Transition Implementation Status Reporting

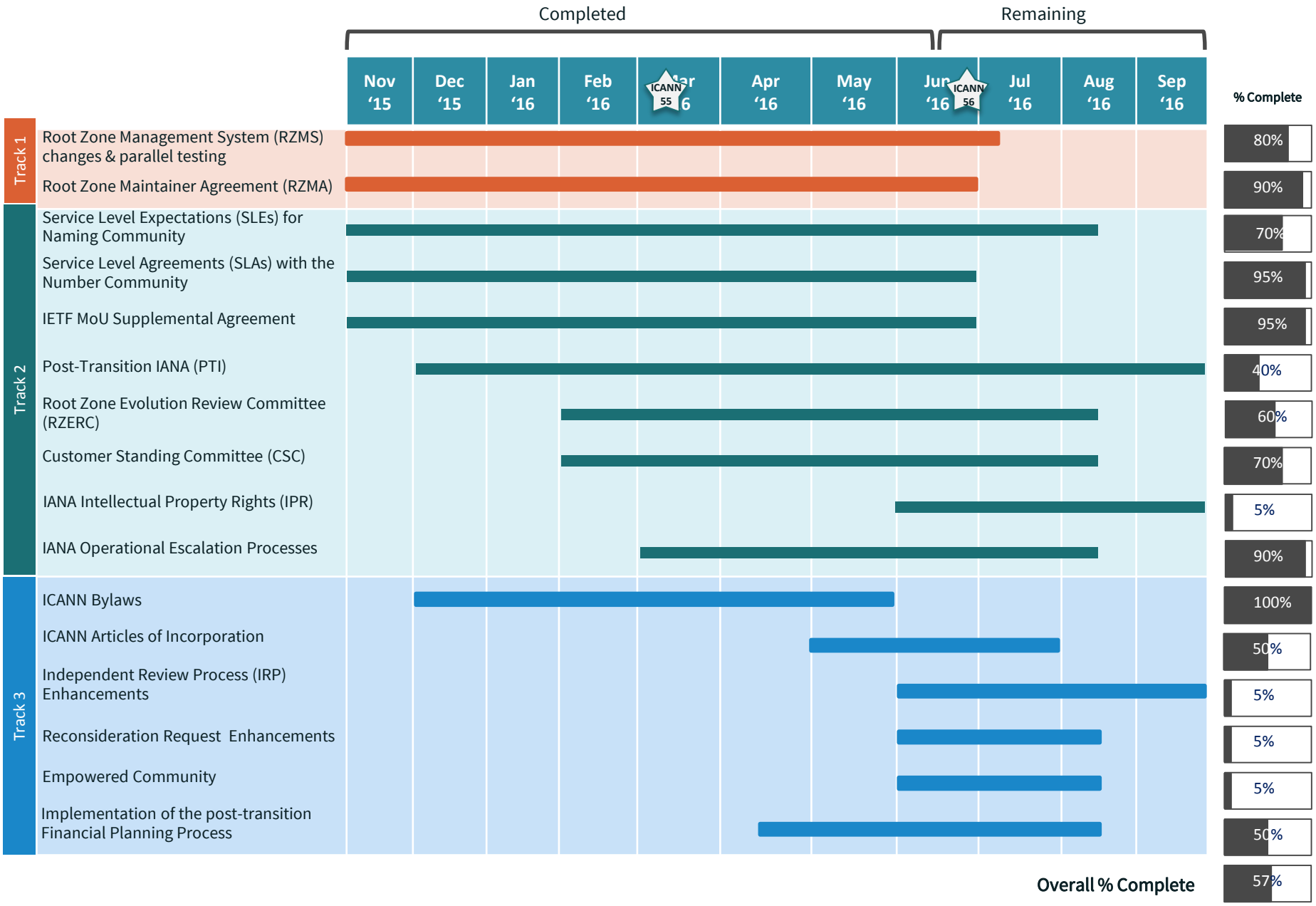
17 Jun 2016

Content

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

Overall Timeline

Implementation Planning Timeline – Proposals Delivered in March 2016



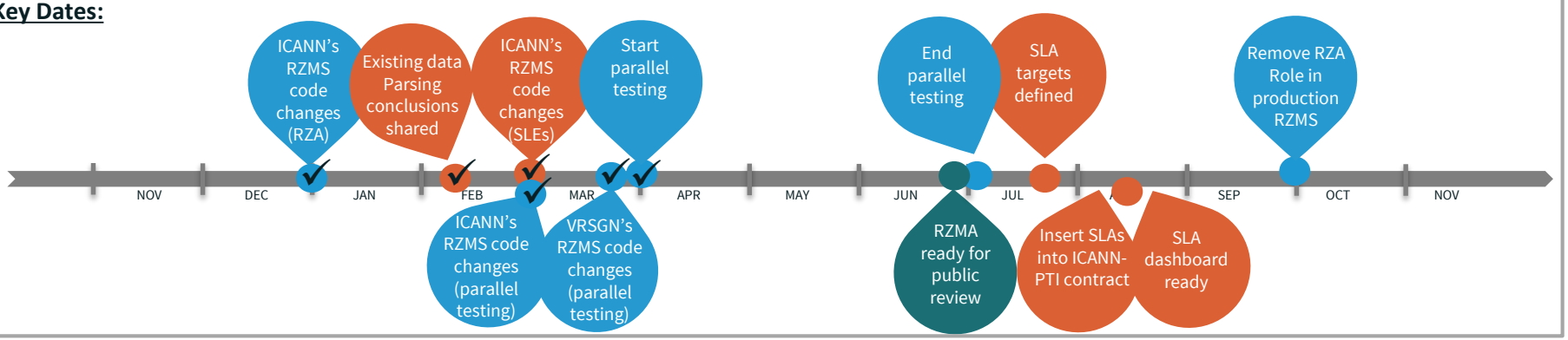
Status Update

RZMS, RZMA & Names SLEs

Status As Of Date: 06/15/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	~~09/30/16~~ ~~08/15/16~~ 07/06/16	On-track	80%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	~~09/30/16~~ 08/15/16	On-track	70%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	~~01/31/16~~ / ~~02/29/16~~ ~~04/15/16~~ / ~~04/30/16~~ ~~05/15/16~~ / ~~05/31/16~~/ 06/30/16	On-track	90%

Key Dates:



Project Updates:

- ✓ Completed 70 days of 90-day Parallel Testing period with no issues.
- ✓ SLE data collected since beginning of March is being aggregated and reviewed to propose performance targets.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

- Continue to monitor parallel operations.
- Continue to fine-tune dashboard.
- Finalize proposed performance targets.
- Finalize draft RZMA.

Open Items:

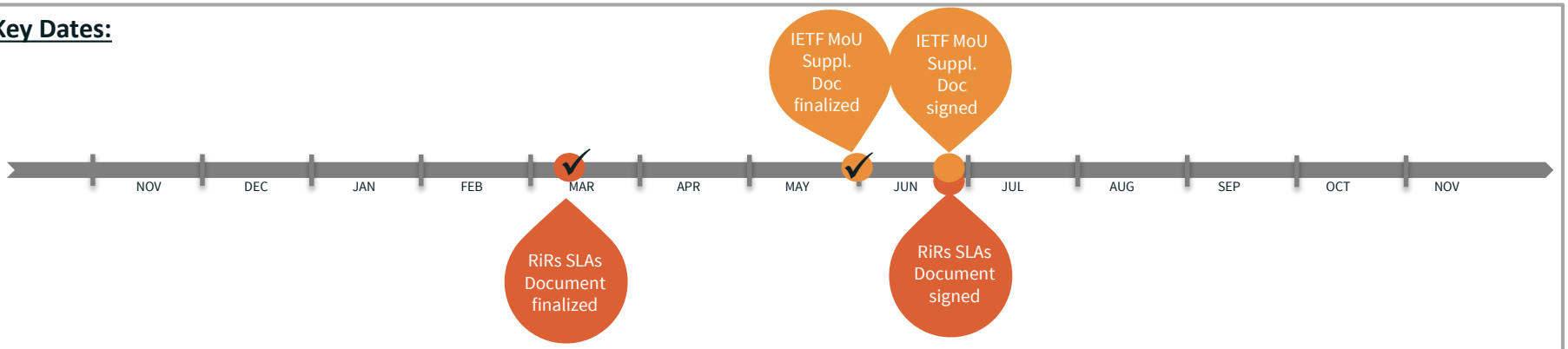
RIRs SLAs & IETF MoU Supplemental Agreement

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date*	Status	% Complete
	RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		95%
	IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		95%

** Completion Date dependent on USG's approval of proposals*

Key Dates:



Project Updates:

Upcoming activities:

- Signing of the RIR SLA document
- Signing of the IETF MoU document

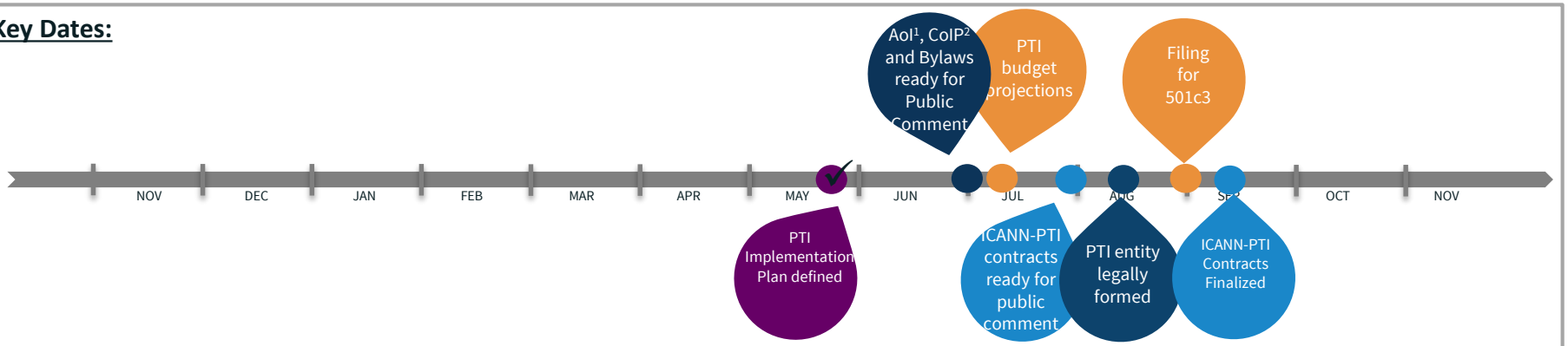
Open Items:

PTI

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	PTI *(Post-Transition IANA)*	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	~~09/30/16~~ ~~08/15/16~~ 09/30/16		40%

Key Dates:



[1] AoI: Articles of Incorporation / [2]CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Shared PTI staffing rationale with the community.
- ✓ Drafting of the ICANN-PTI contracts.
- ✓ Drafting of PTI conflict of interest policy, code of conduct and expected standard of behavior.

Upcoming activities:

- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.
- Share PTI conflict of interest policy, code of conduct and expected standard of behavior with the community.

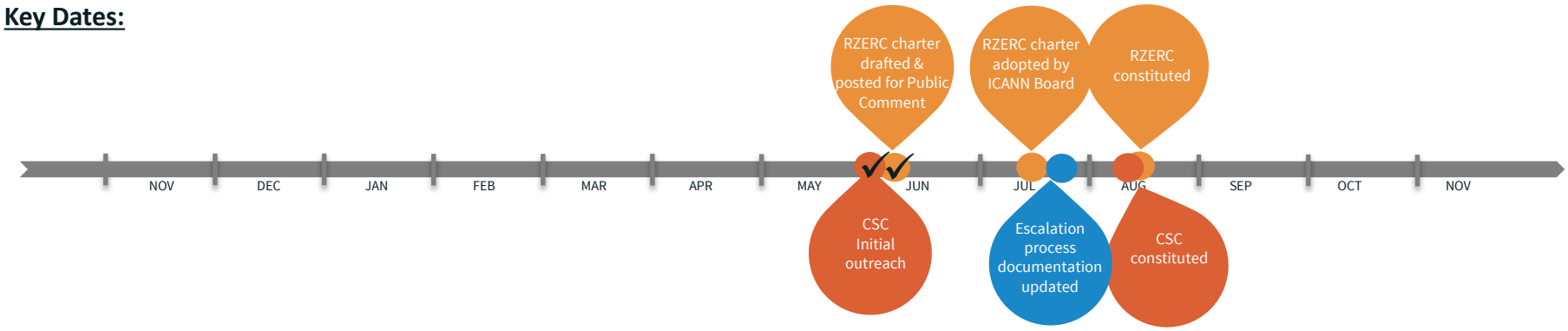
Open Items:

IPR, RZERC, CSC & Escalation Processes

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	IANA IPR	Transfer of IANA IPR to a neutral trust.	9/30/16		5%
	RZERC *(Root Zone Evolution Review Committee)*	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	~~09/30/16~~ 08/15/16		60%
	CSC *(Customer Service Standing Committee)*	Formation of committee to monitor PTI performance.	~~09/30/16~~ 08/15/16		70%
	Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	~~09/30/16~~ 08/15/16		90%

Key Dates:



Project Updates:

- ✓ RZERC charter posted for public comments.
- ✓ CSC request for appointments sent to SO/ACs.
- ✓ Escalation processes documentation being updated.

Upcoming Activities:

- Monitor public comments on RZERC charter.
- Appointing organizations appoint CSC candidates.
- Finalize escalation processes documentation.

Open Items:

- Waiting on implementation requirements for IANA IPR.

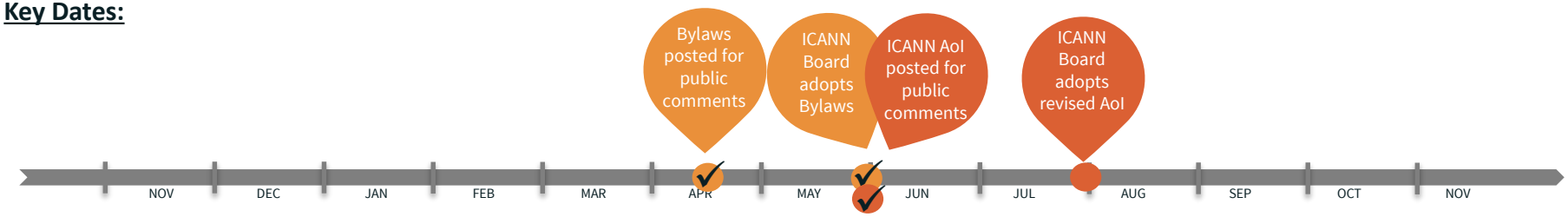
On-track
Behind schedule, but recovery still possible
Not started
Target will be missed

Enhancing ICANN's Accountability

Status As Of Date: 06/15/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	~~06/09/16~~ 05/27/16	✓	100%
ICANN's Articles of Incorporation (AoI)	Updating of ICANN Articles of Incorporation	07/31/16		50%
IRP Enhancements	Implement enhancements to Independent Review Process.	~~09/30/16 - 08/15/16~~ 09/30/16		5%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	~~09/30/16~~ 08/15/16		5%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	~~09/30/16~~ 08/15/16		5%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		50%

Key Dates:



Project Updates:

- ✓ ICANN Articles of Incorporation posted for 40-day Public Comment period.
- ✓ Started initial assessment of items needed to support the Empowered Community.

Upcoming Activities:

- Monitor public comments on ICANN AoI.
- Finalize financial planning process.

