



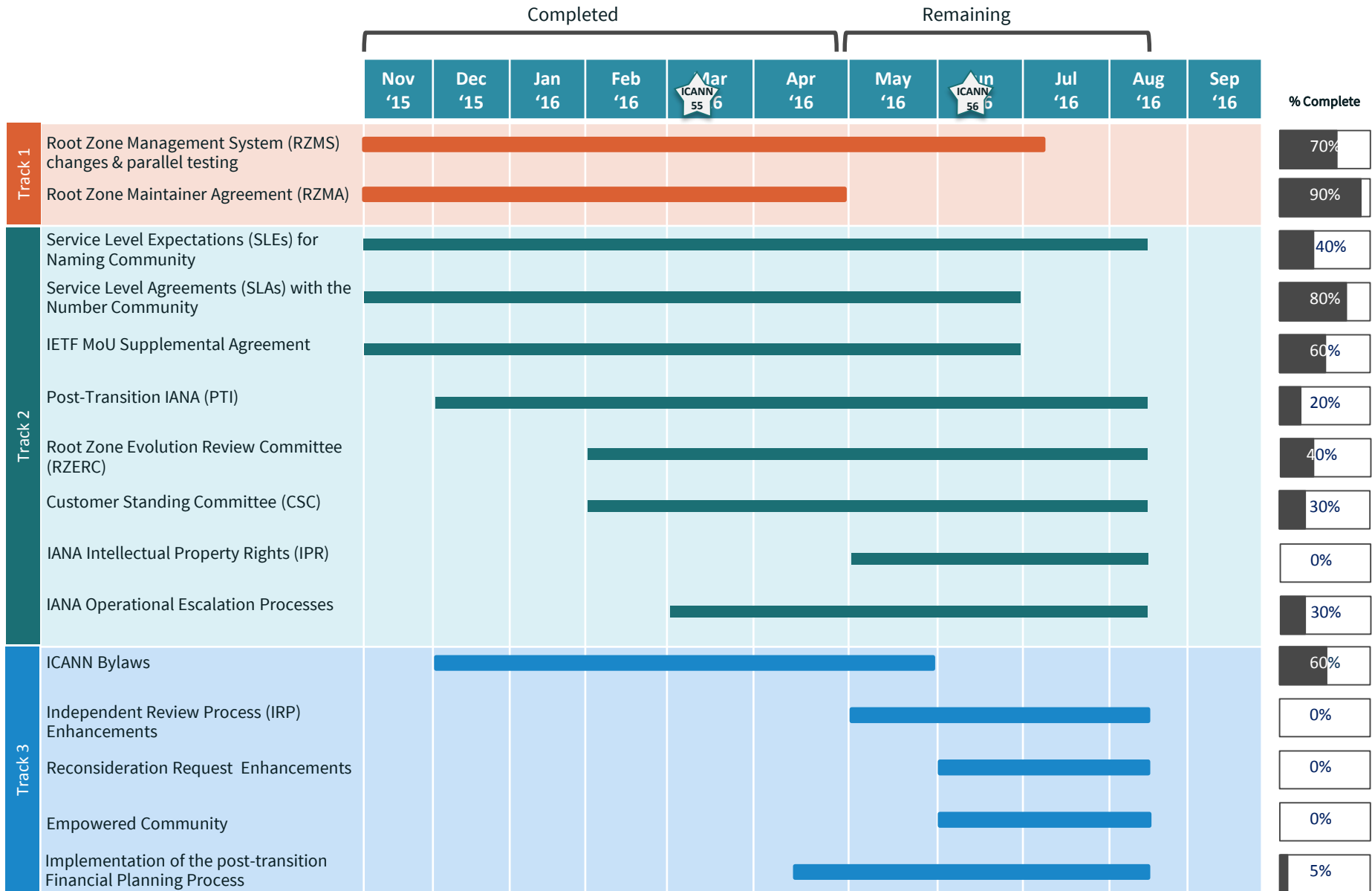
Transition Implementation Status Reporting

29 April 2016

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

Overall Timeline

Implementation Timeline – Proposals Delivered in March 2016



Status Update

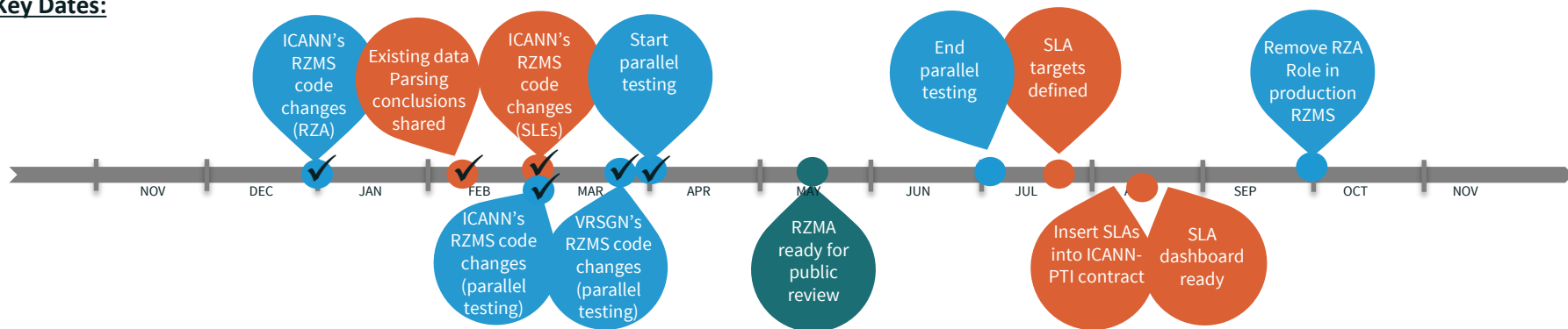
RZMS, RZMA & Names SLEs

Status As Of Date:

04/28/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		70%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16 08/15/16		40%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16		90%

Key Dates:



Project Updates:

- ✓ Parallel Testing is on-going smoothly.
- ✓ Continuing to engage in discussion with Verisign to finalize the RZMA.
- ✓ Names SLEs dashboard development work is on-going.

