drawing** 

(1) TYPED DRAWING

Code

Serial

Number

73207983

1A

Filing Date

March 19, 1979

Current

**Basis** 

Original

1A Filing Basis

**Published** 

for May 19, 1981

**Opposition** 

Registration 1181467

Number

Registration December 8, 1981

Date

Owner

(REGISTRANT) Citicorp CORPORATION DELAWARE 399 Park Ave. New York NEW YORK 10043

(LAST LISTED OWNER) CITIGROUP INC. CORPORATION DELAWARE 399 PARK AVENUE NEW

YORK NEW YORK 10043

**Assignment** 

Recorded

ASSIGNMENT RECORDED

Attorney of Record

Type of

SERVICE MARK Mark

Register **PRINCIPAL** 

**Affidavit** Text

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20111123.

2ND RENEWAL 20111123 Renewal

Live/Dead

LIVE Indicator

STRUCTURED FREE FORM BROWSE DICT SEARCH OG TESS HOME NEW USER HELP TOP

|.HOME | SITE INDEX | SEARCH | eBUSINESS | HELP | PRIVACY POLICY

### **Exhibit B**

### .CITI TLD Registration Polices

As stated in its new gTLD application for .CITI, the ultimate goal of the TLD is for Applicant to securely and uniquely provide a top-level domain dedicated to providing banking and financial goods and services to Internet users and customers around the globe under its famous and trusted brand. In line with this goal, Applicant intends to operate an exclusive access registry to extend the high-quality online banking and financial goods and services it already offers to the more fully branded namespace made possible by the .CITI TLD. Accordingly, policies and decisions regarding the registration and use of domain names within the TLD will be made by internal teams consisting of Applicant's existing business, marketing, legal, and technical decision-making channels. Because its famous brand will be affixed to all second-level domains in the TLD, the TLD will immediately indicate to Internet users that Registry Operator is the source of the TLD. Accordingly, Registry Operator's internal decision makers will take extreme care to select domain names for registration and control their DNS records under strict guidelines.

In line with its current domain name registration guidelines, only Registry Operator or its affiliates (as defined in the Registry Agreement) through authorized employees will be allowed to register and control the DNS records of domain names within the TLD for purposes related to the brand under strict internal guidelines. Under these guidelines, each domain name requested must be for an internally approved justification and match a naming scheme that is aligned to the purpose of the justification. Each name will be reviewed by relevant legal and technical personnel, and finally approved by Registry Operator's Domain Name Team to ensure the proper procedure was fulfilled. Thereafter, the Domain Name Team will review the ongoing use of registered names to ensure the guidelines are met on an ongoing basis.

Accordingly, it is Registry Operator's intention that non-affiliated third parties will never be allowed to register, buy, or sell domain names in the TLD, or control their DNS records. Applicant, however, reserves the right to sell, distribute, or transfer control or use of any registrations in the TLD to any third party that is an affiliate of Registry Operator (as defined in the Registry Agreement) for the exclusive use of Registry Operator.

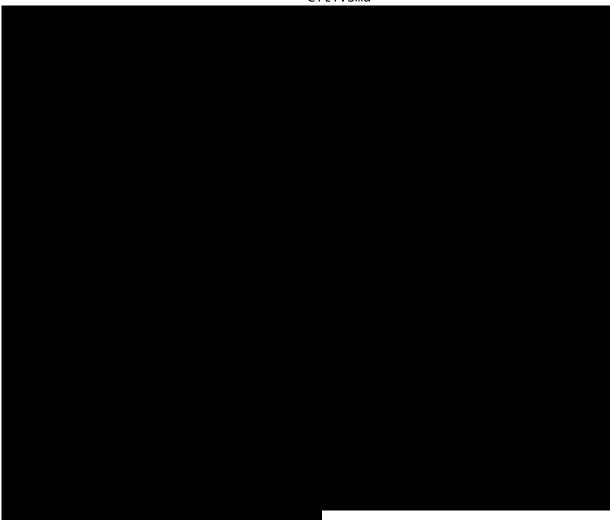
The TLD's domain name registration and use policies will be subject to its abuse prevention and rights protection policies discussed in its new gTLD application, and Applicant will strive to avoid registering domain names that are confusingly similar to third-party's trademarks and related rights. Obscene, explicit, and offensive domain names will not be entitled to registration.

# Exhibit C

# Signed Mark Data File







----END ENCODED SMD-----

# Exhibit D

**Specimens of Use** 

