

Code of Conduct Exemption Request Form

Internet Corporation for Assigned Names and Numbers ("ICANN")
12025 Waterfront Drive, Suite 300
Los Angeles, California 90094
Attention: New gTLD Program Staff

RE: Request for Exemption from Registry Operator Code of Conduct

Allfinanz Deutsche Vermögensberatung Aktiengesellschaft ("Allfinanz") ("Registry Operator"), in connection with the execution of the Registry Agreement for the .ALLFINANZ TLD (the "Registry Agreement"), hereby requests an exemption from the obligations of the Registry Operator Code of Conduct set forth in Specification 9 to the Registry Agreement (the "Code of Conduct"). Pursuant to such request, Registry Operator confirms that each of the following statements is true and correct (collectively, referred to as the "Statements"):

1. All domain name registrations in the TLD shall be registered to, and maintained by, Registry Operator for the exclusive use of Registry Operator or its Affiliates (as defined in the Registry Agreement);
2. Registry Operator shall not sell, distribute or transfer control or use of any registrations in the TLD to any third party that is not an Affiliate of Registry Operator; and
3. Application of the Code of Conduct to the TLD is not necessary to protect the public interest for the following reasons:

The TLD ultimately is intended to serve as Allfinanz's online corporate branding and services platform. It is envisaged to function with leading-edge technologies and business practices, ensuring a trustworthy and positive user experience. The goal is to use Allfinanz's online infrastructure, services and marketing to encourage Internet users to interact with the company online.

In general, Allfinanz intends for users to perceive the TLD as a trustworthy and intuitive indicator of the source of its online information and services. Allfinanz intends to use advanced technical and policy measures to ensure the security and reliability of online transactions and communications taking place on domains within the TLD, and to ensure that domain names in the TLD are only used for authorized purposes. Naturally, as Allfinanz will be the registrant of all domain names within the space, it will have control over the content displayed on ".ALLFINANZ" websites. Allfinanz intends to provide a safe and trustworthy Internet space, enhancing user experience by mitigating security-associated risks.

The TLD is designed to reinforce the corporate ideals of Allfinanz, and to provide an online forum by which the company may communicate with its commercial agents, employees, affiliates, associates and clients. Domains within the TLD will only be used for purposes authorized by Allfinanz, and domain usage guidelines will be implemented and enforced to

constantly ensure the integrity of users' “.ALLFINANZ” experience and the reputation of the TLD.

All domain name registrations within the “.ALLFINANZ” space shall be held by Allfinanz. The company may, from time to time and in its sole discretion, elect to license domain name registrations to its commercial agents, affiliates, associates or employees, in order to further the business interests of the company. All domain name registrations and license agreements shall incorporate the usage requirements set out in the Domain Name Registration and Use Policy for “.ALLFINANZ”, which is provided in Exhibit A at the end of this document. Allfinanz intends that no domain name in the “.ALLFINANZ” space shall be used in a manner which:

- Infringes any other third parties' rights
- Is in breach with any applicable laws, government rules or requirements

or for the purposes of:

- Undertaking any illegal or fraudulent actions, including spam or phishing activities, or
- Defaming Allfinanz or its businesses, affiliates, brokers, employees, etc.

The “.ALLFINANZ” domain space shall be used for the benefit of Allfinanz. Allfinanz will have the right to revoke any domain name license agreement should Allfinanz deem such action appropriate for the benefit of Allfinanz and its business interests.

The content and use of all registered “.ALLFINANZ” domain names will be monitored by Allfinanz on an ongoing basis, and compliance with the contractual restrictions and guidelines will be enforced. Violations of any restrictions, guidelines or other contractual conditions may result in termination of the relevant domain name license by Allfinanz.

Registry Operator represents that the registration policies attached hereto as Schedule A are a true and correct copy of the Registry Operator's registration policies for the TLD. Registry Operator agrees to notify ICANN promptly in writing in the event any of the Statements has become untrue (whether before or after an exemption has been granted). Registry Operator further acknowledges and agrees that the Exemption will be void if at any time any of the Statements has become untrue.

Submitted by:

[REDACTED]

Position:

Mitglied des Vorstandes (Executive Officer)

Dated:

26.11.14 [REDACTED]

Email:

[REDACTED]

Submitted by:

[REDACTED]

Position:

Mitglied des Vorstandes (Executive Officer)

Dated:

24/11/14 [REDACTED]

Email:

[REDACTED]



Schedule A

Registry Operator hereby acknowledges that the following are the true and correct registration policies for the TLD:

Domain Name Registration and Use Policy for “.ALLFINANZ”

I. General principles

1. Purpose

The “.ALLFINANZ” Top Level Domain (“TLD”) is established by and for Allfinanz, the Registry Operator for the TLD space. Allfinanz will, with the advice and assistance of the Registry Service Provider, KSregistry GmbH, and relevant governmental bodies, develop, maintain and enforce effective TLD management strategies to manage the “.ALLFINANZ” space.

This Policy is intended to be updated and revised regularly to reflect the needs of Allfinanz and its TLD.

The registration of domain names within the “.ALLFINANZ” TLD shall be restricted to Allfinanz itself, thus following a single-registrant model. Allfinanz may, in its sole discretion, elect to license the use of registrations within this space to any affiliated party.

2. Registration Policy

As indicated above, the “.ALLFINANZ” space will follow a single-registrant model. Thus, Allfinanz shall be the only entity eligible to register domain names within the “.ALLFINANZ” TLD.

II. Domain Allocation Rules

3. String Requirements

Registry Operator, via Registry Service Provider, will not accept a Registration unless the applied-for Domain Name meets the applicable requirements as defined under the Registry Agreement, Specifications 5 and 6, including the following technical and syntax requirements. The Domain Name Label must:

- if ASCII, consist exclusively of the letters A-Z, the numbers 0-9, and hyphens;
- if non-ASCII (e.g., IDN), consist of language scripts offered by the Registry;
- not exceed 63 characters;
- contains at least one character; and

- not contain hyphens in the third and fourth position, except if they represent valid internationalized domain names in their ASCII encoding, namely where two consecutive hyphens (-) are used in the 3rd and 4th positions, when preceded by "xn" and followed by a label that corresponds with an IDN containing characters referred to above.

4. Reserved Names

Registry Operator may reserve, and not allow the Registration of any labels which appear or are referenced by the Schedule of Reserved Names set forth in Specification 5 of the Registry Agreement.

However, Allfinanz may propose the release of any reservations, in compliance with applicable processes established by or in accordance with ICANN policies or procedures (if applicable).

5. Name Collisions

If any ".ALLFINANZ" domain name is identified by ICANN as a potential name collision risk, pursuant to the Registry Operator's rights and responsibilities under the Registry Agreement, Specification 6, § 6, the Registry Operator reserves the right to take all necessary and proper steps to resolve the conflict, including the blocking of any affected domain name.

III. Registration and Licensing Rules

6. Registration period and renewals

A ".ALLFINANZ" domain name may be registered, and renewed at the end of each registration period, subject to the current terms and conditions offered by the concerned Registrar.

7. Licensing of domain name registrations

Allfinanz may, in its sole discretion, elect to license the use of domain name registrations within the ".ALLFINANZ" space to commercial agents, appropriate corporate divisions, or other affiliated entities. In all cases, however, Allfinanz will remain the registrant of record for all domain name registrations within the TLD, and shall retain the authority to modify or terminate such registrations at any time via the concerned registrar.

IV. Acceptable Usage Guidelines for ".ALLFINANZ" Domain Names

8. Acceptable Use

The ".ALLFINANZ" space is intended to function as the Allfinanz's online corporate branding platform, and as such the registrations therein should generally support the goals and mission of the organization.

Allfinanz intends that no domain name in the “.ALLFINANZ” space shall be used in a manner which:

- infringes any other third parties rights
- is in breach with any applicable laws, government rules or requirements

or for the purposes of:

- undertaking any illegal or fraudulent actions, including spam or phishing activities, or
- defaming Allfinanz or its businesses, affiliates, brokers, employees, etc.

Allfinanz will routinely monitor the use of all domain names registered in the “.ALLFINANZ” space to ensure that the content displayed thereon is in the best interests of the company and its business endeavors. Failure to comply with the terms and conditions set out in this Registration and Use Policy may result in the immediate cancellation, transfer, deletion or suspension of a registered “.ALLFINANZ” domain. The Registry Operator reserves the right to make any necessary changes to a domain name registration in the event of breach of the provisions herein established.

9. Dispute Resolution

The Registry Operator for “.ALLFINANZ” will comply with all dispute resolution mechanisms as defined in the Registry Agreement Specification 7 article 2, and as revised from time to time by ICANN consensus policies and procedures. Registrants of “.ALLFINANZ” domain names hereby agree to be bound by all applicable domain name dispute policies, as outlined in this Registration and Use Policy.

These dispute mechanisms include, but are not limited to:

- the Trademark Post-Delegation Dispute Resolution Procedure (“PDDRP”);
- the Uniform Rapid Suspension system (“URS”); and
- the Uniform Domain Name Dispute Resolution Policy (“UDRP”).