Upcoming Activities:

- Continue to monitor parallel operations.
- Finalize draft RZMA.
- Continue development of data aggregation tool / reporting dashboard.

Open Items:

- On-track
- Behind schedule, but recovery still possible
- Not started
- Target will be missed

DRAFT for Discussion

* All dates are estimates | 6



RIRs SLAs & IETF MoU Supplemental Agreement

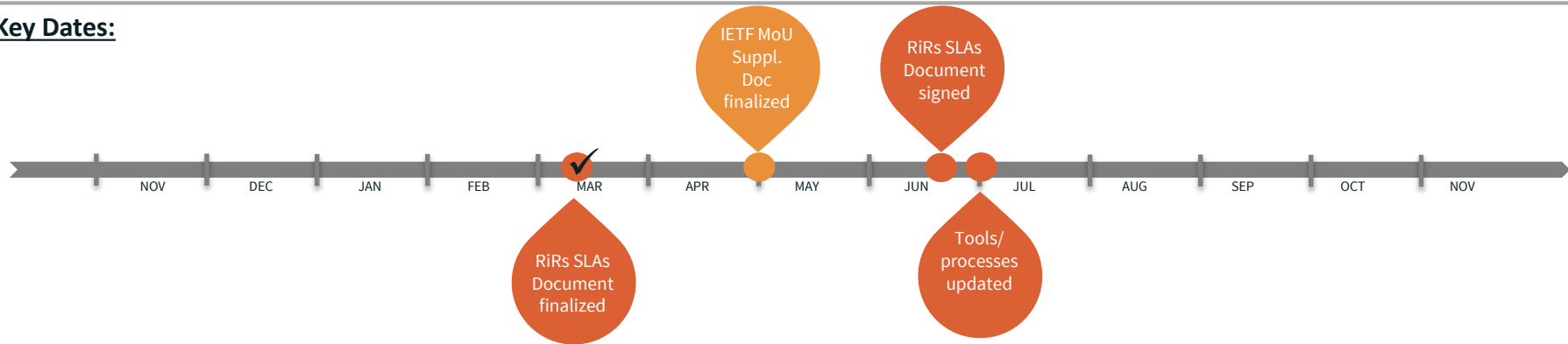
Status As Of Date:

04/28/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		80%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		60%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Project Updates:

- ✓ V5.1 of the SLA document published by the NRO on Apr 20th.

Upcoming activities:

- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started

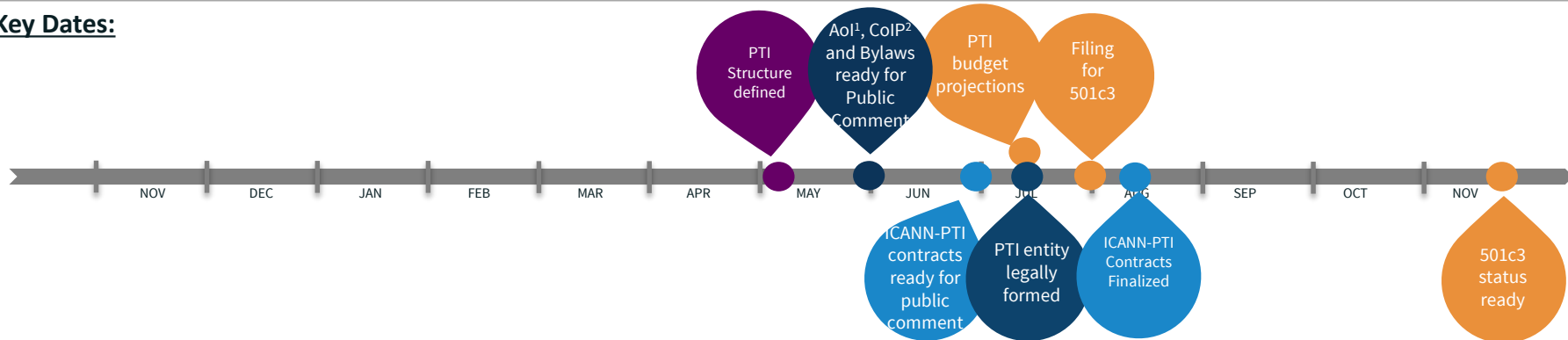


Target will be missed

* All dates are estimates

Project	Description	Plan Completion Date	Status	% Complete
PTI (Post-Transition IANA)	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16 08/15/16		20%

Key Dates:



¹ Aol: Articles of Incorporation / ² CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Working on the PTI implementation plan (including PTI staffing proposal).
- ✓ In discussion with IOTF regarding review process and timeline for PTI related documents.
- ✓ Reviewed summaries of PTI formation documents with IOTF.

Upcoming activities:





- Share implementation plan with IOTF.
- Finalize review process for PTI-related documents.
- Continue to work on drafting ICANN-PTI contract.

Open Items:

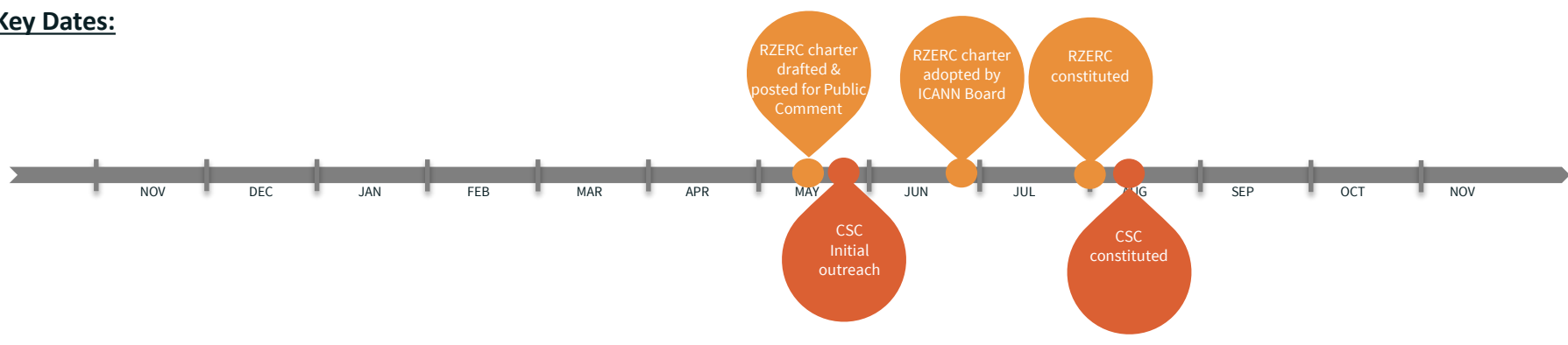
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

04/28/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.			<div style="width: 0%;"><div style="width: 100%;"></div></div> 0%
RZERC (<i>Root Zone Evolution Review Committee</i>)	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 08/15/16		<div style="width: 40%;"><div style="width: 100%;"></div></div> 40%
CSC (<i>Customer Service Standing Committee</i>)	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		<div style="width: 30%;"><div style="width: 100%;"></div></div> 30%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		<div style="width: 30%;"><div style="width: 100%;"></div></div> 30%

Key Dates:



Project Updates:

- ✓ Finalized RZERC charter with IOTF.
- ✓ Drafting CSC call for expression of interests.
- ✓ Received clarifications needed from IOTF on escalation mechanisms.

Upcoming Activities:

- CWG review of RZERC charter.
- Finalize draft of CSC call for expression of interests.
- Finalize clarifications on escalation mechanisms with IOTF.

Open Items:

- Waiting on implementation requirements for IANA IPR

Enhancing ICANN's Accountability

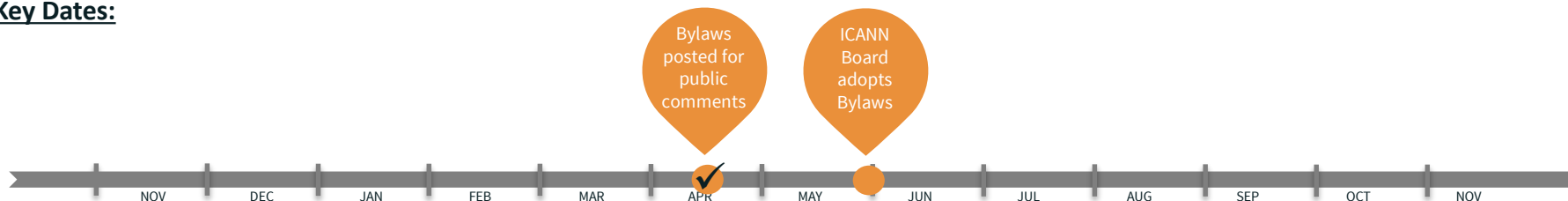
Status As Of Date:

04/28/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16*		60%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 08/15/16		0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		0%
Implementation of the post-transition Financial Planning Process	Define implementation process for ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		5%

*Assuming a 90-days NTIA inter-agency review period

Key Dates:



Project Updates:

- ✓ ICANN draft Bylaws posted for Public Comments on April 21st.

Upcoming Activities:

- ICANN draft bylaws Public Comment Period.
- Define project plan for Financial Planning process project.

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

* All dates are estimates | 10

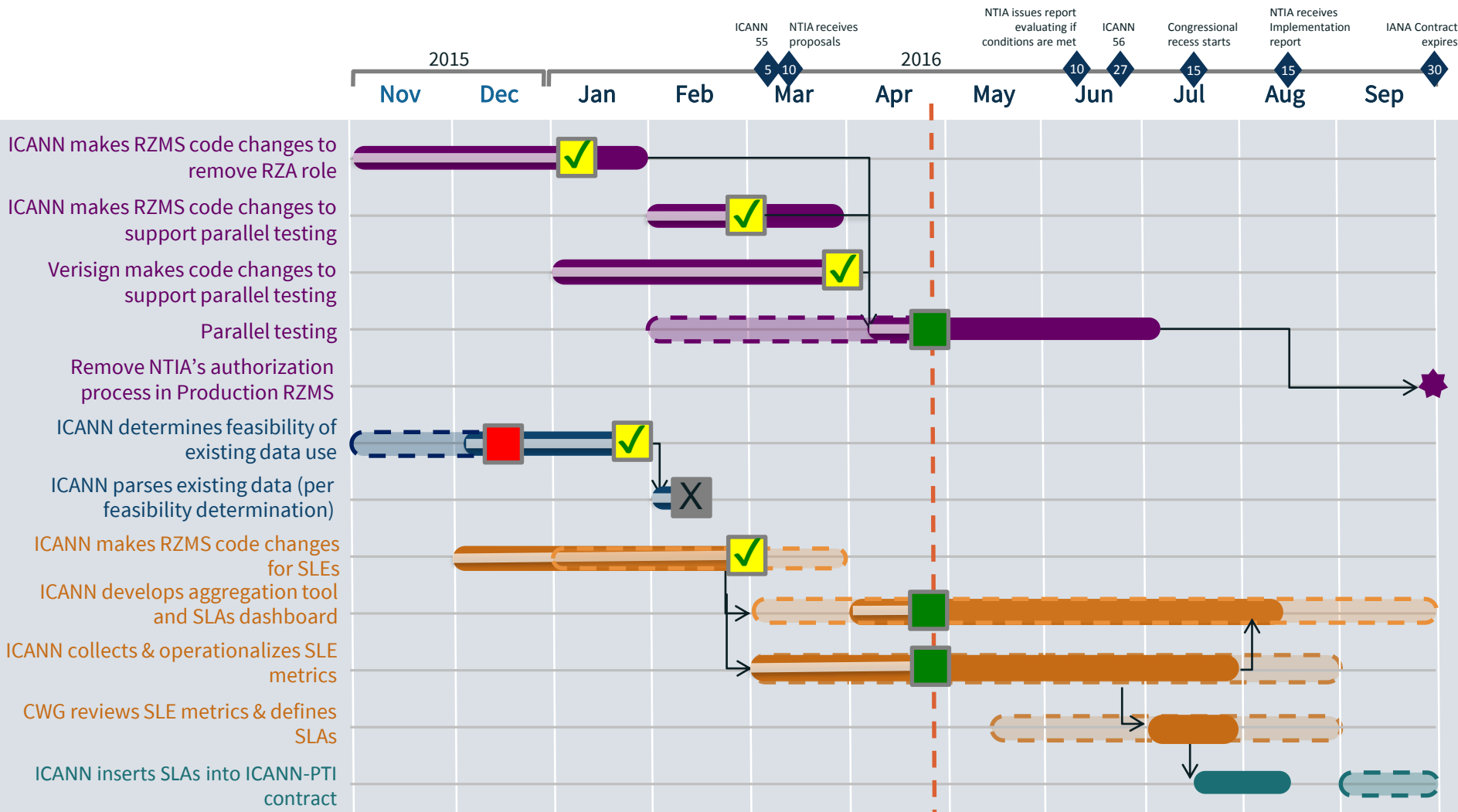


Appendix High-Level Project Plans

RZMS & SLEs for Naming Community

% Complete:  55%

Status: On-track



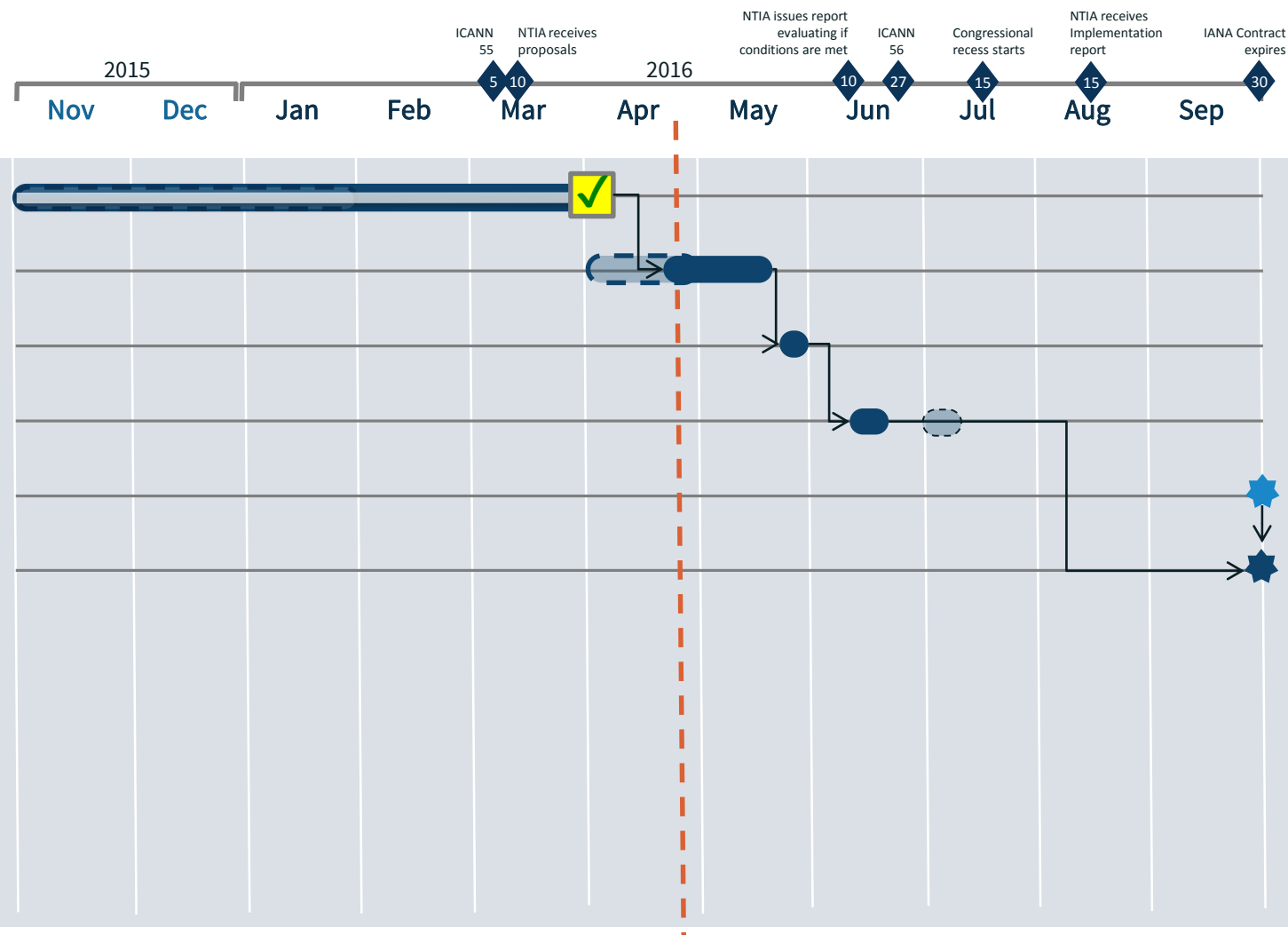
Project Updates:

- ✓ Parallel Testing on-going smoothly.
- ✓ Names SLEs dashboard development work is on-going.

Upcoming Activities:

- Continue to monitor parallel operations.
- Continue development of data aggregation tool / reporting dashboard.

Open Items:



Project Updates:
 ✓ V5.1 of the SLA document published by the NRO on Apr 20th.

Upcoming Activities:

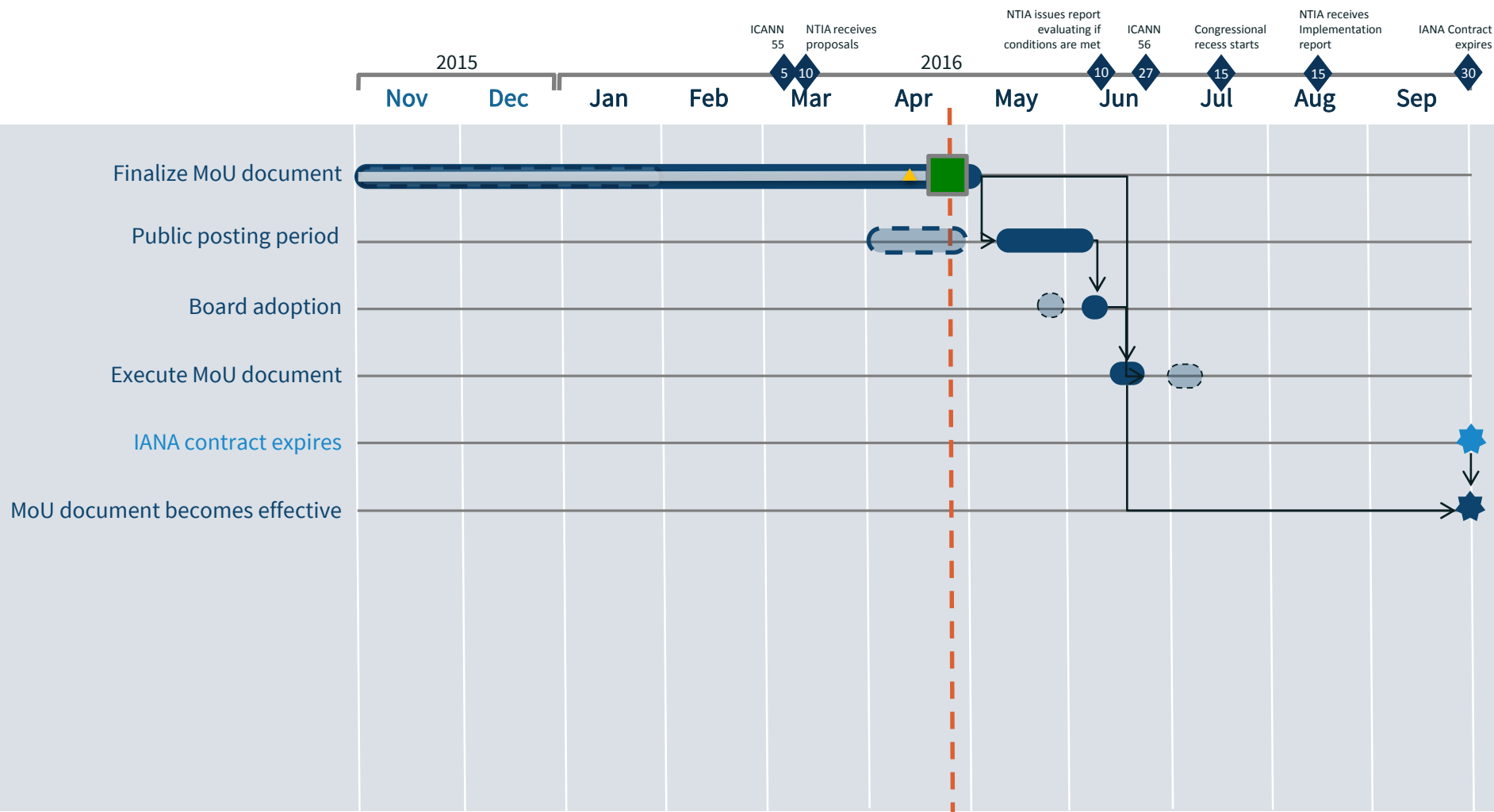
- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

Open Items:

IETF MoU Supplemental Agreement (“MoU”)

% Complete:  60%

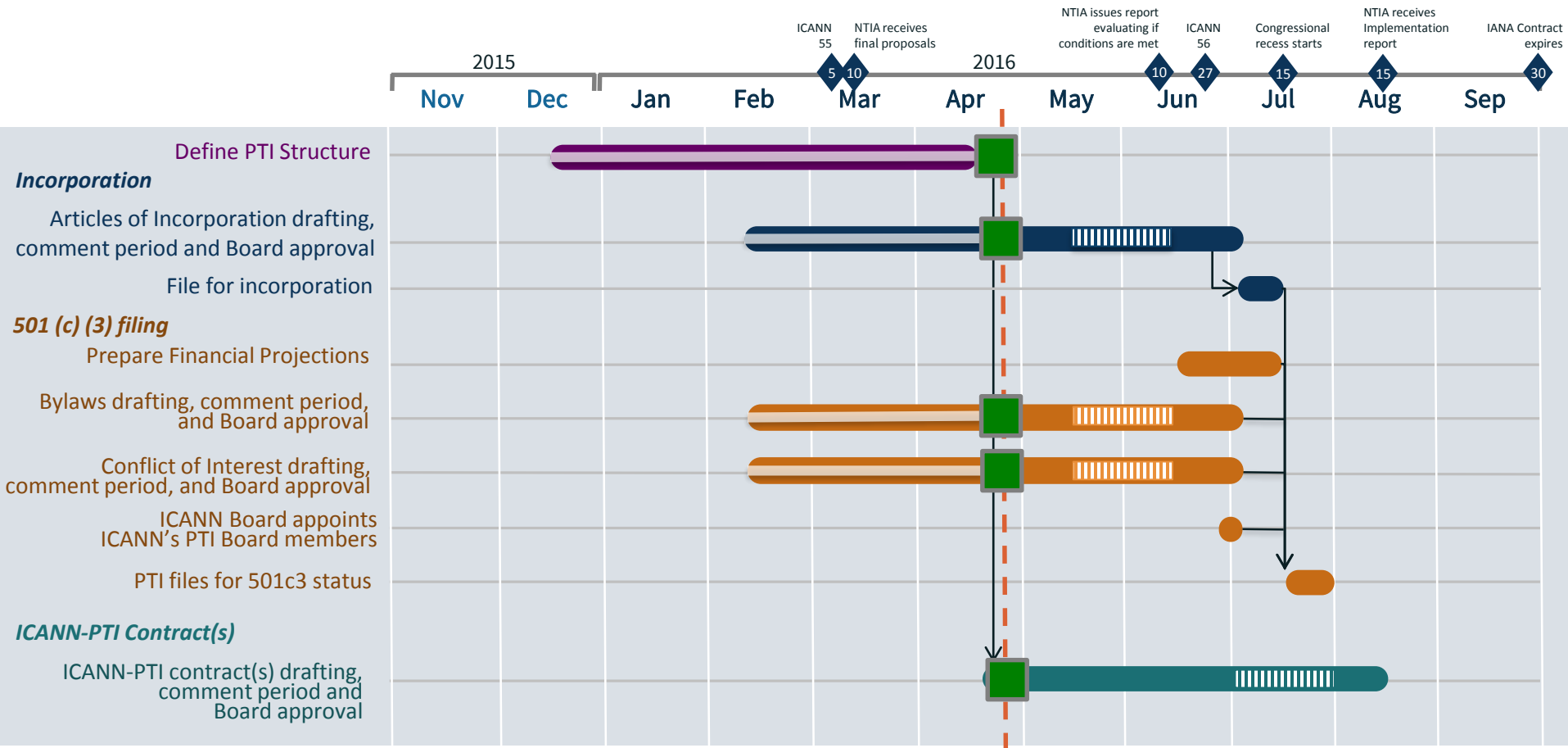
Status: On-track



Project Updates:

Upcoming Activities:

Open Items:



¹ Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

Project Updates:

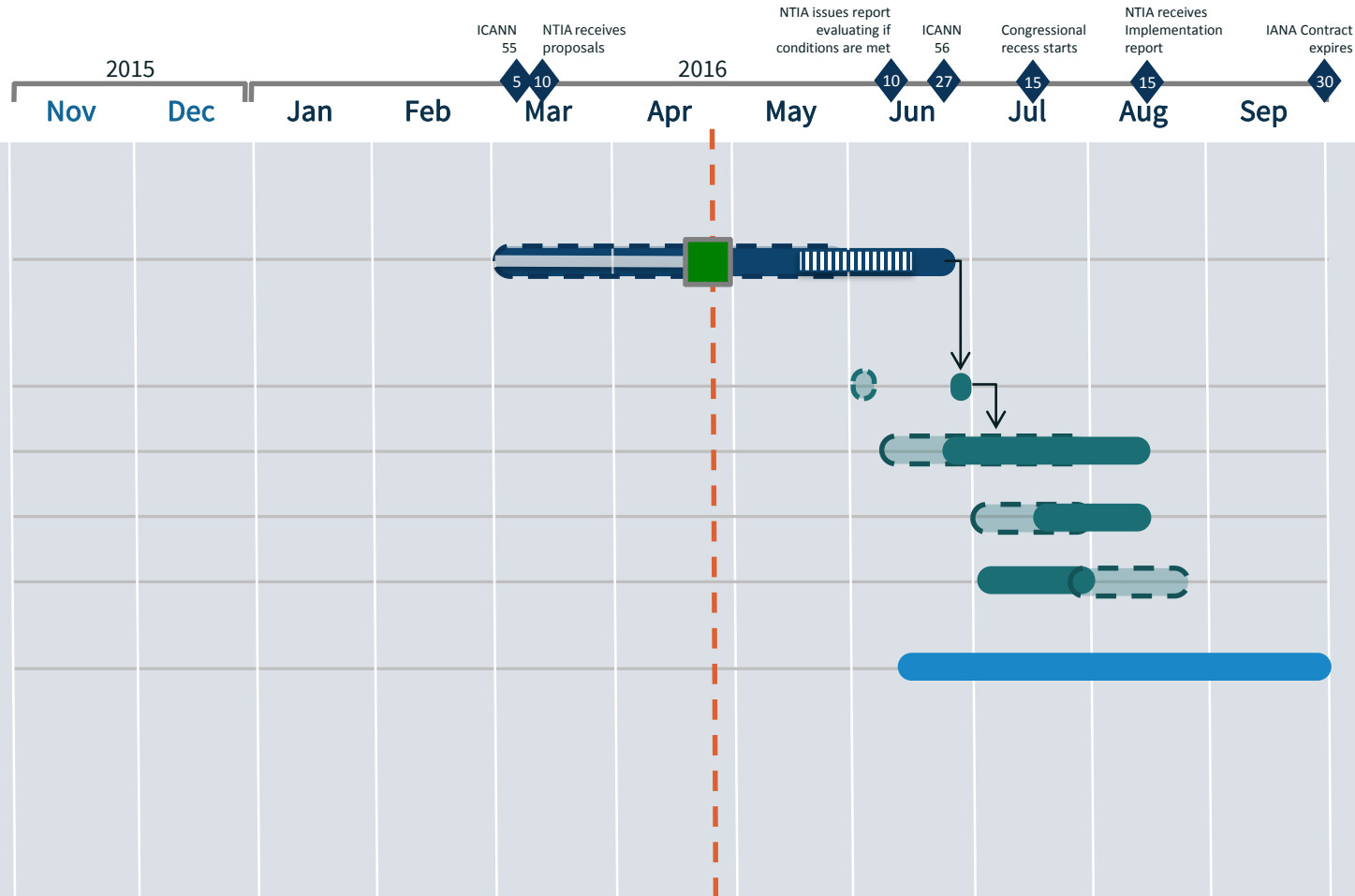
- ✓ Working on the PTI implementation plan (including PTI staffing proposal).
- ✓ In discussion with IOTF regarding review process and timeline for PTI related documents.
- ✓ Reviewed summaries of PTI formation documents with IOTF.

Upcoming Activities:

- Share implementation plan with IOTF.
- Finalize review process for PTI-related documents.
- Continue to work on drafting ICANN-PTI contract.

Open Items:

Open Items:



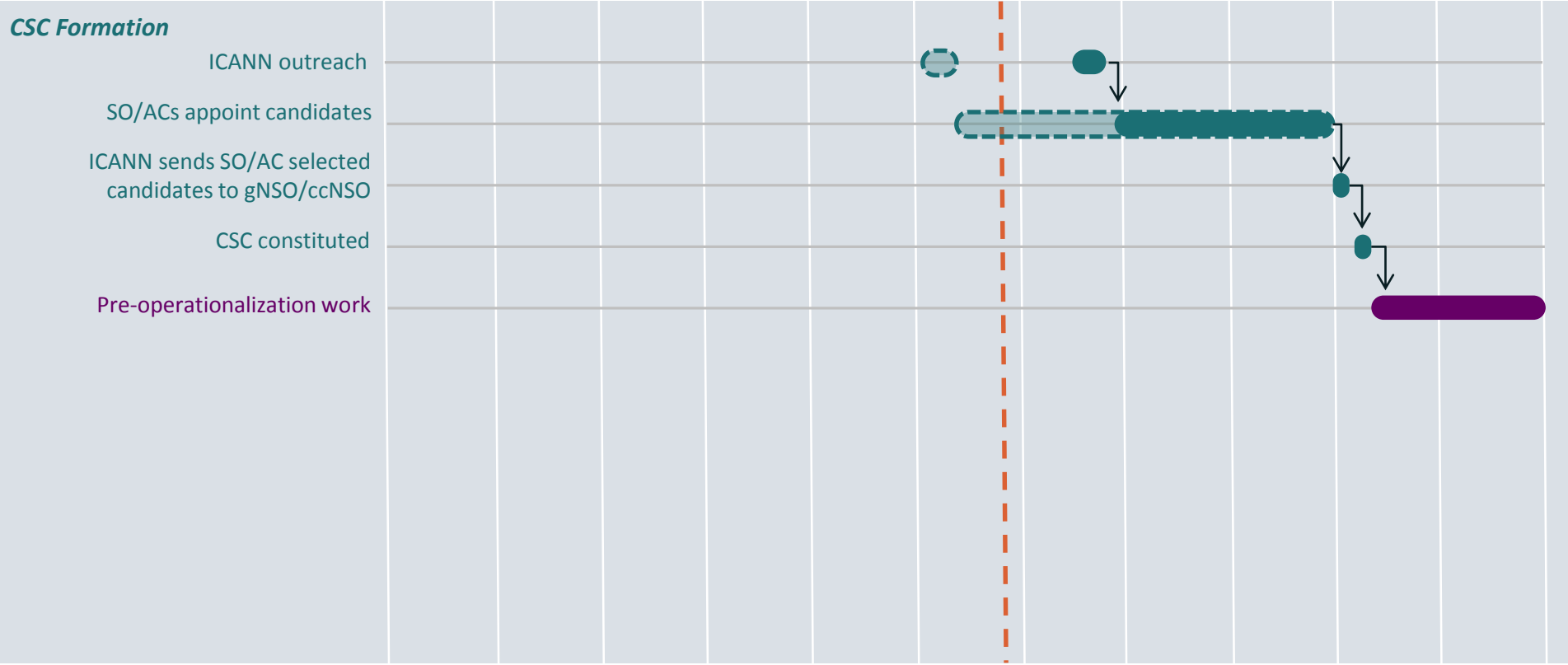
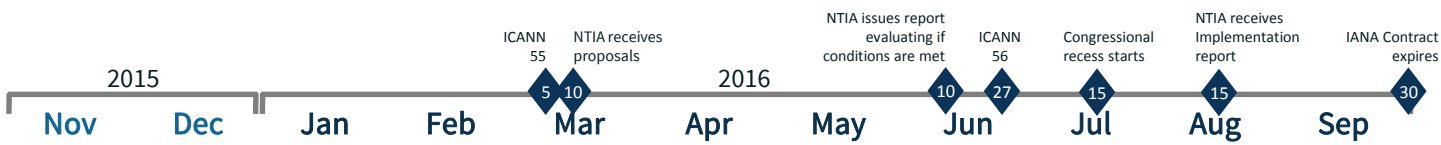
Project Updates:

- ✓ Finalized RZERC charter with IOTF.

Upcoming Activities:

- CWG review of RZERC charter.

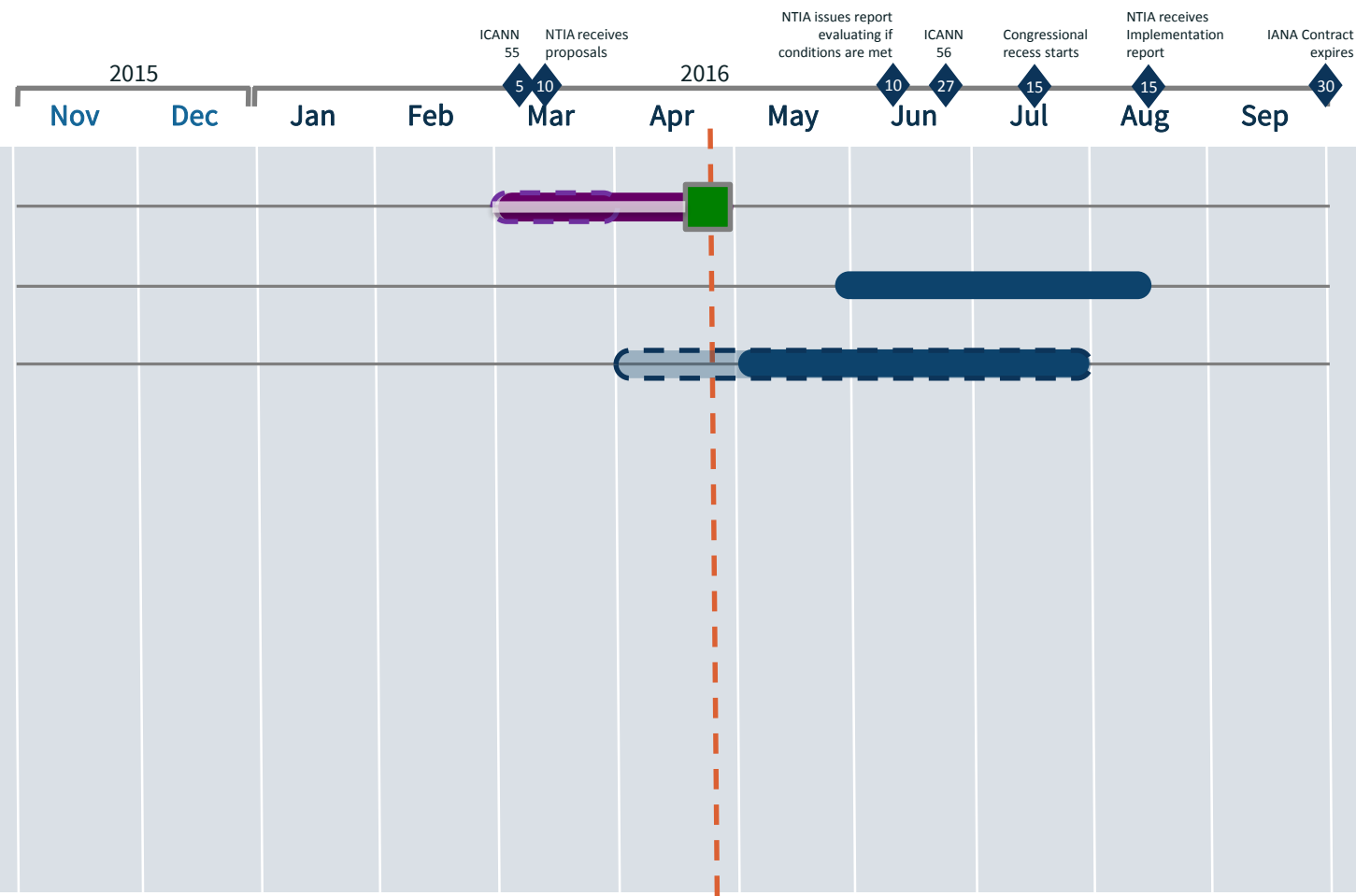
Open Items:



Project Updates:
 ✓ Drafting CSC call for expression of interests.

Upcoming Activities:
 ▪ Finalize draft of CSC call for expression of interests.

Open Items:

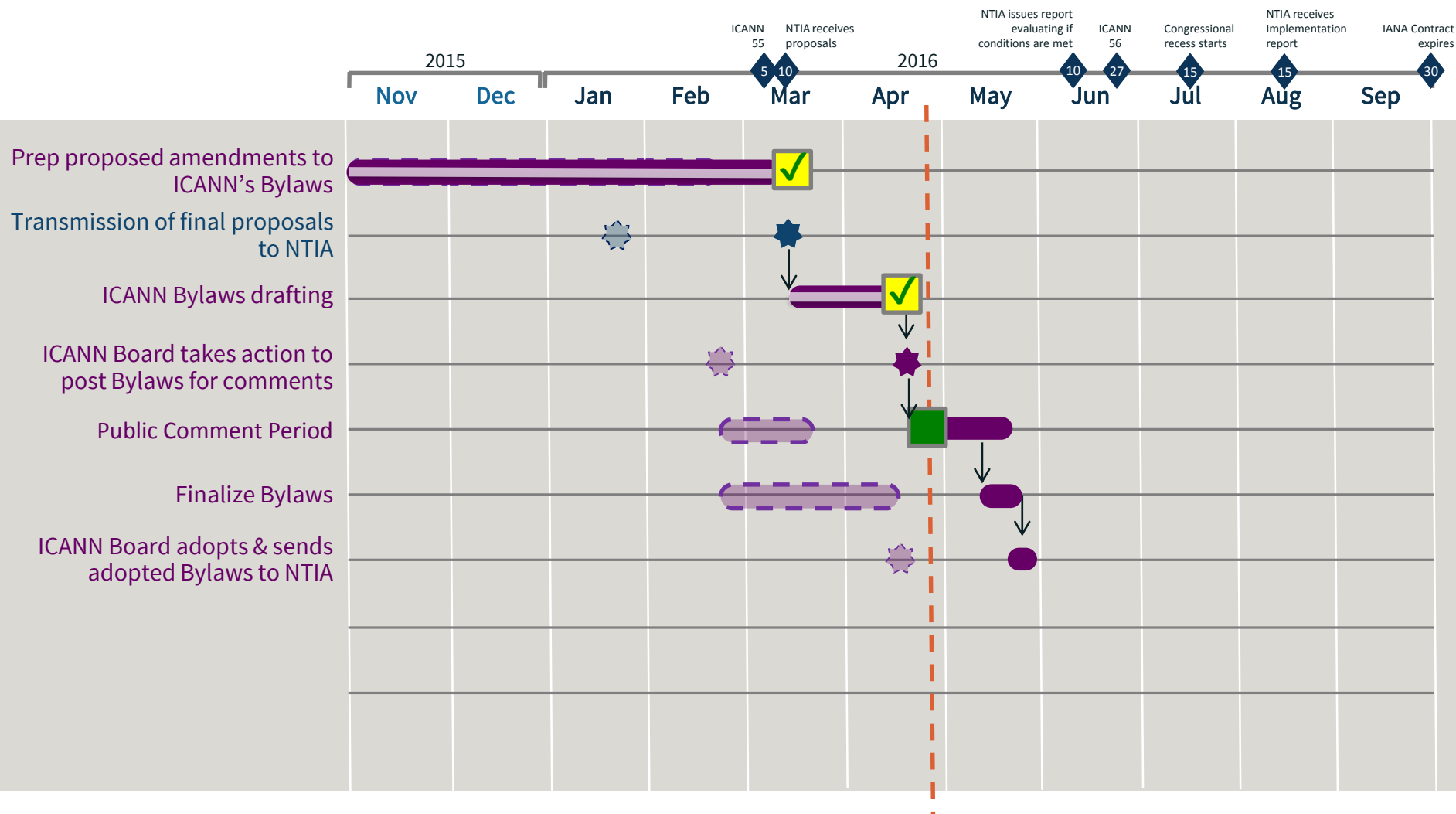


Project Updates:
 ✓ Received clarifications needed from IOTF.

Upcoming Activities:

- Finalize clarifications on escalation mechanisms with IOTF.

Open Items:



Project Updates:

- Bylaws posted for Public Comments on April 21st.

Upcoming Activities:

- Public Comment Period

Open Items: