



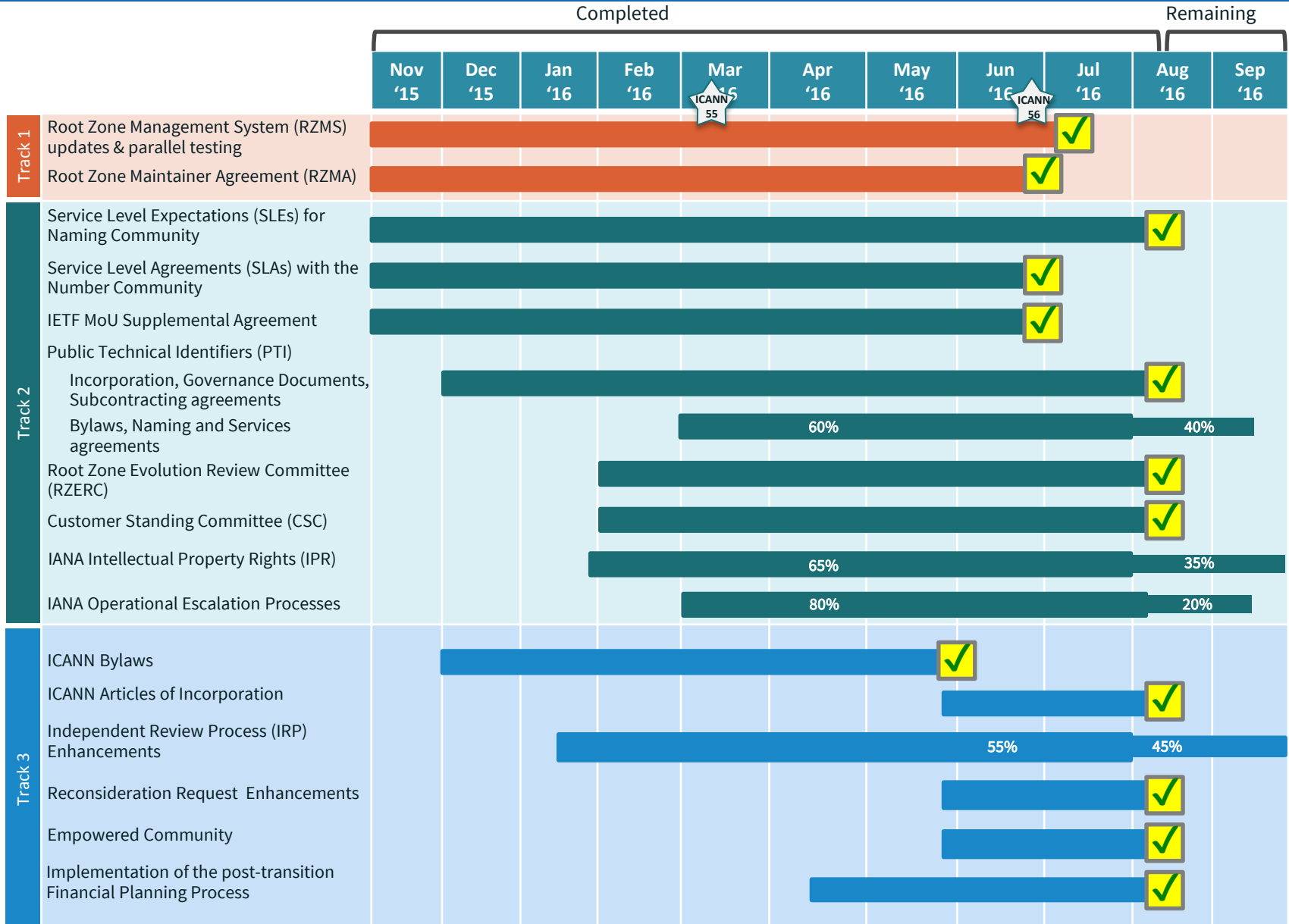
# Transition Implementation Status Reporting

12 August 2016

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

# Overall Timeline

# Implementation Planning Timeline



Overall % Complete

90%

# Status Update

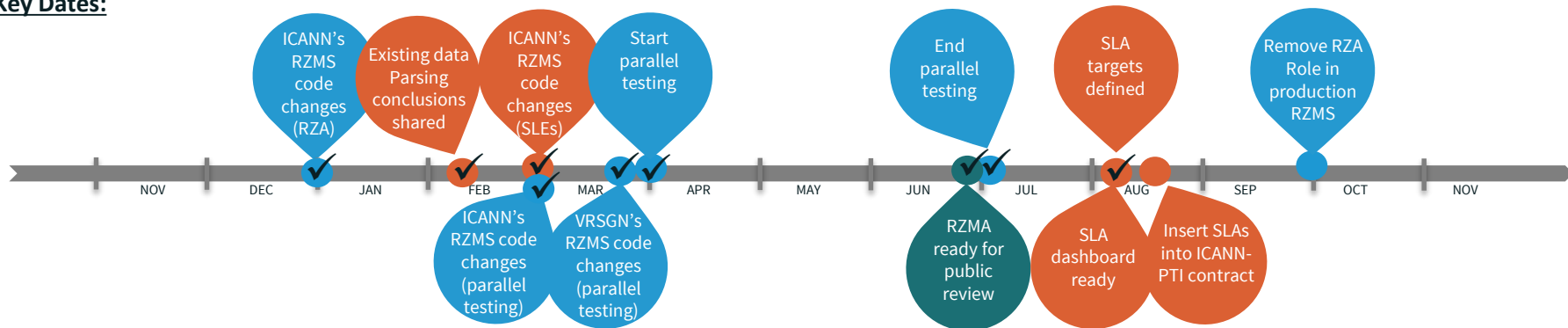
# RZMS, RZMA & Names SLEs

Status As Of Date:

08/12/16

| Project  | Description  | Plan Completion Date   | Status | % Complete |
|--|--|--|--------|------------|
| RZMS changes to remove RZA role and support parallel testing | Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).                               | 09/30/16<br>08/15/16<br>07/06/16   |        | 100%       |
| Names SLEs (SLEs for Naming Community)                       | RZMS code changes to accommodate new SLEs, development of aggregation tool and dashboard reporting of SLAs, and setting of initial SLAs. | 09/30/16<br>08/15/16   |        | 100%       |
| RZMA (Agreement with Root Zone Maintainer)                   | Completion of draft RZMA.  | 01/31/16 / 02/29/16<br>04/15/16 / 04/30/16<br>05/15/16 / 05/31/16/<br>06/30/16 |        | 100%       |

## Key Dates:



## Project Updates:

- ✓ Name SLE performance targets approved by the Names SLEs work group and ratified by CWG.

## Upcoming Activities:

## Open Items:



DRAFT for Discussion

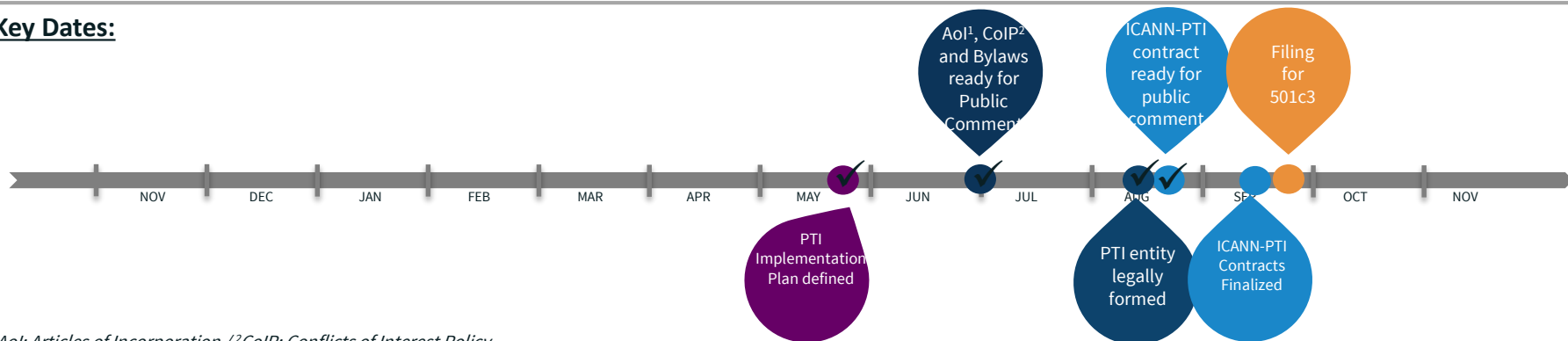
- On-track
- Behind schedule, but recovery still possible

- Not started
- Target will be missed

\* All dates are estimates | 6

| Project  | Description  | Plan Completion Date | Status | % Complete |
|--|--|----------------------|--------|------------|
| PTI Incorporation, Governance Documents, Subcontracting agreements | Incorporation of PTI, development of PTI governance documents, development of Numbers and Protocol Parameters subcontracting agreements. | 09/30/16<br>08/15/16 |        | 100%       |
| Naming Function and Services Agreements                            | Development of Naming Function and Services Agreements.  | 09/16/16             |        | 60%        |

## Key Dates:



<sup>1</sup> Aol: Articles of Incorporation / <sup>2</sup>CoIP: Conflicts of Interest Policy

## Project Updates:

- ✓ Staff report and final PTI Articles posted.
- ✓ Staff analysis of comments submitted on governance documents began.
- ✓ IETF informed ICANN that it will not comment on the protocol parameter subcontracting agreement.

## Upcoming activities:

- Publish staff analysis and final PTI governing documents.
- Naming Function agreement public comment period.
- Share 1<sup>st</sup> draft of services agreement with the community.

## Open Items:

- [Empty list item]

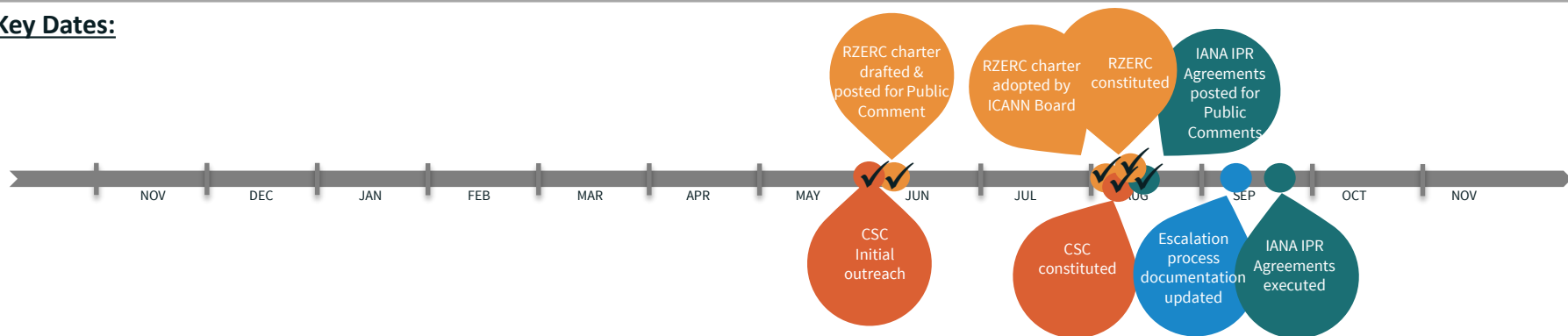
# IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

08/12/16

| Project   | Description   | Plan Completion Date                      | Status | % Complete |
|---|---|---|--------|------------|
| IANA IPR  | Transfer of IANA IPR to a independent trust.  | 9/30/16                                   |        | 65%        |
| RZERC ( <i>Root Zone Evolution Review Committee</i> ) | Formation of committee to advise ICANN Board on RZMS architectural and operational changes. | 09/30/16 / 08/15/16<br>08/31/16 / 8/15/16 |        | 100%       |
| CSC ( <i>Customer Service Standing Committee</i> )    | Formation of committee to monitor PTI performance.  | 09/30/16<br>08/15/16                      |        | 100%       |
| Escalation Processes                                  | Updating of existing IANA operational complaint and escalation processes.                   | 09/30/16 / 08/15/16<br>09/15/16           |        | 80%        |

## Key Dates:



## Project Updates:

- ✓ Community discussions to finalize draft IANA IPR agreements for public comment.
- ✓ Staff report and final RZERC charter published.
- ✓ Finalized escalation processes documentation.
- ✓ RZERC and CSC members appointed.

## Upcoming Activities:

- IANA IPR agreements public comment period.

## Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

\* All dates are estimates



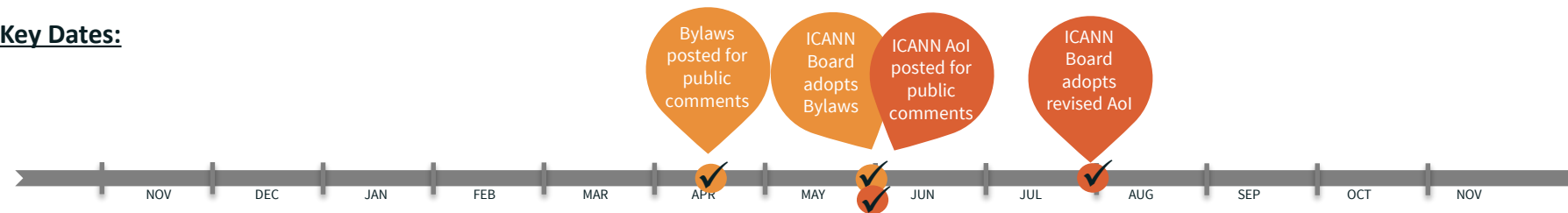
# Enhancing ICANN's Accountability

Status As Of Date:

08/12/16

| Project                                 | Description   | Plan Completion Date            | Status | % Complete |
|---|---|---------------------------------|--------|------------|
| ICANN's Bylaws                          | Updating of ICANN Bylaws.   | 06/09/16<br>05/27/16            |        | 100%       |
| ICANN's Articles of Incorporation (Aol) | Updating of ICANN Articles of Incorporation                               | 07/31/16                        |        | 100%       |
| IRP Enhancements                        | Implement enhancements to Independent Review Process.                     | 09/30/16 – 08/15/16<br>09/30/16 |        | 55%        |
| RR Enhancements                         | Implement enhancements to ICANN's reconsideration request process.        | 09/30/16<br>08/12/16            |        | 100%       |
| Empowered Community Support Mechanisms  | Implement processes and mechanisms in support of the empowered community. | 09/30/16<br>08/15/16            |        | 100%       |
| Financial Planning Process Updates      | ICANN caretaker budget, PTI caretaker budget and PTI budget.              | 08/15/16                        |        | 100%       |

## Key Dates:



## Project Updates:

- ✓ Staff report and final ICANN Articles published.
- ✓ Finalized Request for Reconsideration process documentation.
- ✓ Finalized PTI budget language for inclusion in the PTI bylaws.

## Upcoming Activities:

## Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible





- Not started
- Target will be missed

\* All dates are estimates

# RIRs SLAs & IETF MoU Supplemental Agreement

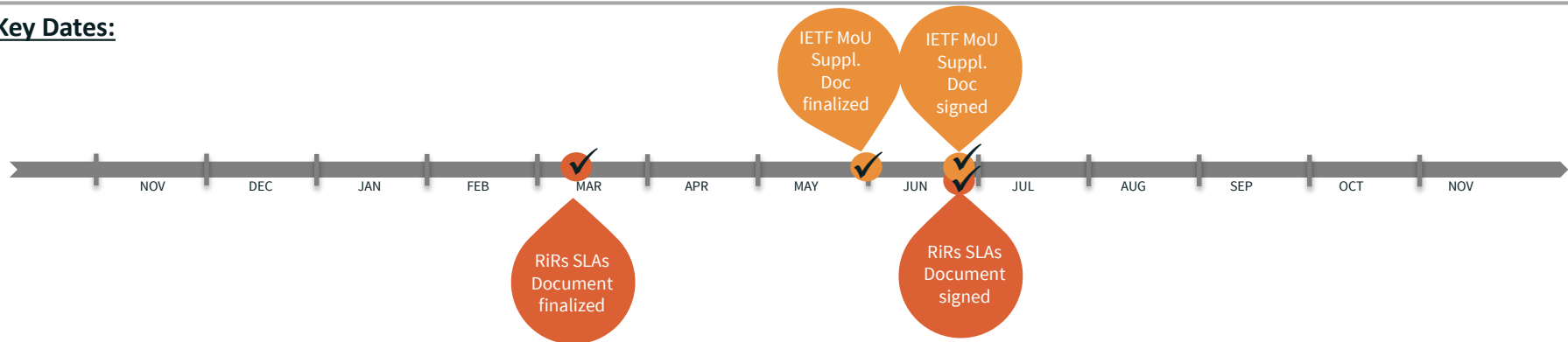
Status As Of Date:

08/12/16

| Project   | Description  | Plan Completion Date* | Status  | % Complete   |
|---|--|-----------------------|---|--|
| RIRs SLAs (Service Level Agreement with the Number Community) | Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs. | 06/30/16              |  |  100% |
| IETF MoU Supplemental Agreement                               | Completion of draft ICANN-IETF MoU Supplemental Agreement.                               | 06/30/16              |  |  100% |

\* Completion Date dependent on USG's approval of proposals

## Key Dates:



## Project Updates:

- RIR SLA document signed on 29 June 2016.
- IETF MoU document signed on 24 June 2016.

## Upcoming activities:

## Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

\* All dates are estimates | 10

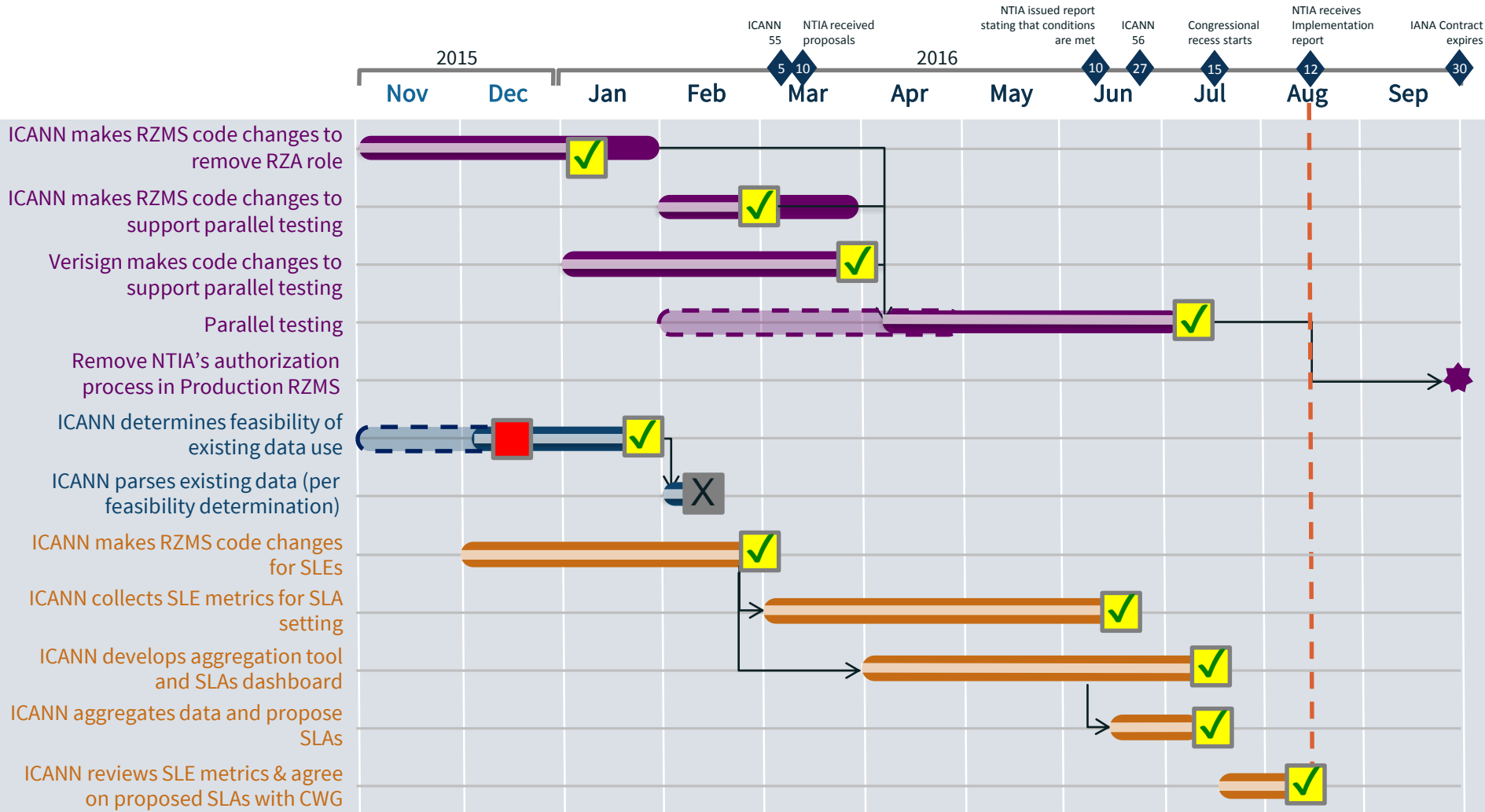


# Appendix High-Level Project Plans

# RZMS & SLEs for Naming Community

% Complete:  100%

Status: Complete



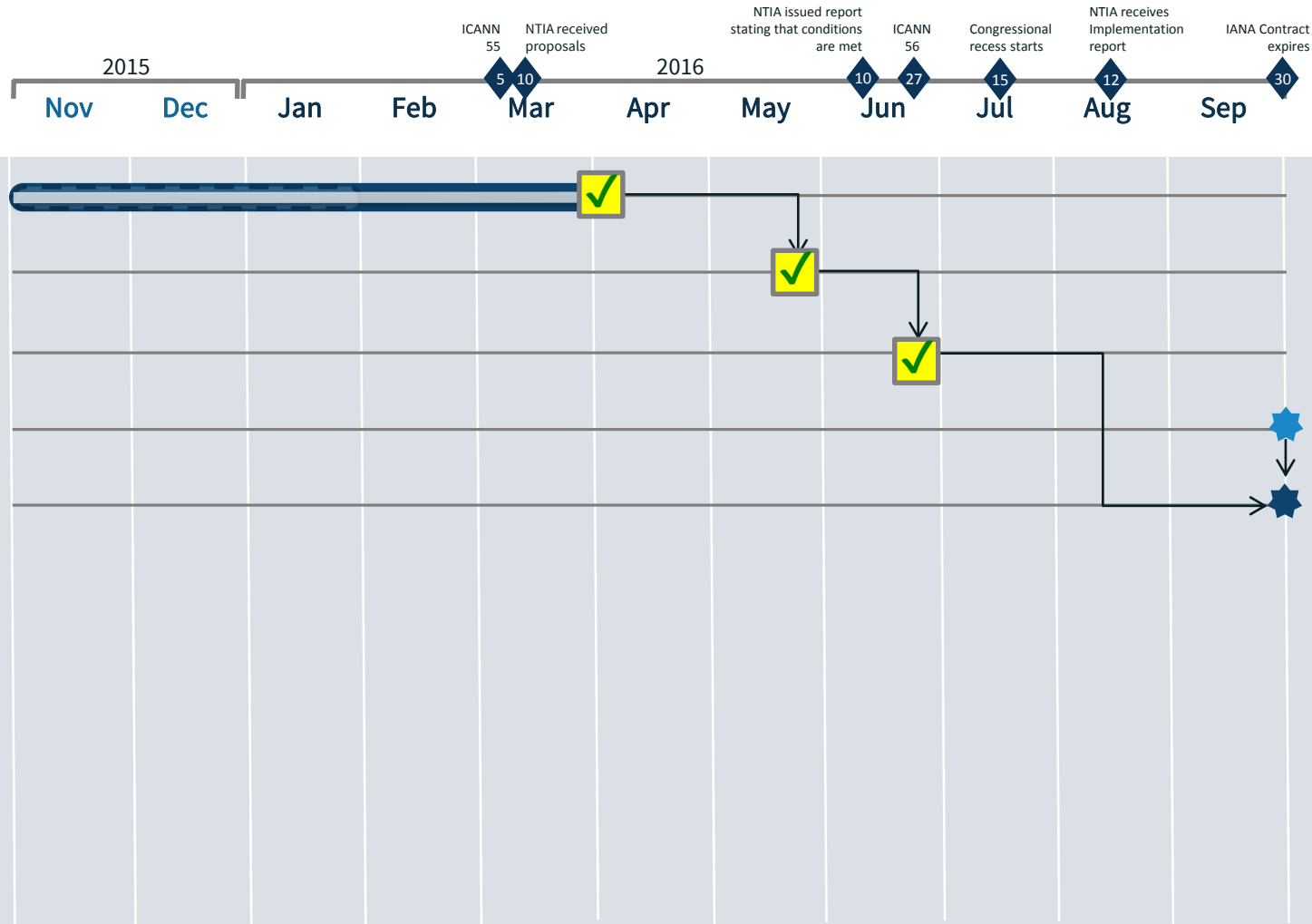
**Project Updates:**

- ✓ Name SLE performance targets approved by the Names SLEs work group and submitted to CWG for ratification.

**Upcoming Activities:**

- CWG to approve Names SLEs.

**Open Items:**



**Project Updates:**

✓ Signed the RIR SLA document on 29 June 2016.

**Upcoming Activities:**

**Open Items:**

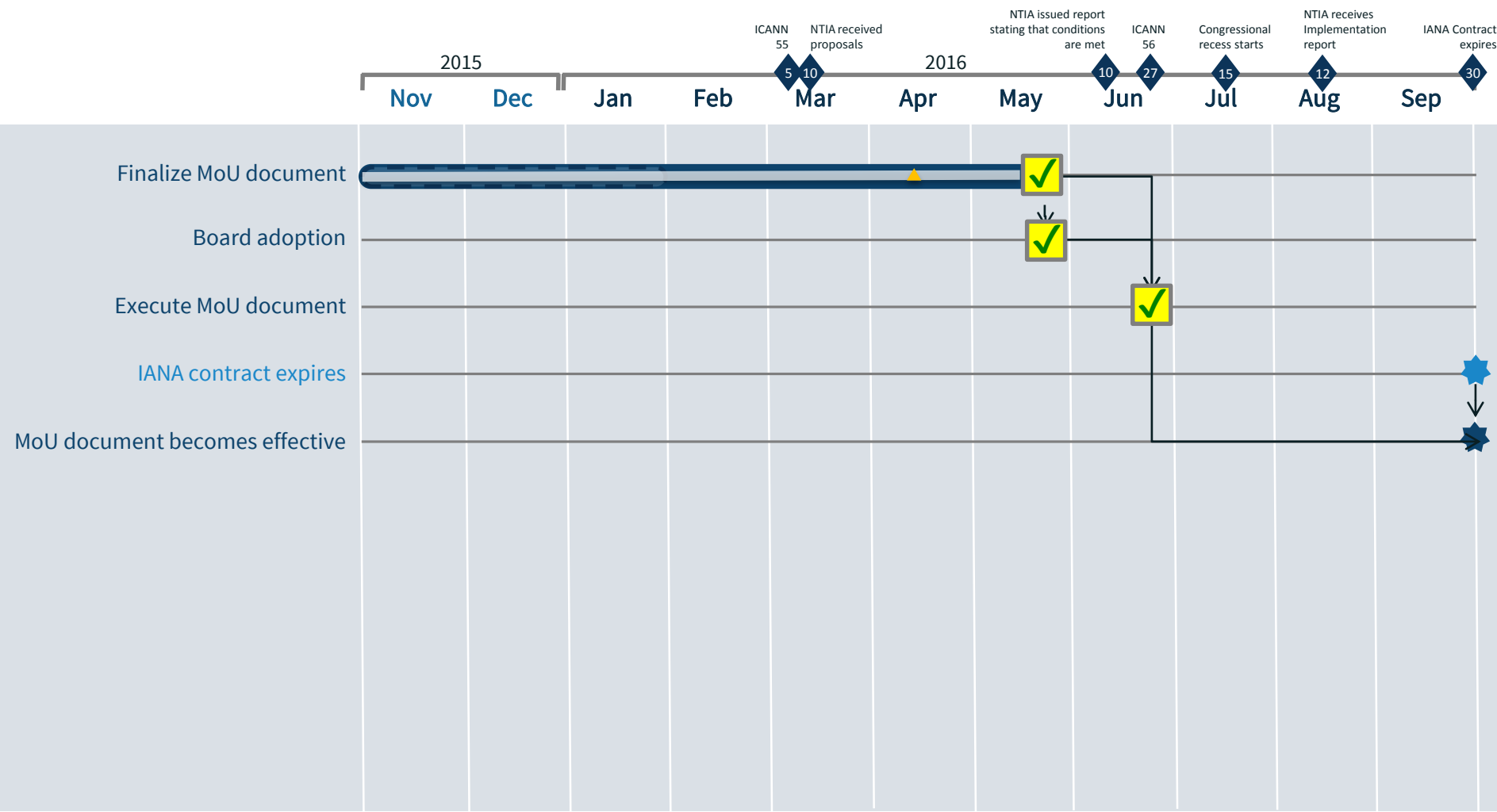


DRAFT for Discussion

--- Baseline  
 — Current Plan

■ RIRs SLAs activities  
 ■ USG activity

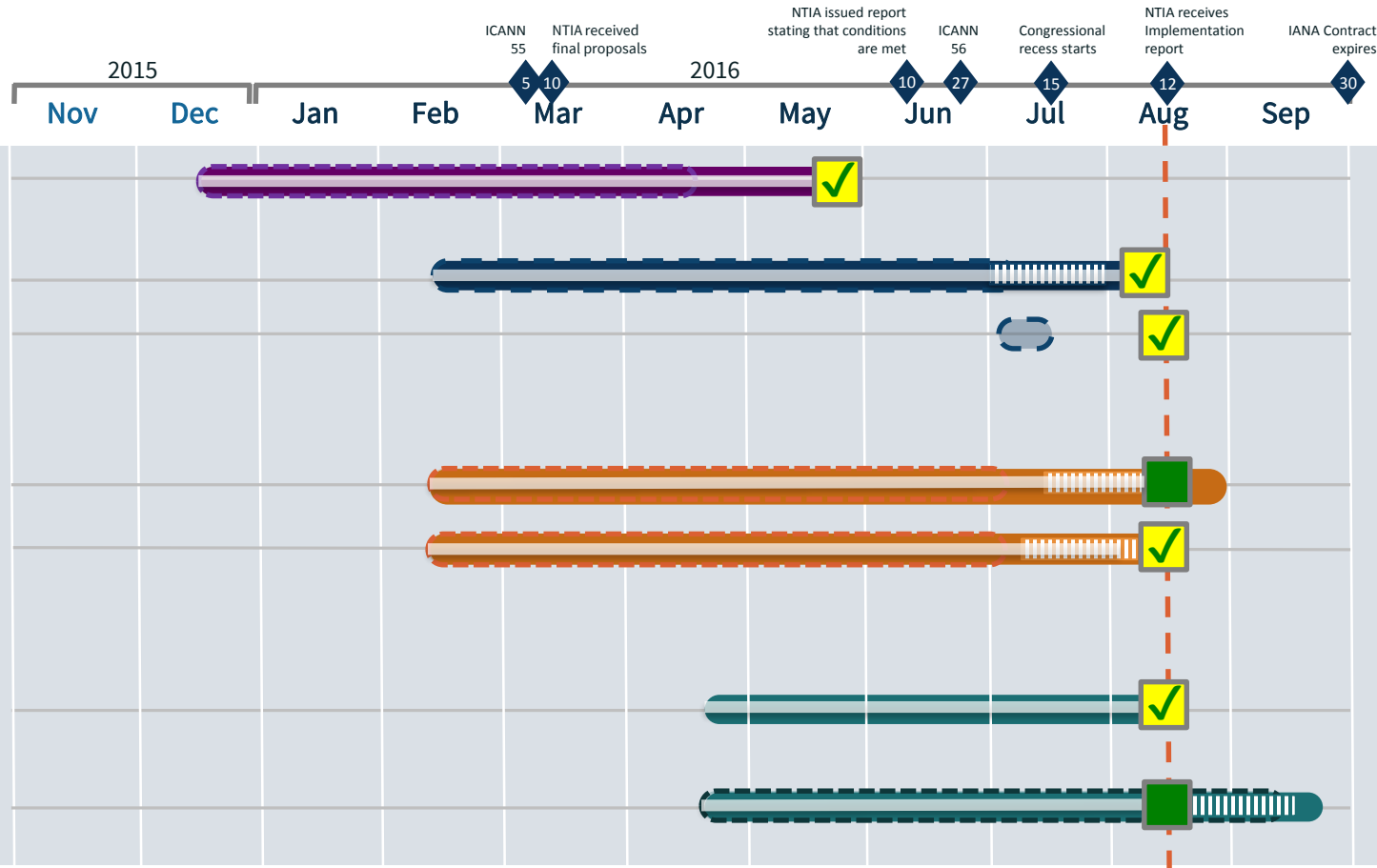
\* Timeframes are estimates | 13



**Project Updates:**  
 ✓ Signed the IETF MoU document on 24 June 2016.

**Upcoming Activities:**

**Open Items:**



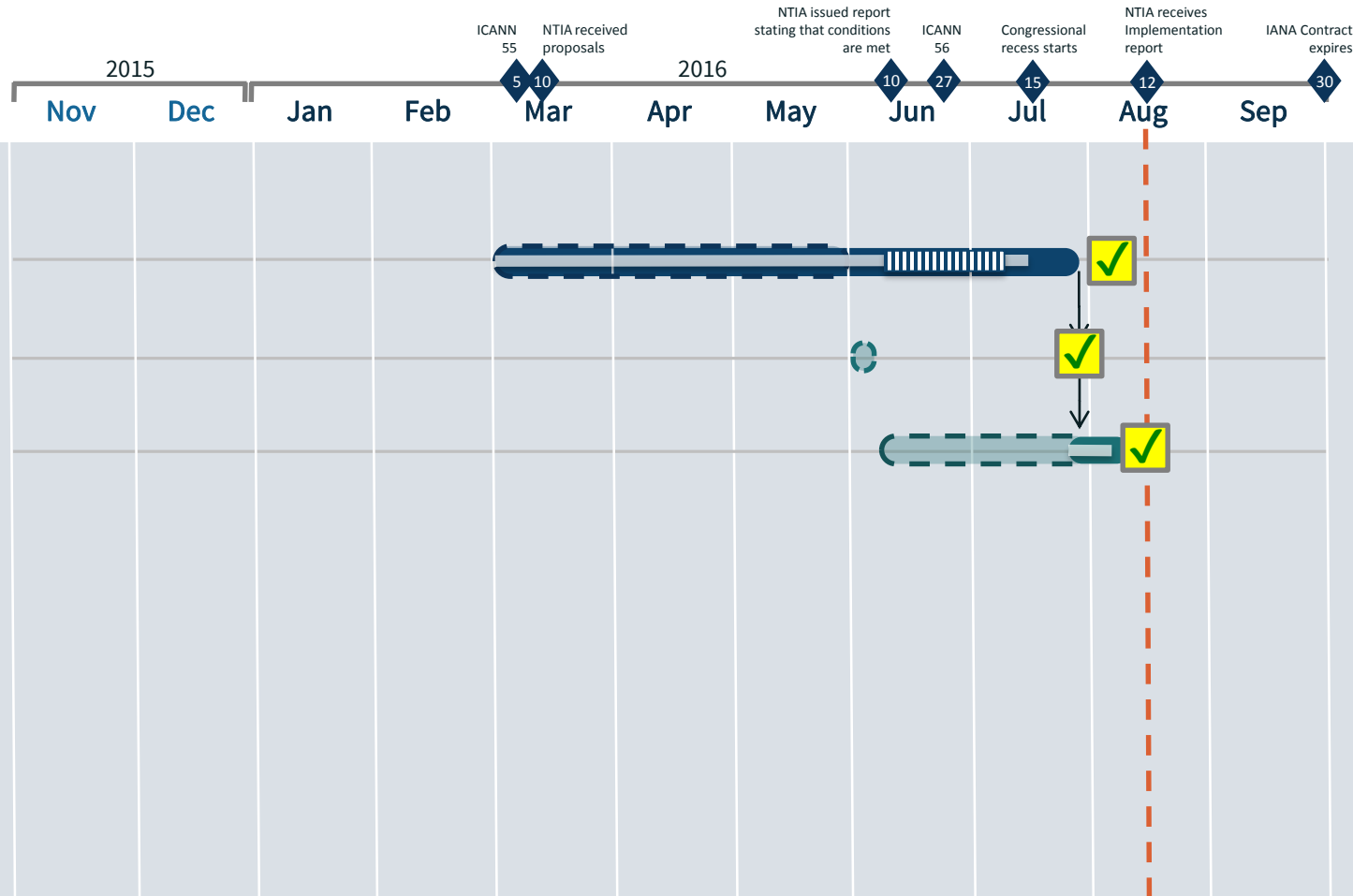
### Project Updates:

- ✓ Staff report and final PTI Articles posted.
- ✓ Staff analysis of comments submitted on governance documents began.
- ✓ IETF informed ICANN that it will not comment on the protocol parameter subcontracting agreement.

### Upcoming Activities:

- Publish staff analysis and final PTI governing documents.
- Naming Function agreement public comment period.
- Share 1<sup>st</sup> draft of services agreement with the community.

### Open Items:



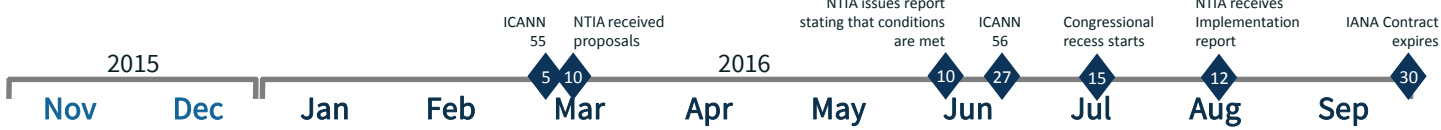
**Project Updates:**

✓ Staff report and final RZERC charter published.

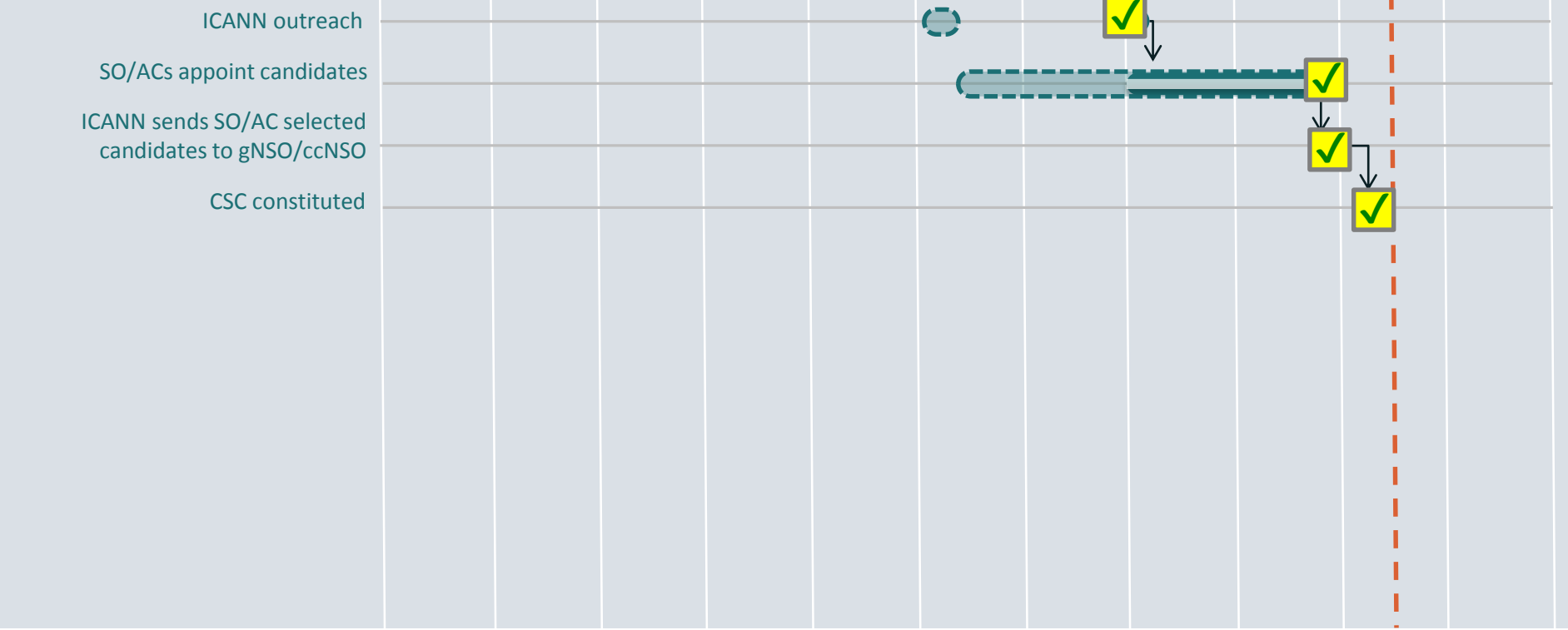
**Upcoming Activities:**

**Open Items:**





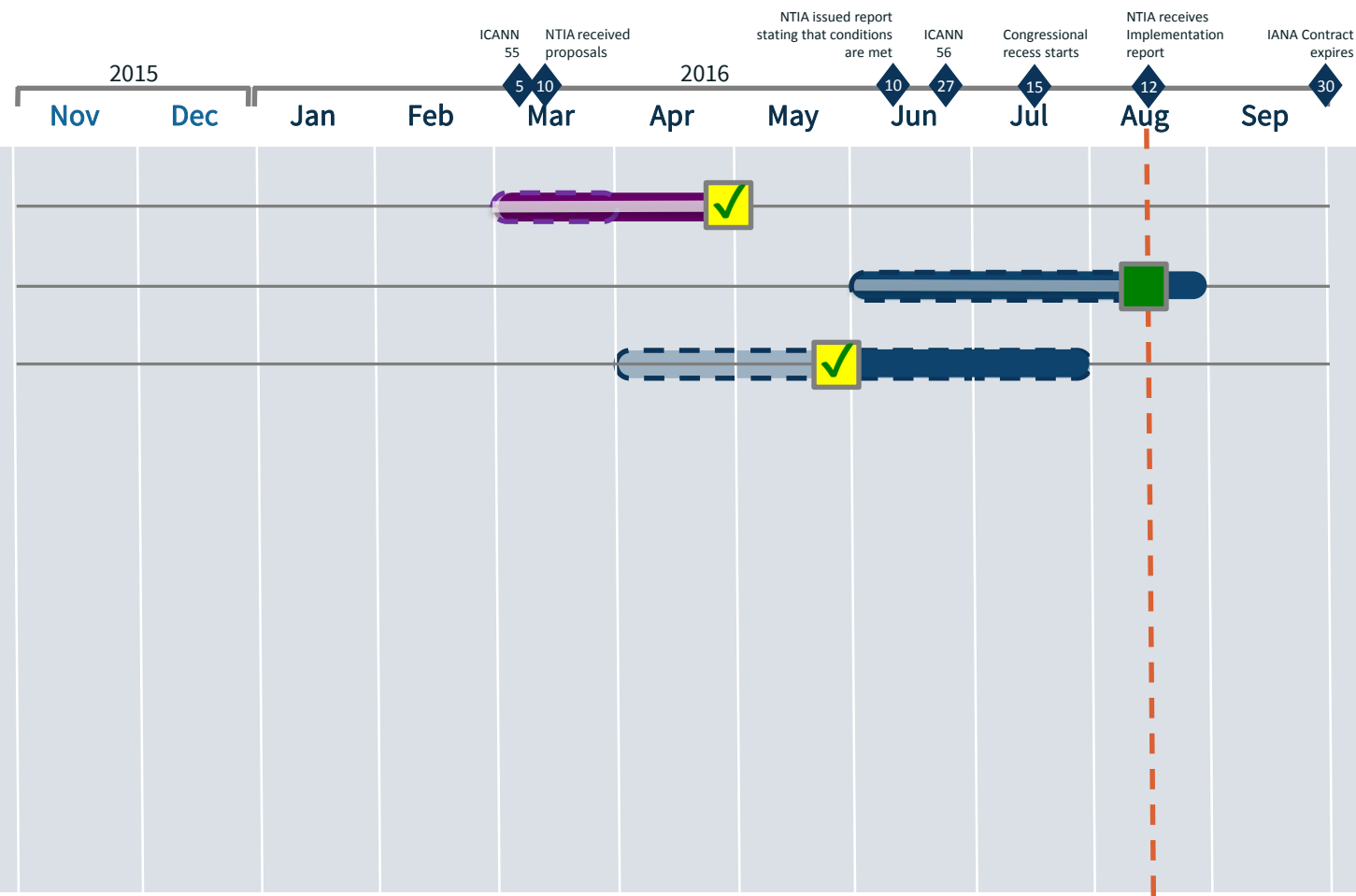
## CSC Formation



**Project Updates:**

**Upcoming Activities:**

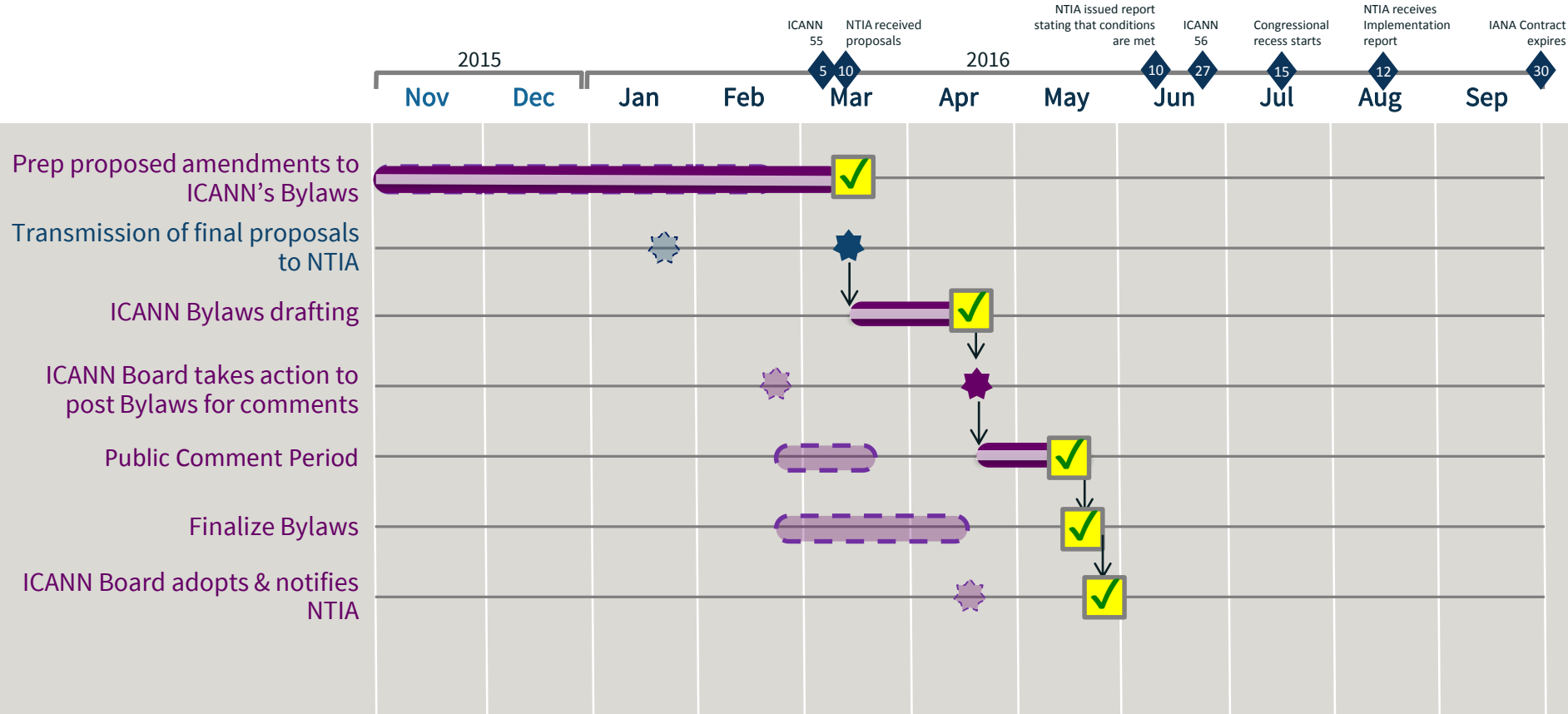
**Open Items:**



**Project Updates:**  
 ✓ Escalation processes documentation finalized.

**Upcoming Activities:**

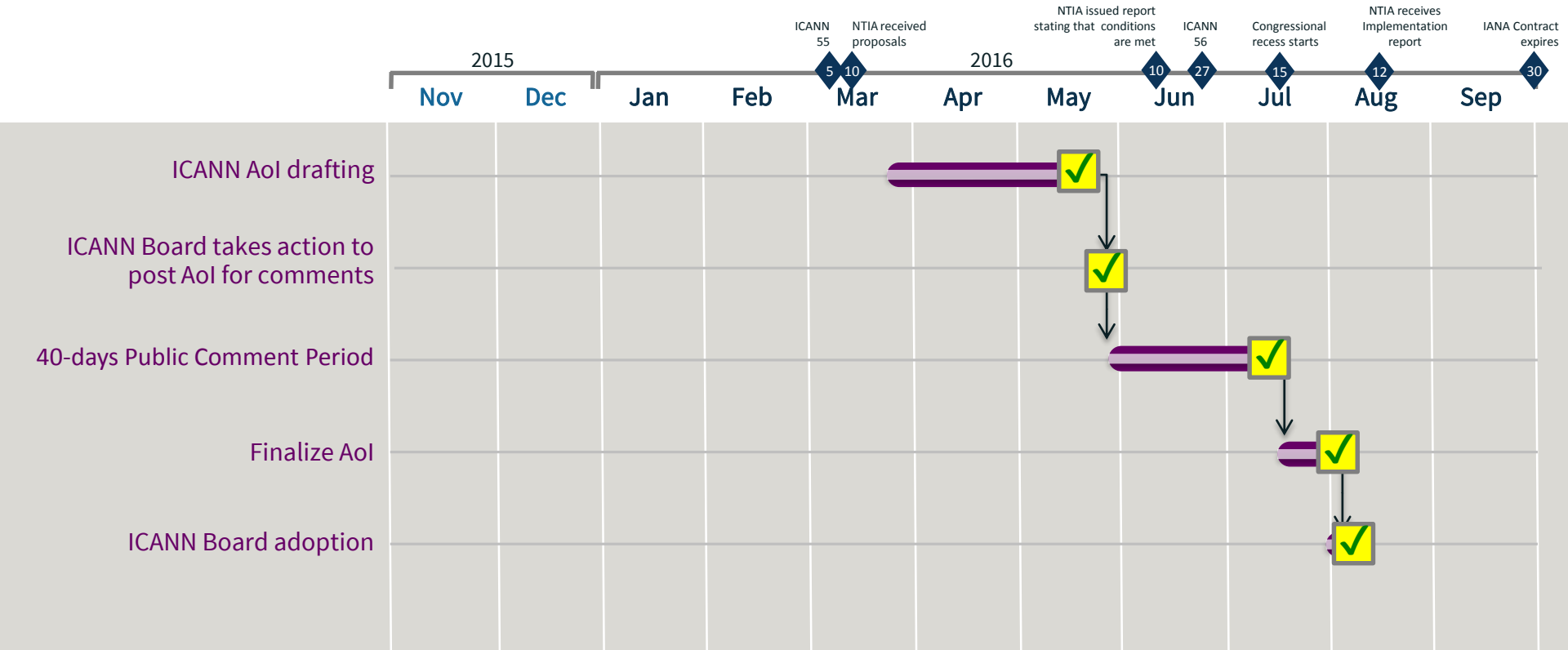
**Open Items:**



**Project Updates:**

**Upcoming Activities:**

**Open Items:**



**Project Updates:**  
 ✓ Staff analysis of public comments and final ICANN Articles published.

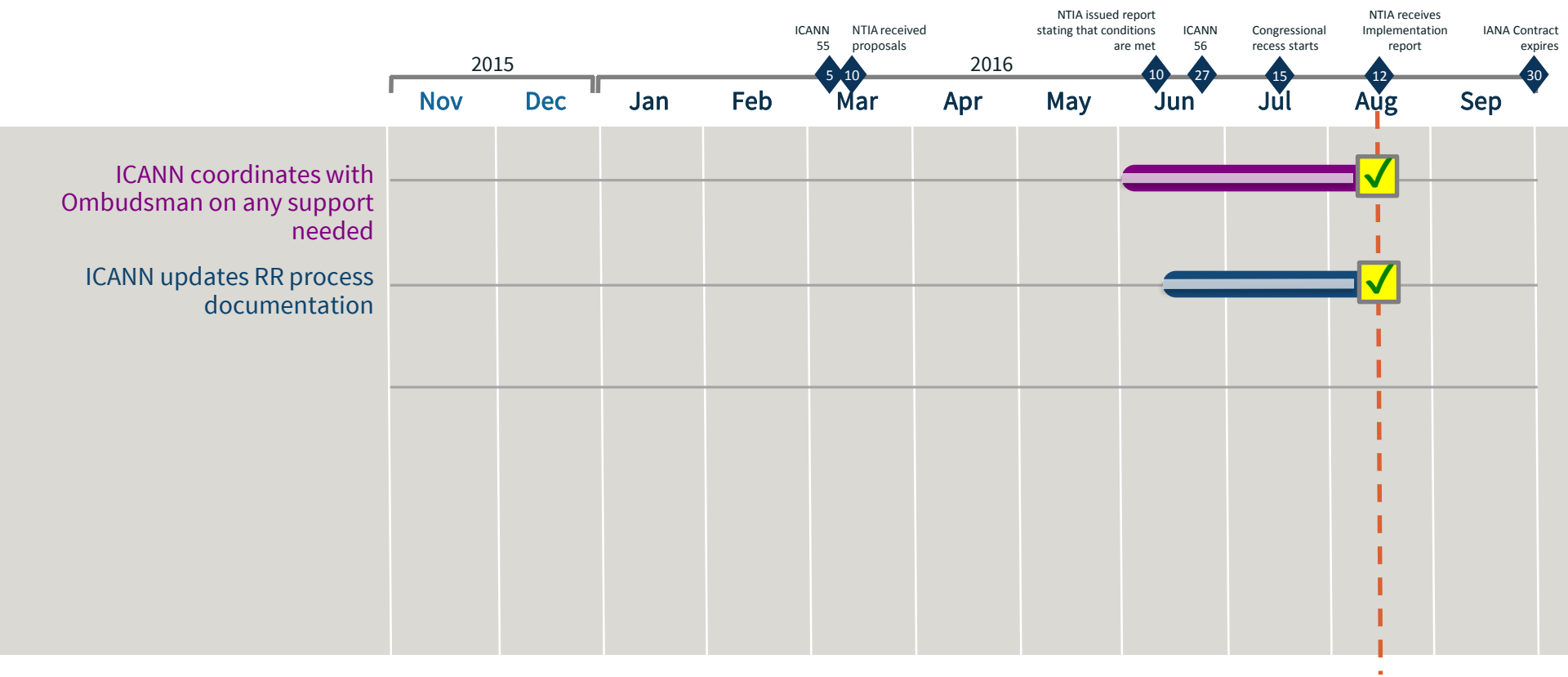
**Upcoming Activities:**

**Open Items:**

# Request For Reconsideration (RR) Enhancements

% Complete:  100%

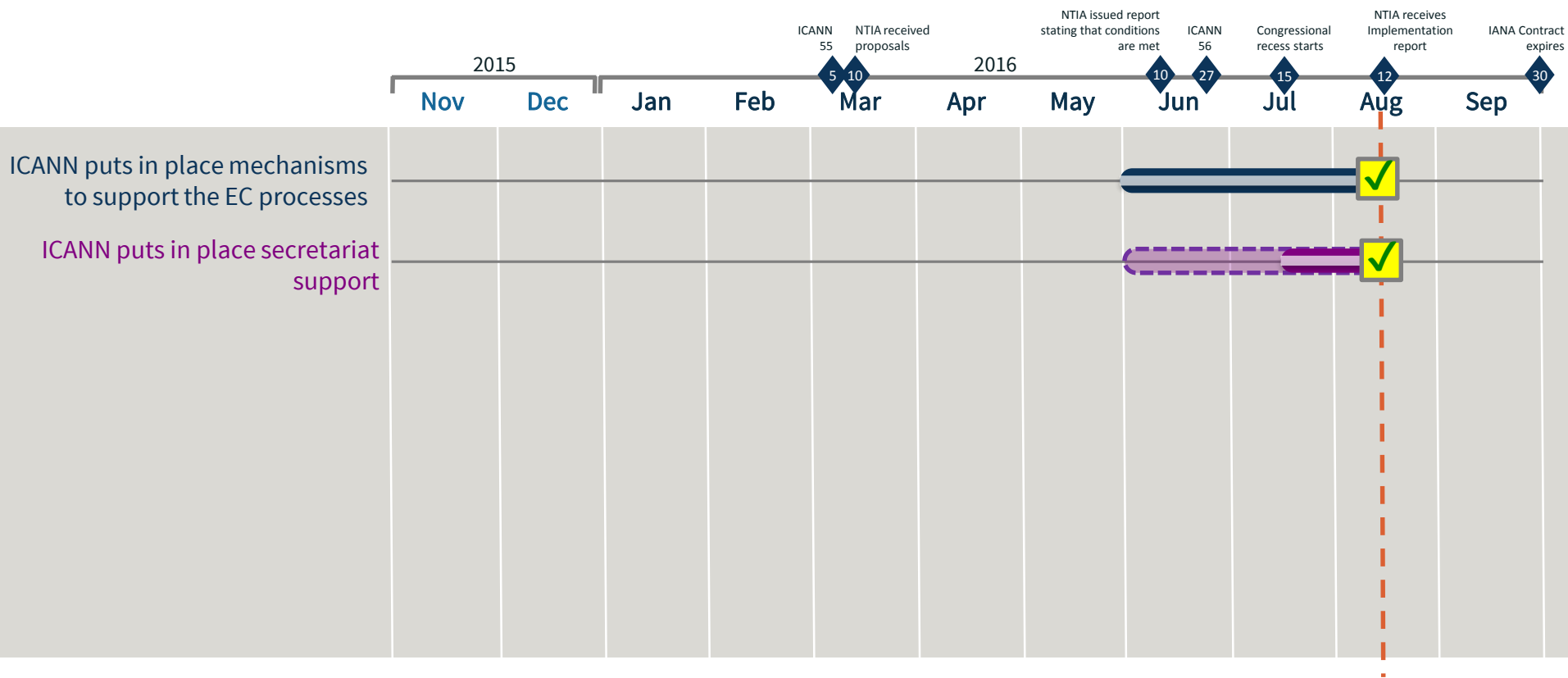
Status: Complete



**Project Updates:**  
 ✓ Finalizing Request for Reconsideration process documentation.

**Upcoming Activities:**

**Open Items:**



**Project Updates:**

- EC support mechanisms in place.

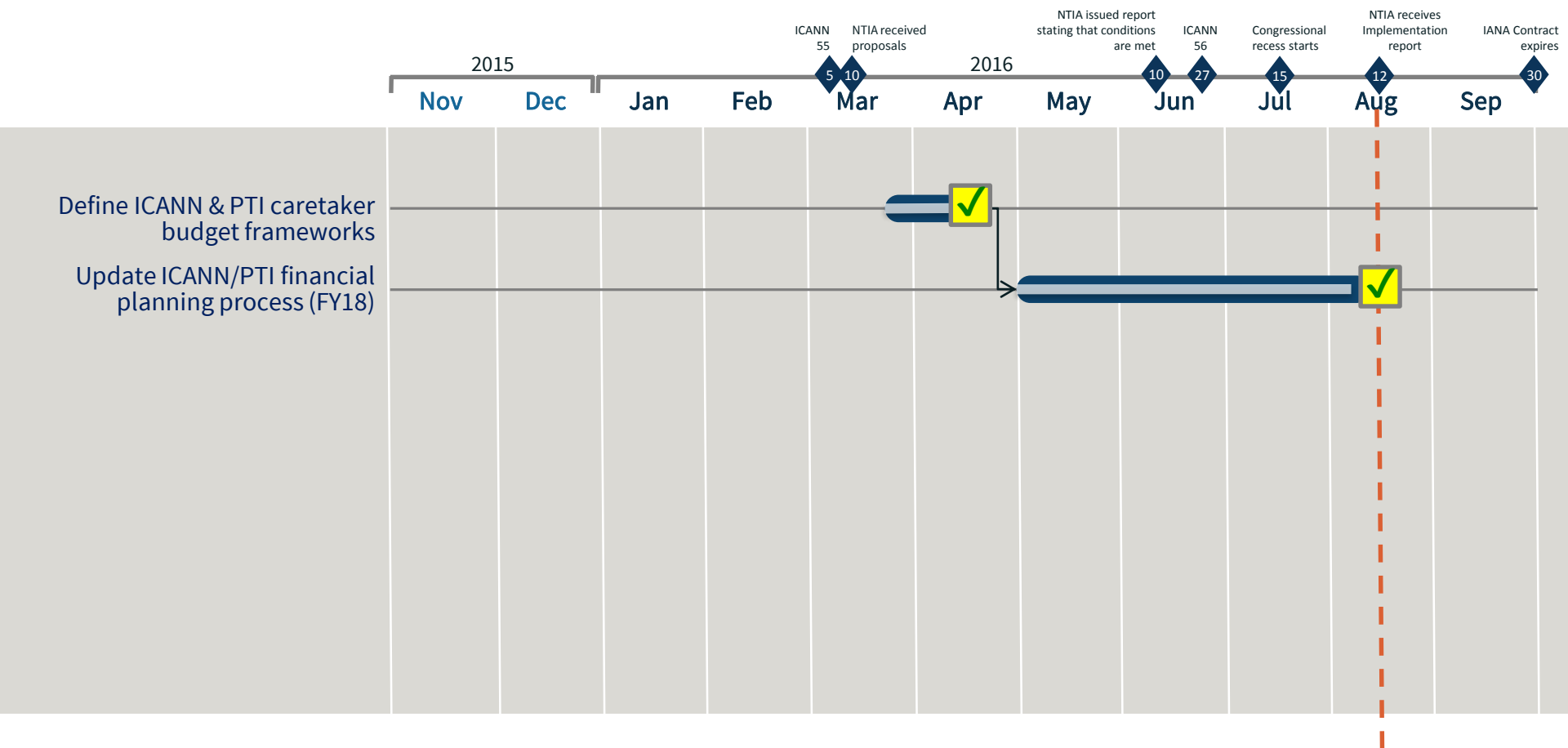
**Upcoming Activities:**

**Open Items:**

# Post-transition Financial Planning Process

% Complete:  100%

Status: Complete



**Project Updates:**  
 ✓ Finalized PTI budget language for inclusion in the PTI bylaws.

**Upcoming Activities:**

**Open Items:**