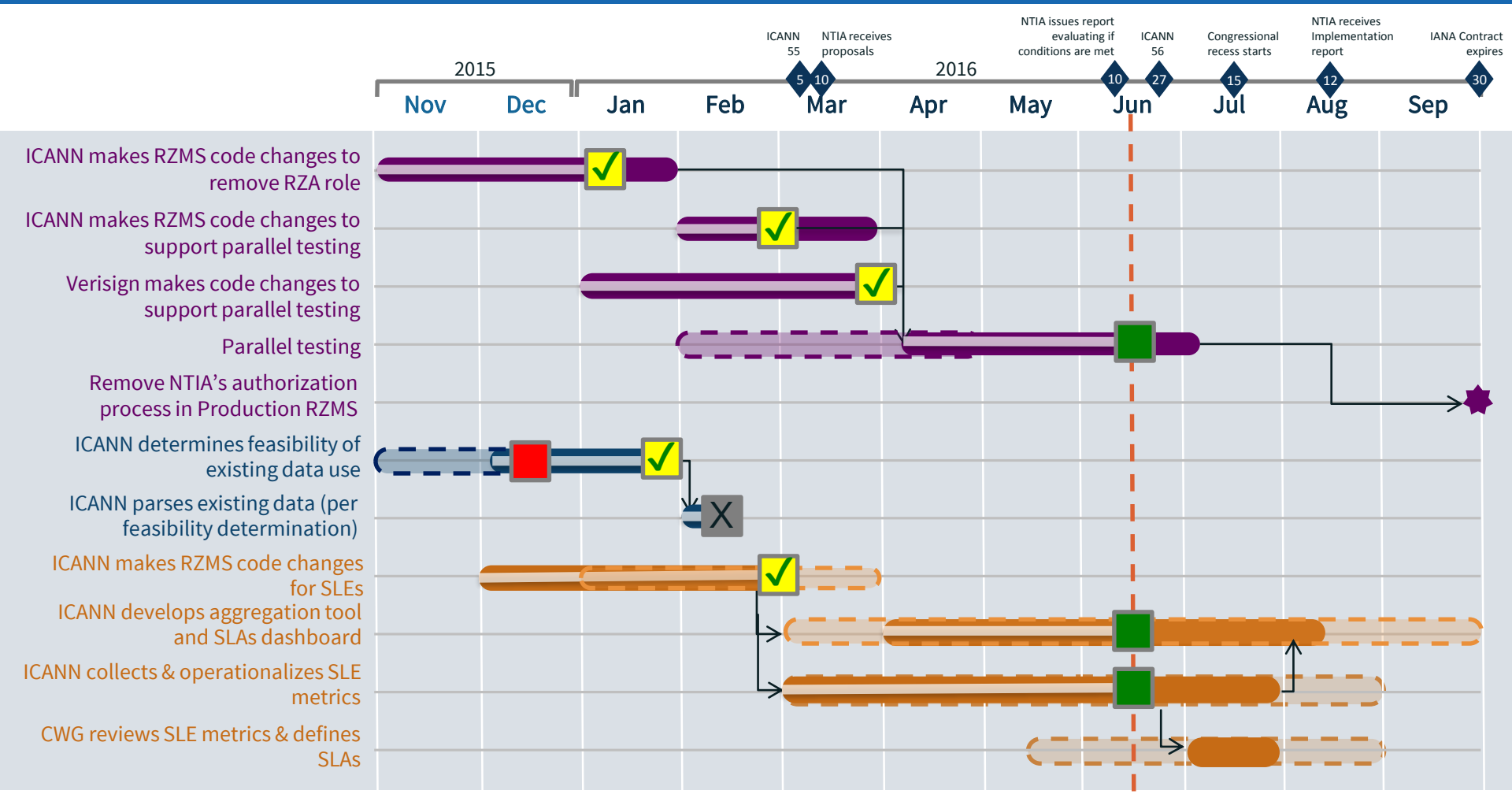
Open Items:

Appendix
High-Level Project Plans

RZMS & SLEs for Naming Community

% Complete: 70%

Status: On-track



Project Updates:

- ✓ Completed 70 days of 90-day Parallel Testing period with no issues.
- ✓ Collected 3 months of SLE data collection.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

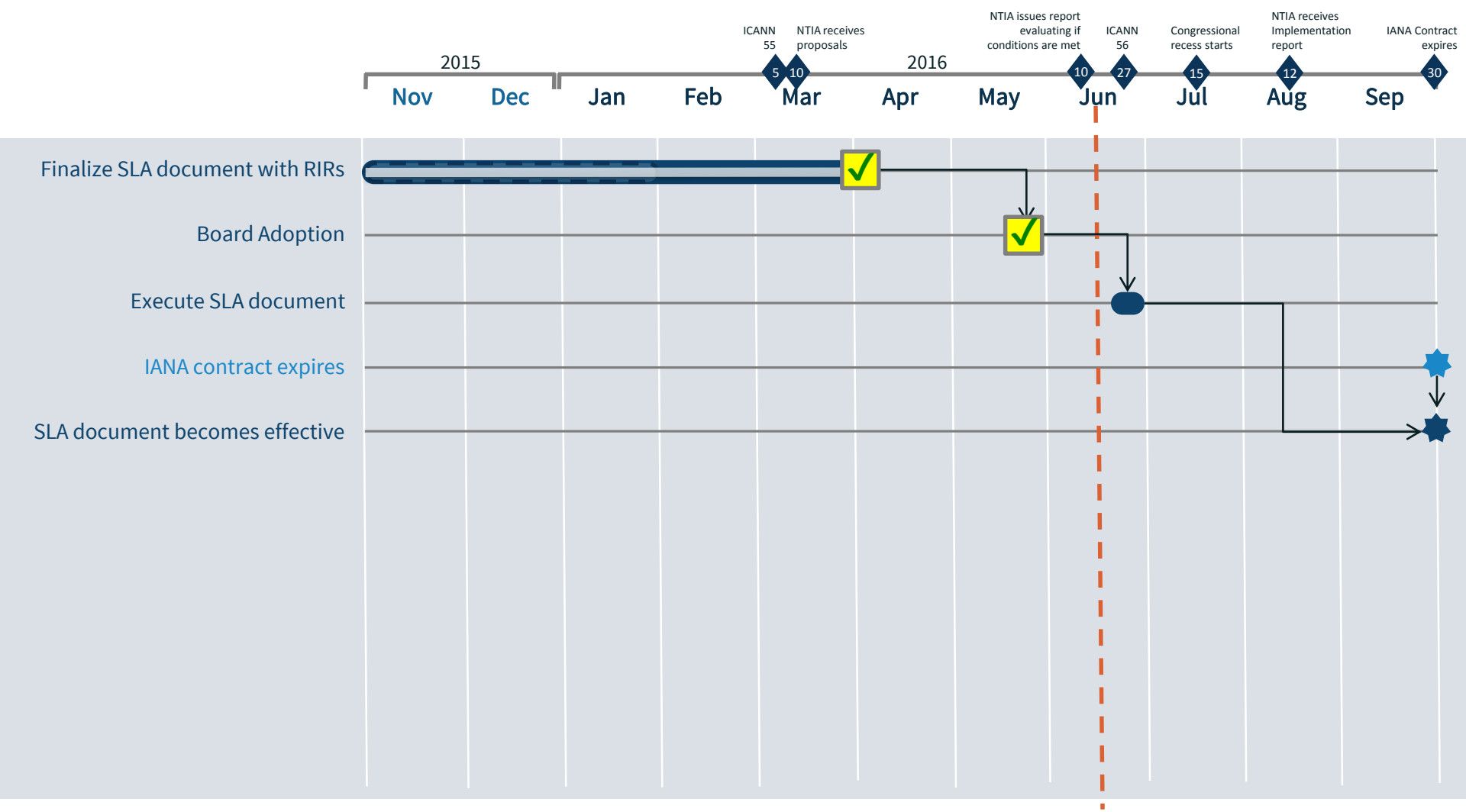
- Continue to monitor parallel operations.
- Finalize development of data aggregation tool / reporting dashboard.
- Elaborate proposal for SLAs thresholds.

Open Items:

RIRs SLA

% Complete: 95%

Status: On-track



Project Updates:

Upcoming Activities:

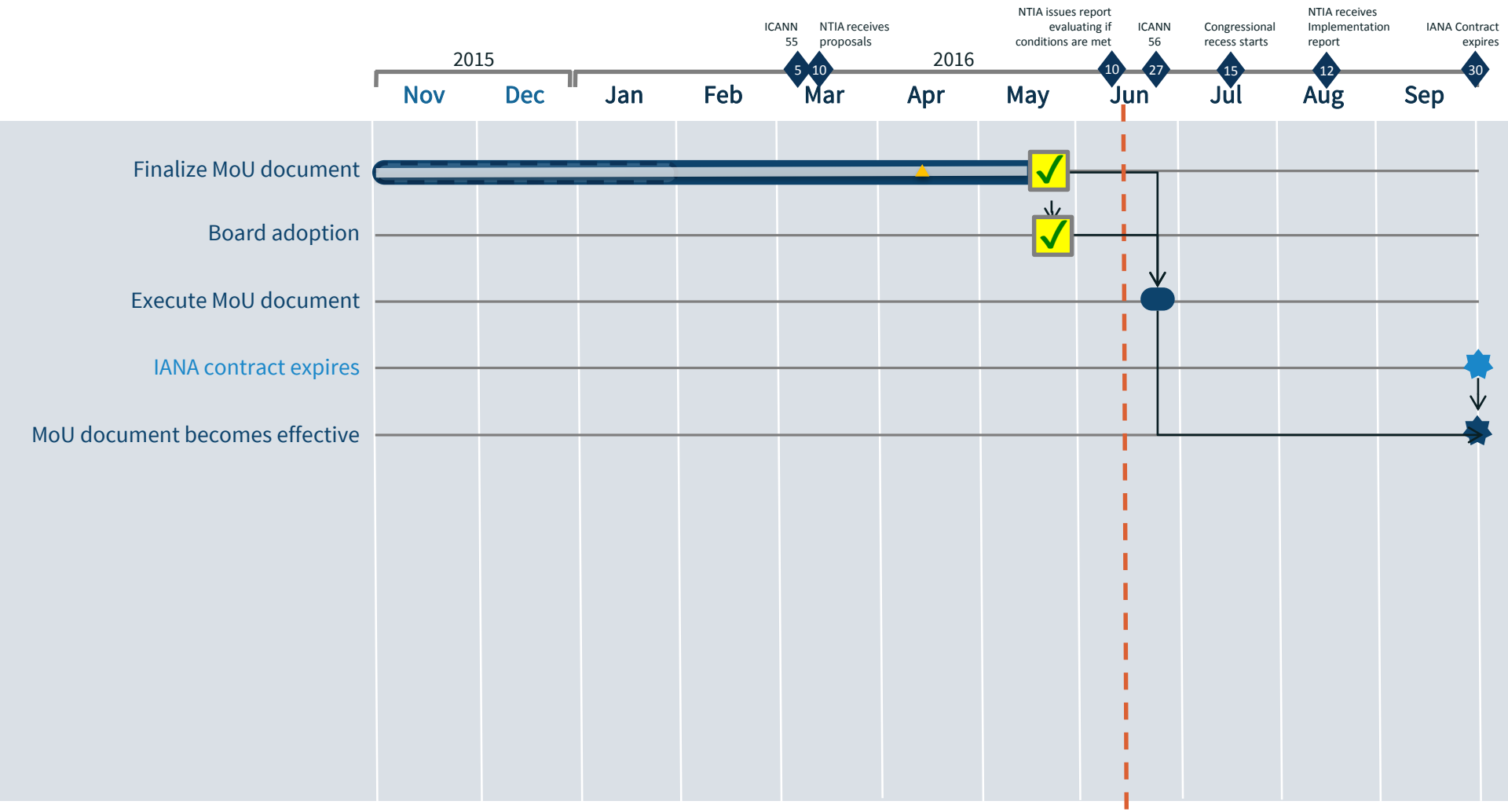
- Signing of the RIR SLA document.

Open Items:

IETF MoU Supplemental Agreement ("MoU")

% Complete: 95%

Status: On-track



Project Updates:

✓

Upcoming Activities:

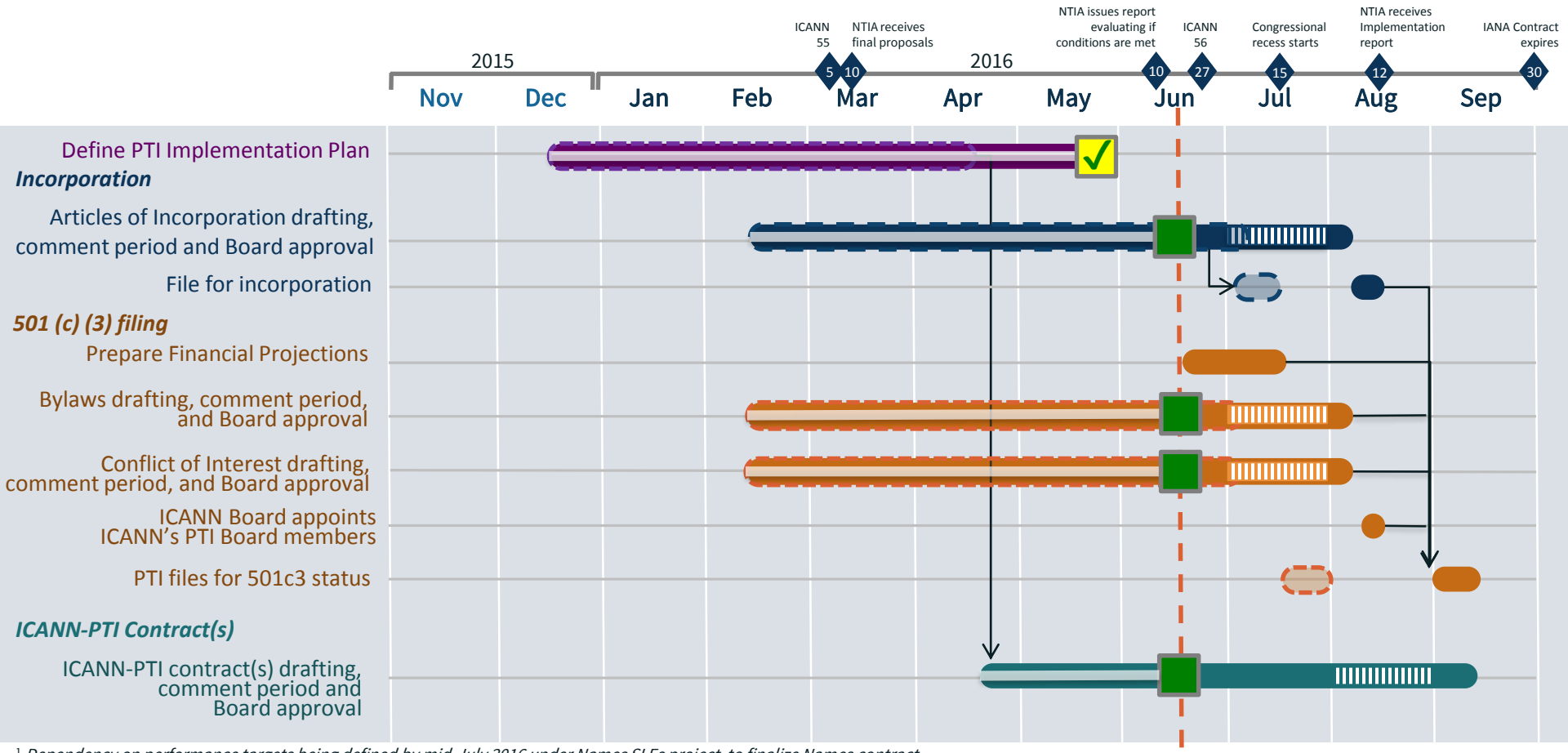
- Signing of the IETF MoU document.

Open Items:

Post-Transition IANA (PTI)

% Complete: 40%

Status: on track



[1] *Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract*

Project Updates:

- ✓ Shared PTI staffing rationale with the community.

Upcoming Activities:

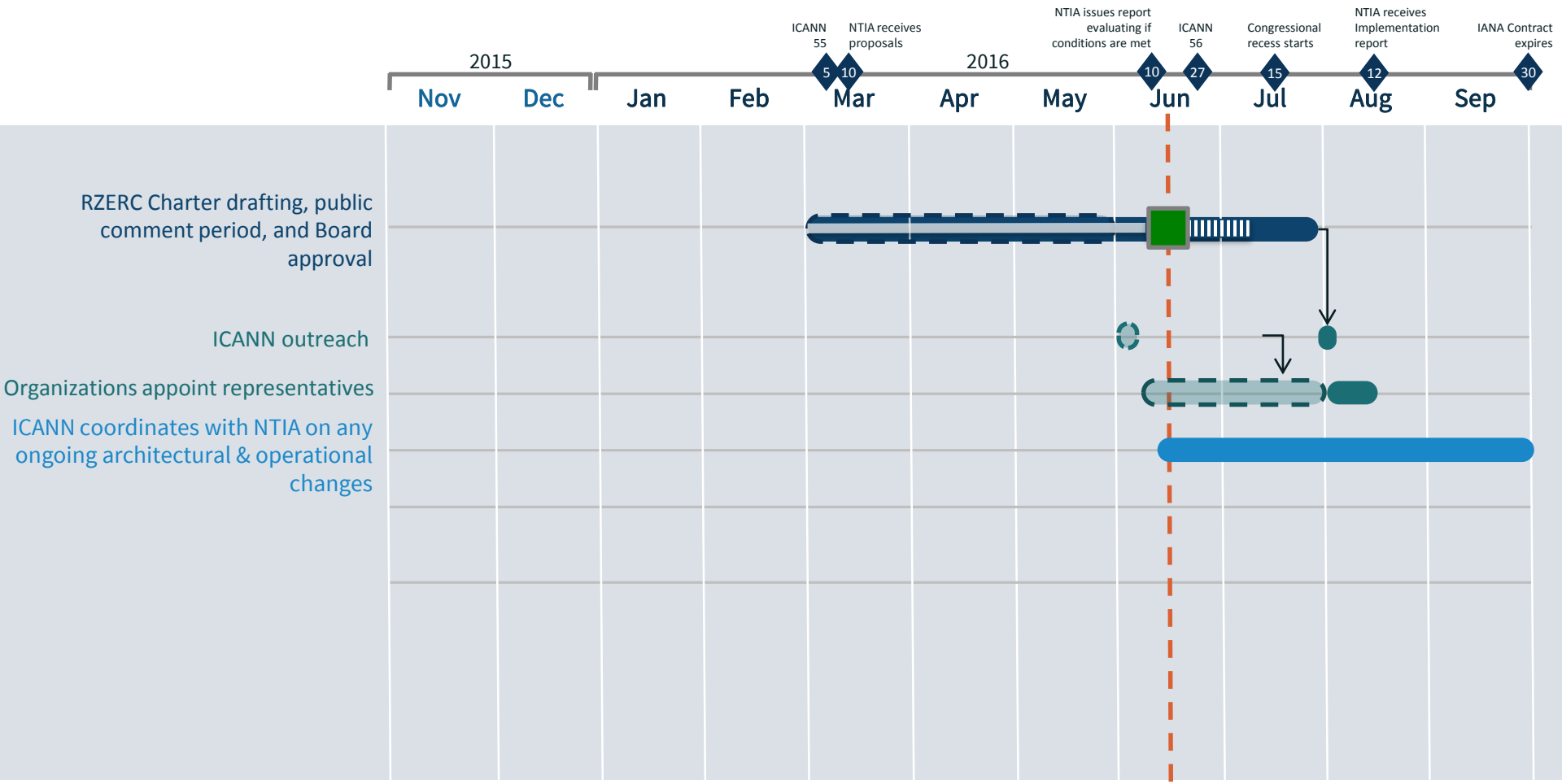
- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.

Open Items:

RZERC (Root Zone Evolution Review Committee)

% Complete: 60%

Status: On-track



Project Updates:

- ✓ RZERC charter finalized and posted for public comments.

Upcoming Activities:

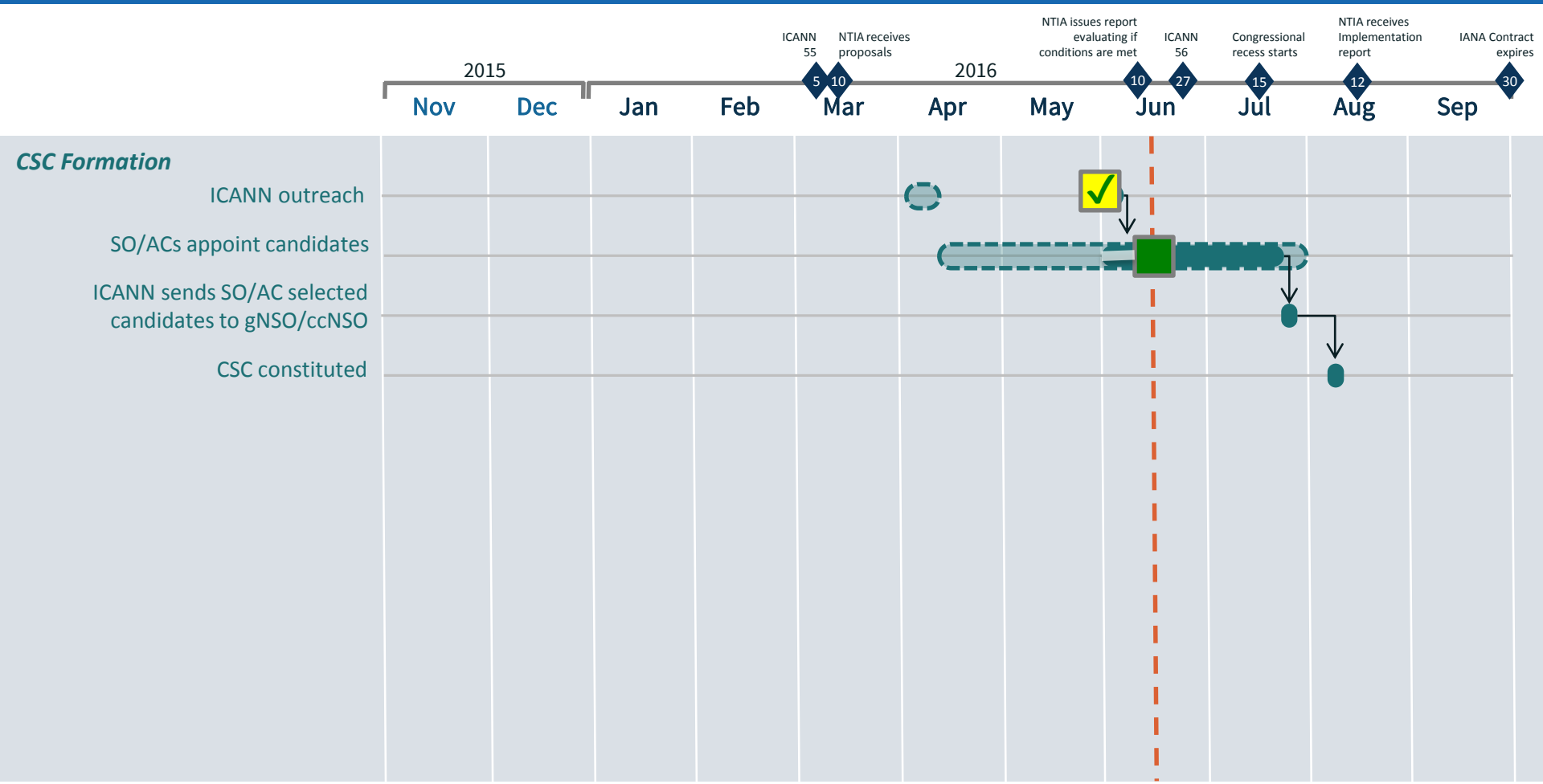
- Monitor public comments.

Open Items:

Customer Standing Committee (CSC)

% Complete: 70%

Status: On-track



Project Updates:

Upcoming Activities:

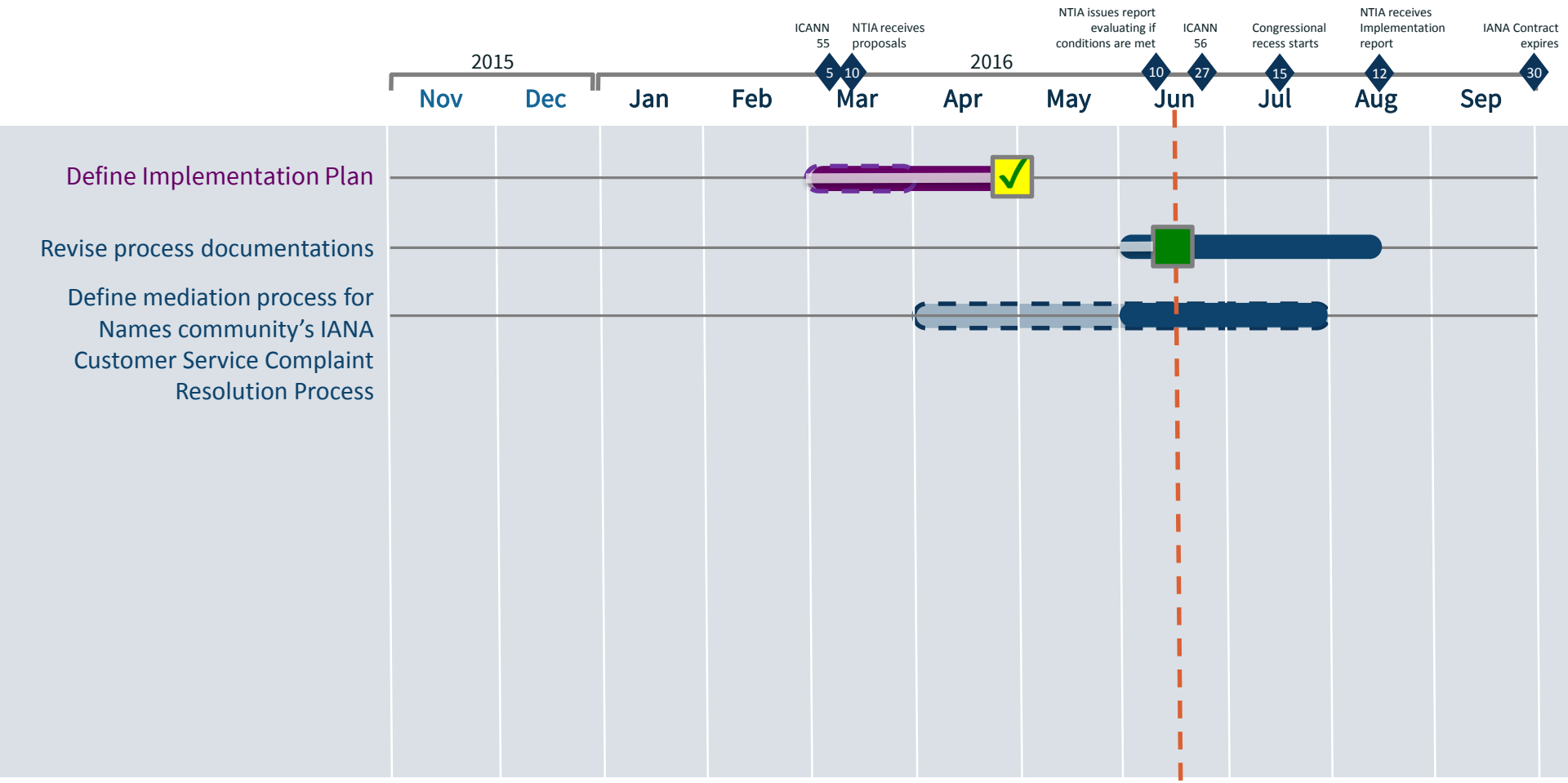
- SO/Acs to appoint candidates.

Open Items:

IANA Operational Escalation Processes

% Complete: 90%

Status: On-track



Project Updates:

- ✓ Escalation processes documentation has been updated, and is under management review.

Upcoming Activities:

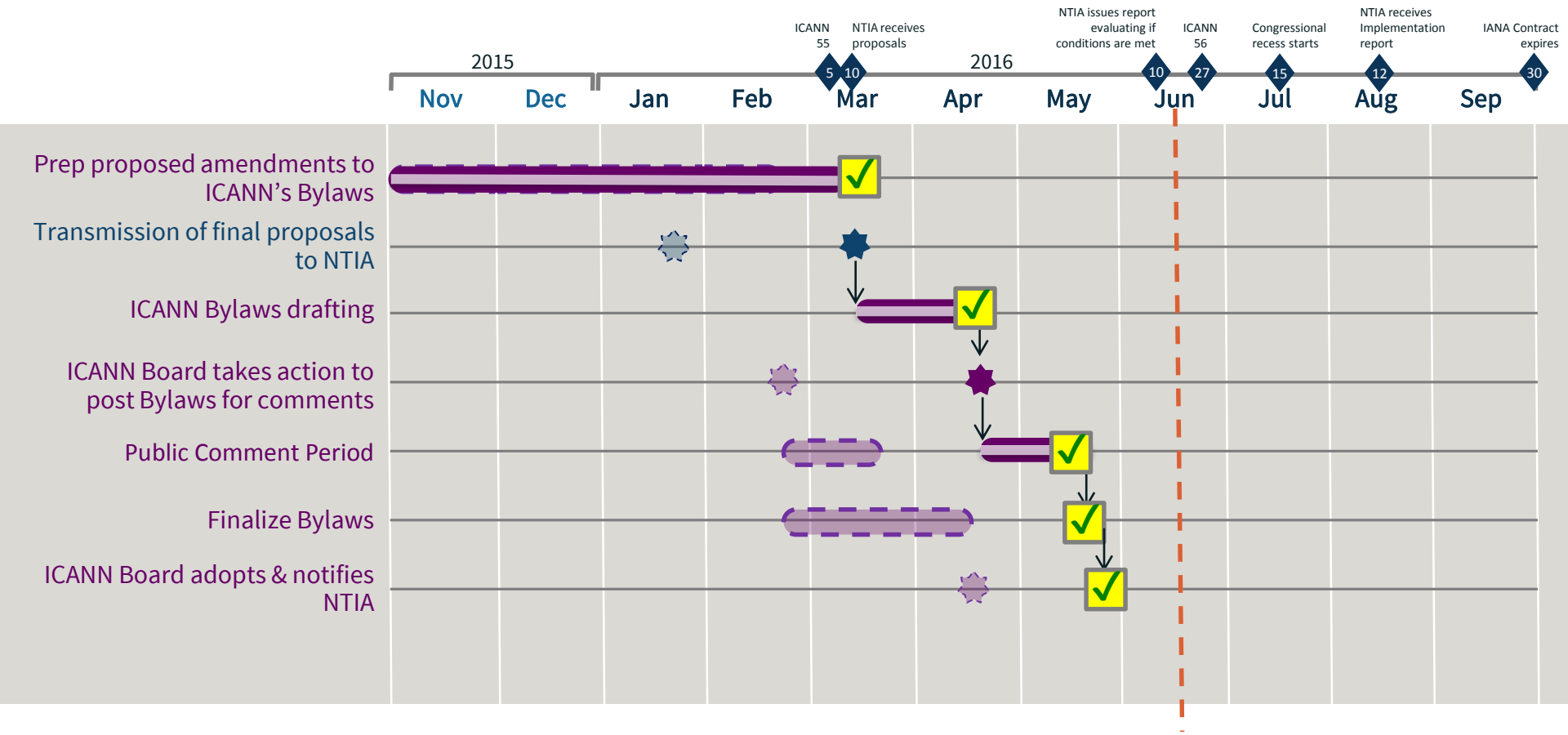
- Review escalation processes documentation.

Open Items:

ICANN Bylaws

% Complete: 100%

Status: Complete



Project Updates:

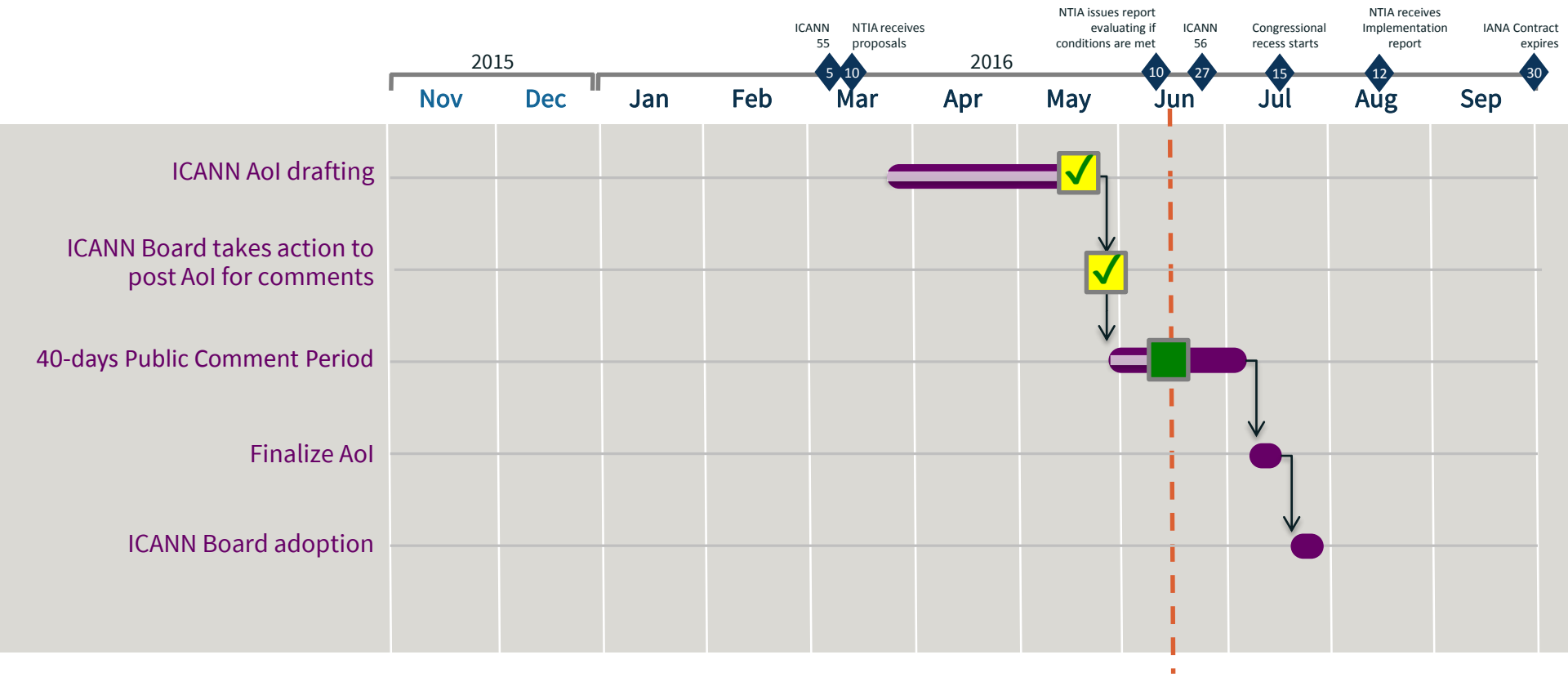
Upcoming Activities:

Open Items:

ICANN Articles of Incorporation (AoI)

% Complete: 50%

Status: On-track



Project Updates:

- ✓ ICANN Articles of Incorporation posted for 40-day Public Comment period.

Upcoming Activities:

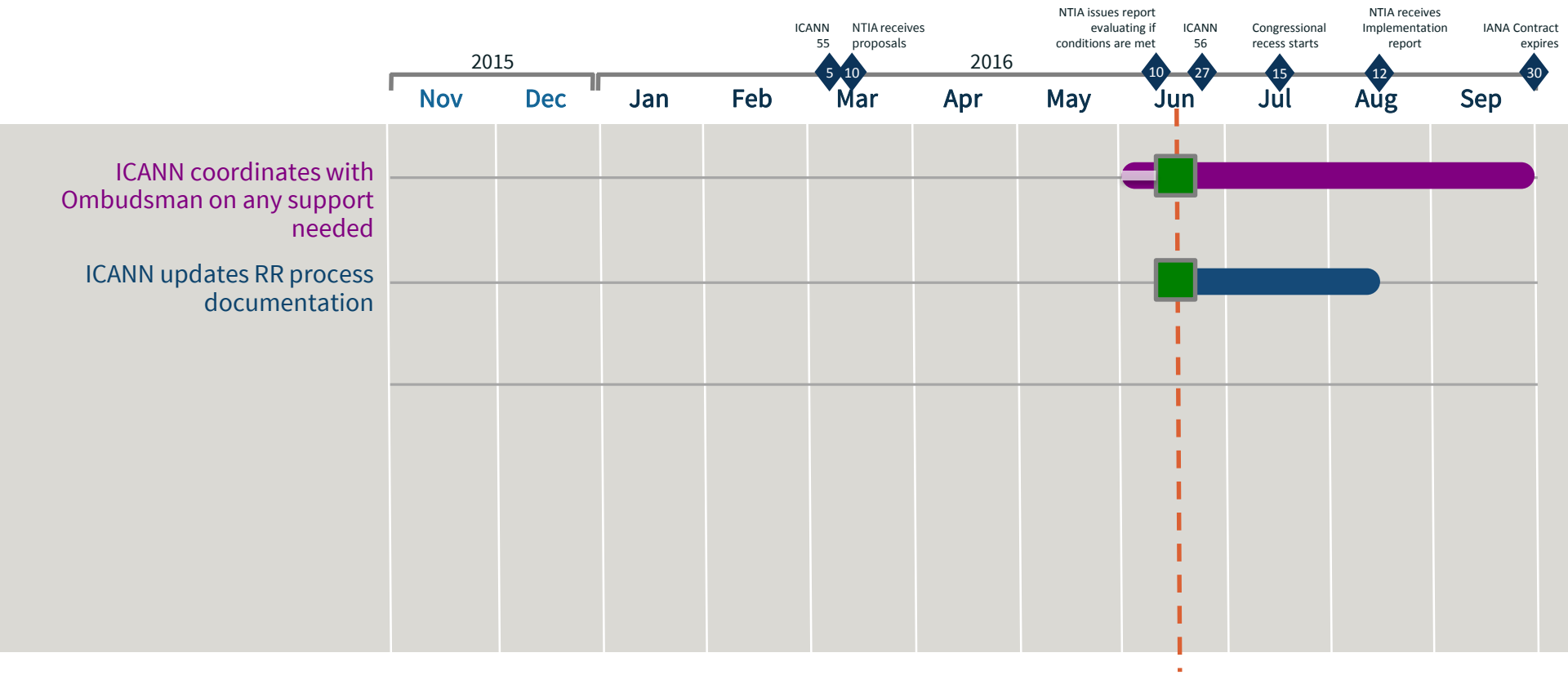
- Monitor public comments.

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete: 5%

Status: On-track



Project Updates:

Upcoming Activities:

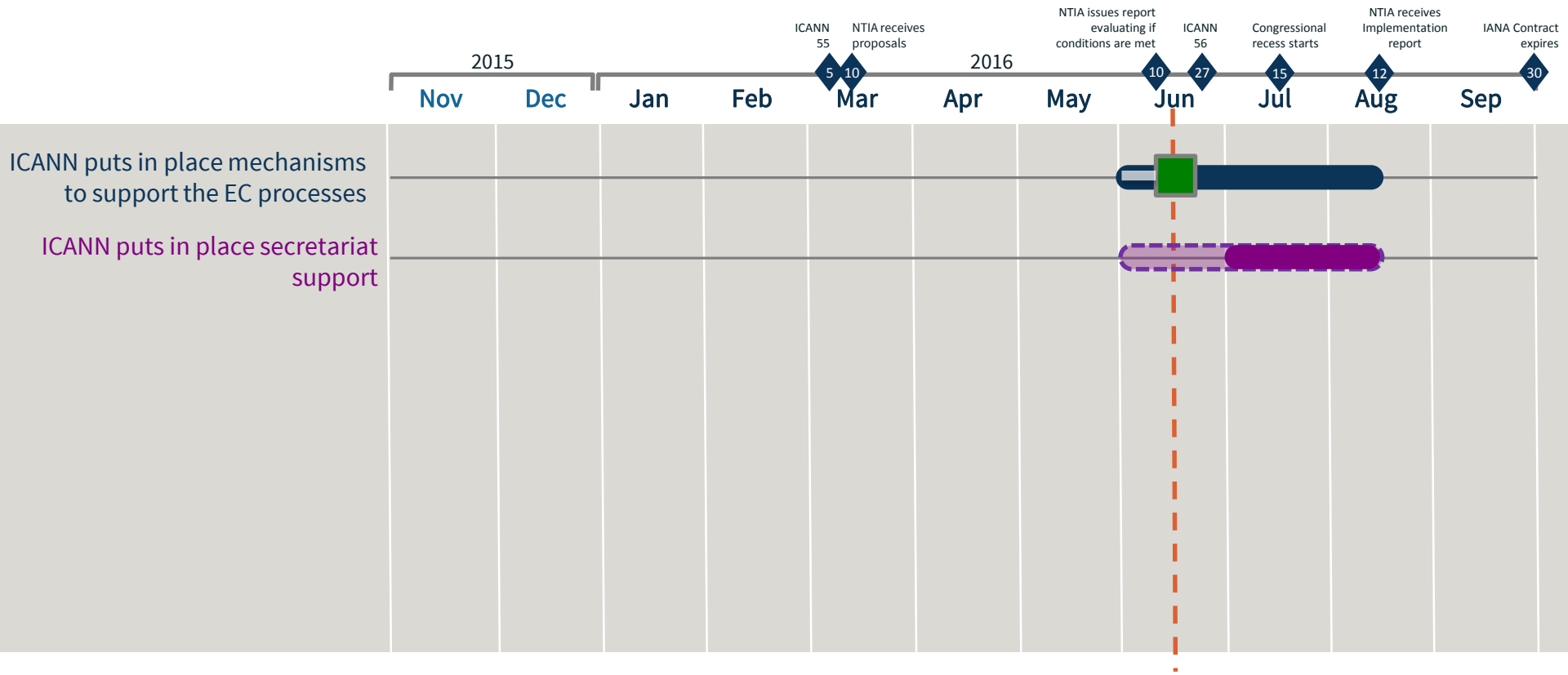
- Update Request for Reconsideration process documentation.

Open Items:

Empowered Community Enhancements

% Complete: 5%

Status: On-track



Project Updates:

- Started initial assessment of items needed to support the Empowered Community.

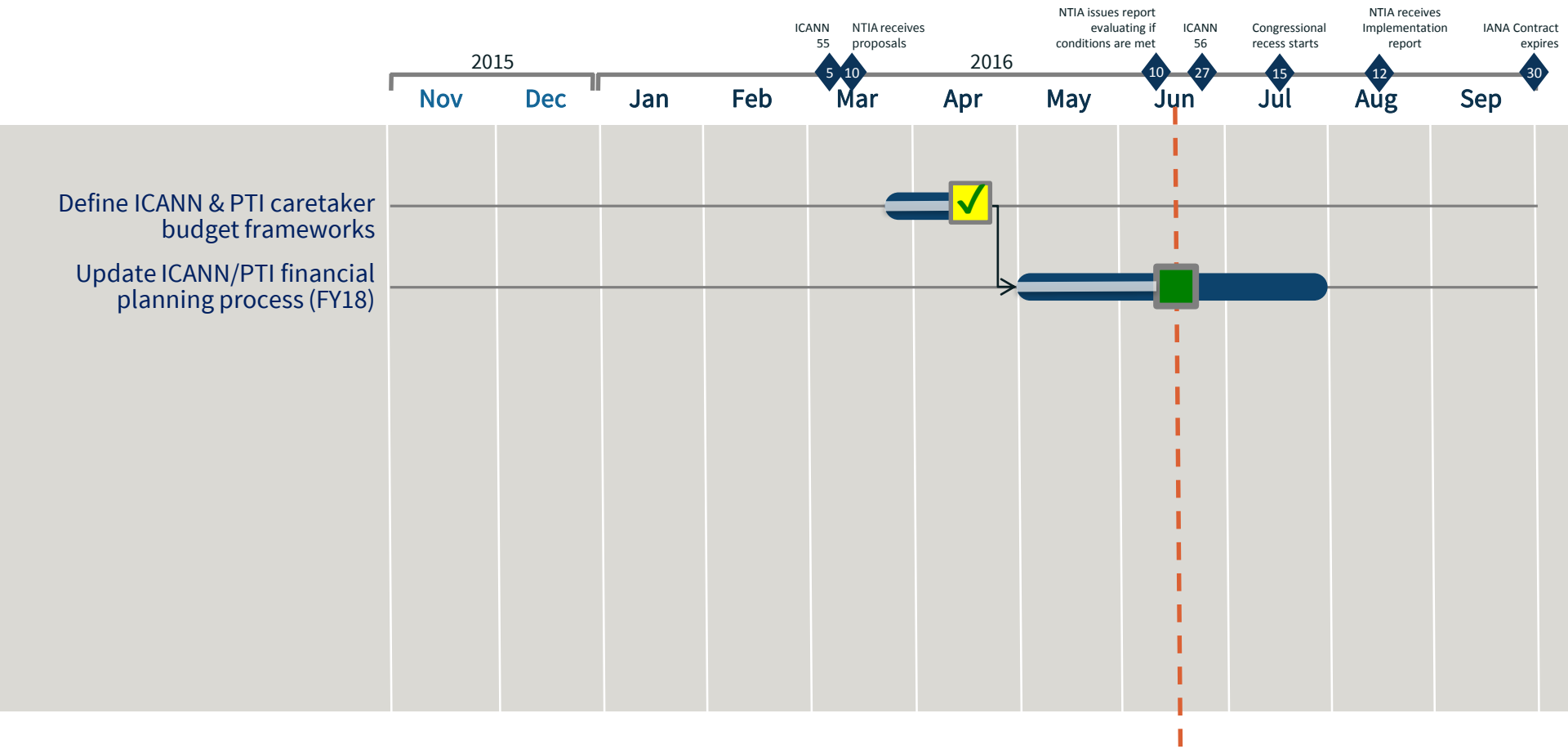
Upcoming Activities:

Open Items:

Post-transition Financial Planning Process

% Complete: 50%

Status: On-track



Project Updates:

Upcoming Activities:

- Finalize financial process.

Open Items: