



Transition Implementation Planning Status Reporting

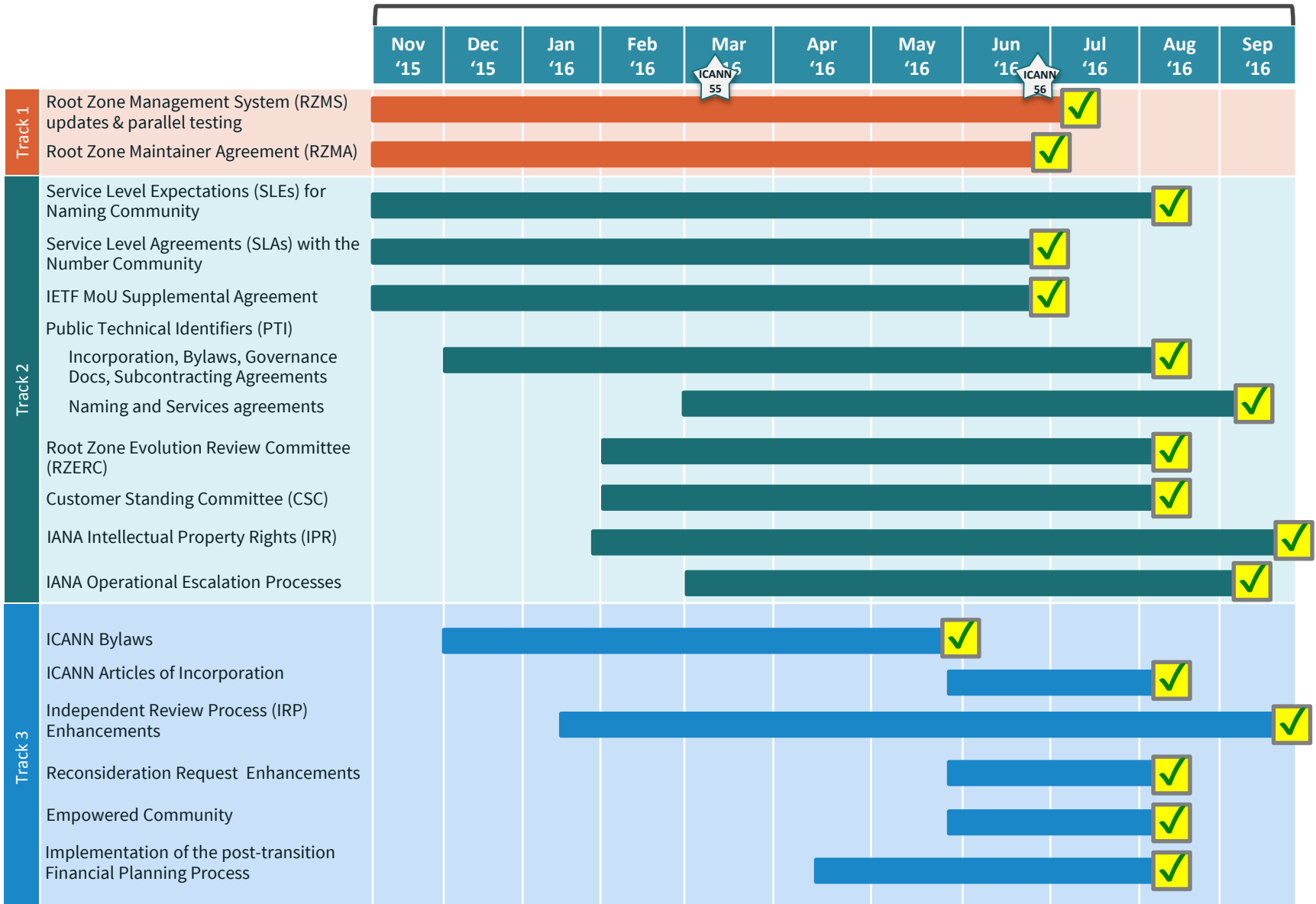
30 September 2016

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

Overall Timeline

Implementation Planning Timeline & Status*

Completed



(*) Timeline and status reflect ICANN and Community's work.

Overall % Complete

100%

Status Update

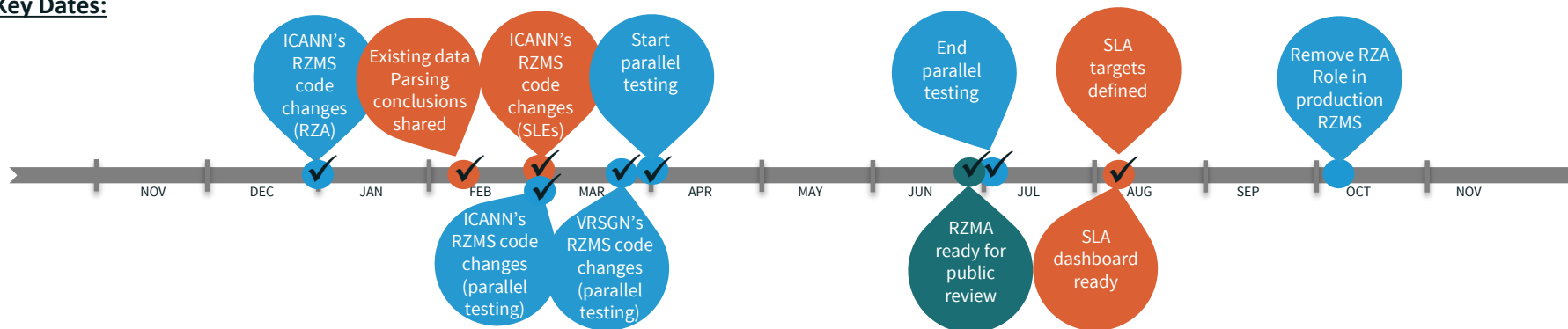
RZMS, RZMA & Names SLEs

Status As Of Date:

09/30/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		100%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, development of aggregation tool and dashboard reporting of SLAs, and setting of initial SLAs.	09/30/16 08/15/16		100%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16 / 05/31/16/ 06/30/16		100%

Key Dates:



Project Updates:

Upcoming Activities:

Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates | 6

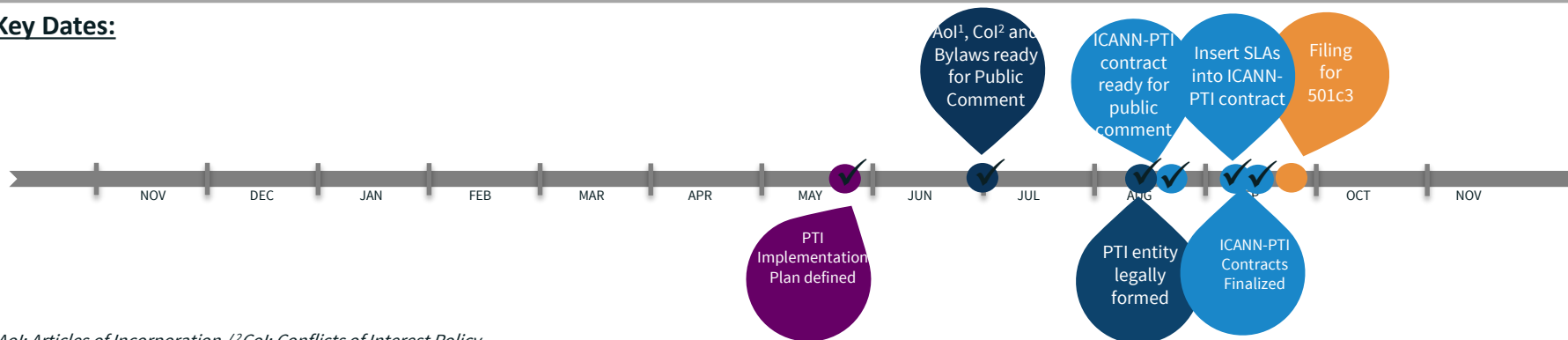
PTI (Public Technical Identifiers)

Status As Of Date:

09/30/16

Project	Description	Plan Completion Date	Status	% Complete
PTI Incorporation, Governance Documents, Subcontracting agreements	Incorporation of PTI, development of PTI governance documents, development of Numbers and Protocol Parameters subcontracting agreements.	09/30/16 08/15/16		100%
Naming Function and Services Agreements	Development of Naming Function and Services Agreements.	09/16/16		100%

Key Dates:



¹ Aol: Articles of Incorporation / ²Col: Conflicts of Interest Policy

Project Updates:

- ✓ ICANN and PTI Boards approved PTI bylaws and agreements
- ✓ PTI Board adopted PTI governance documents

Upcoming activities:

Open Items:



DRAFT for Discussion



On-track



Not started



Behind schedule, but recovery still possible



Target will be missed

PTI Structure activities

Incorporation activities

501 c3 filing activities

ICANN-PTI contracts activities

* All dates are estimates

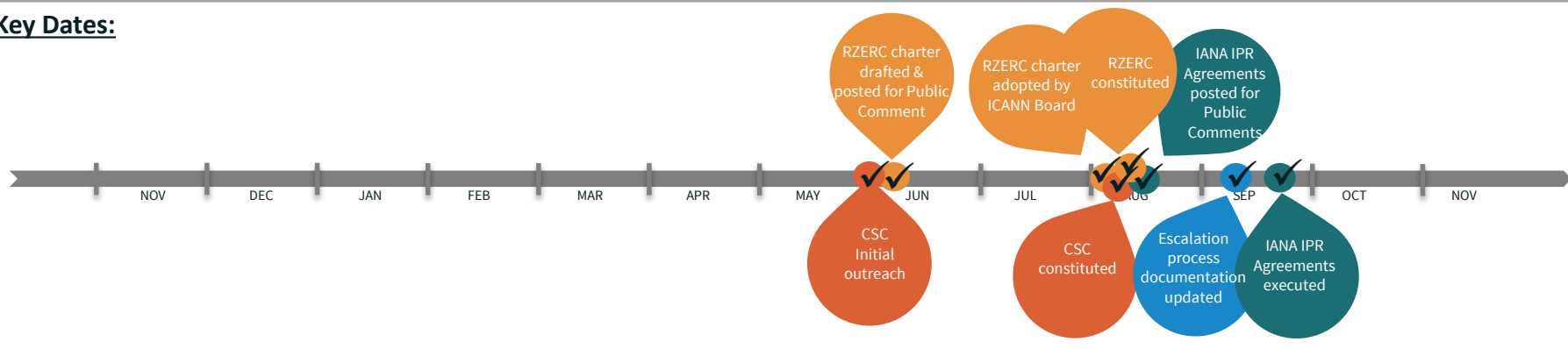
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

09/30/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a independent trust.	9/30/16		100%
RZERC (<i>Root Zone Evolution Review Committee</i>)	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 / 08/15/16 08/31/16 / 8/15/16		100%
CSC (<i>Customer Service Standing Committee</i>)	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		100%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 / 08/15/16 09/15/16		100%

Key Dates:



Project Updates:

✓ IANA IPR agreements executed.

Upcoming Activities:

Open Items:



DRAFT for Discussion

- On-track
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- Not started
- Target will be missed

* All dates are estimates

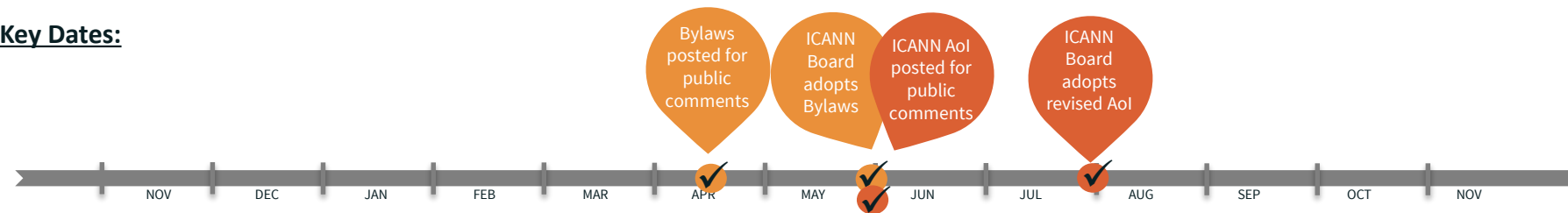
Enhancing ICANN's Accountability

Status As Of Date:

09/30/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16		100%
ICANN's Articles of Incorporation (Aol)	Updating of ICANN Articles of Incorporation	07/31/16		100%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 – 08/15/16 09/30/16		100%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/12/16		100%
Empowered Community Support Mechanisms	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		100%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		100%

Key Dates:



Project Updates:

- ✓ Baseline requirements to implement Bylaws on enhancing the IRP are in place.

Upcoming Activities:

Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible





- Not started
- Target will be missed

* All dates are estimates

RIRs SLAs & IETF MoU Supplemental Agreement

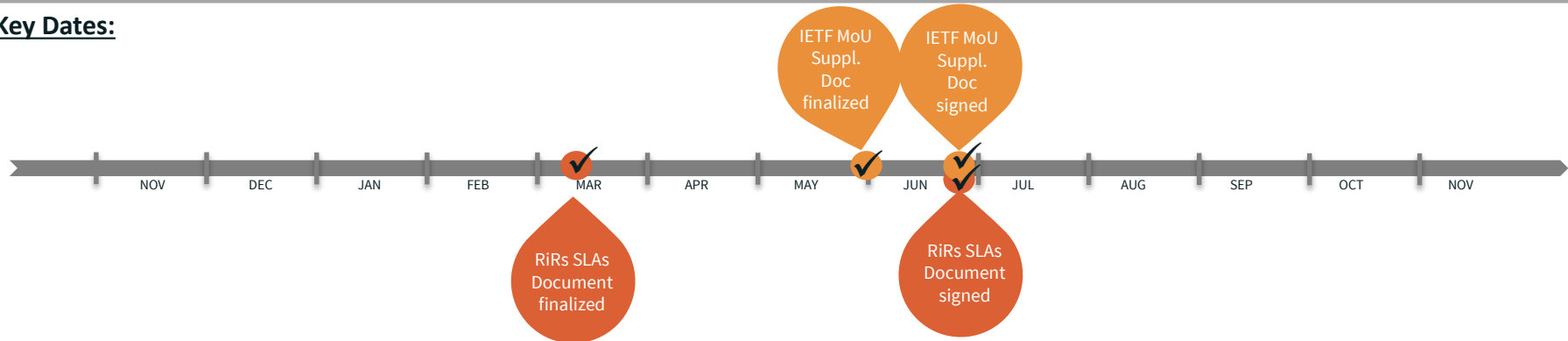
Status As Of Date:

09/30/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 100%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 100%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Project Updates:

- RIR SLA document signed on 29 June 2016.
- IETF MoU document signed on 24 June 2016.

Upcoming activities:

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

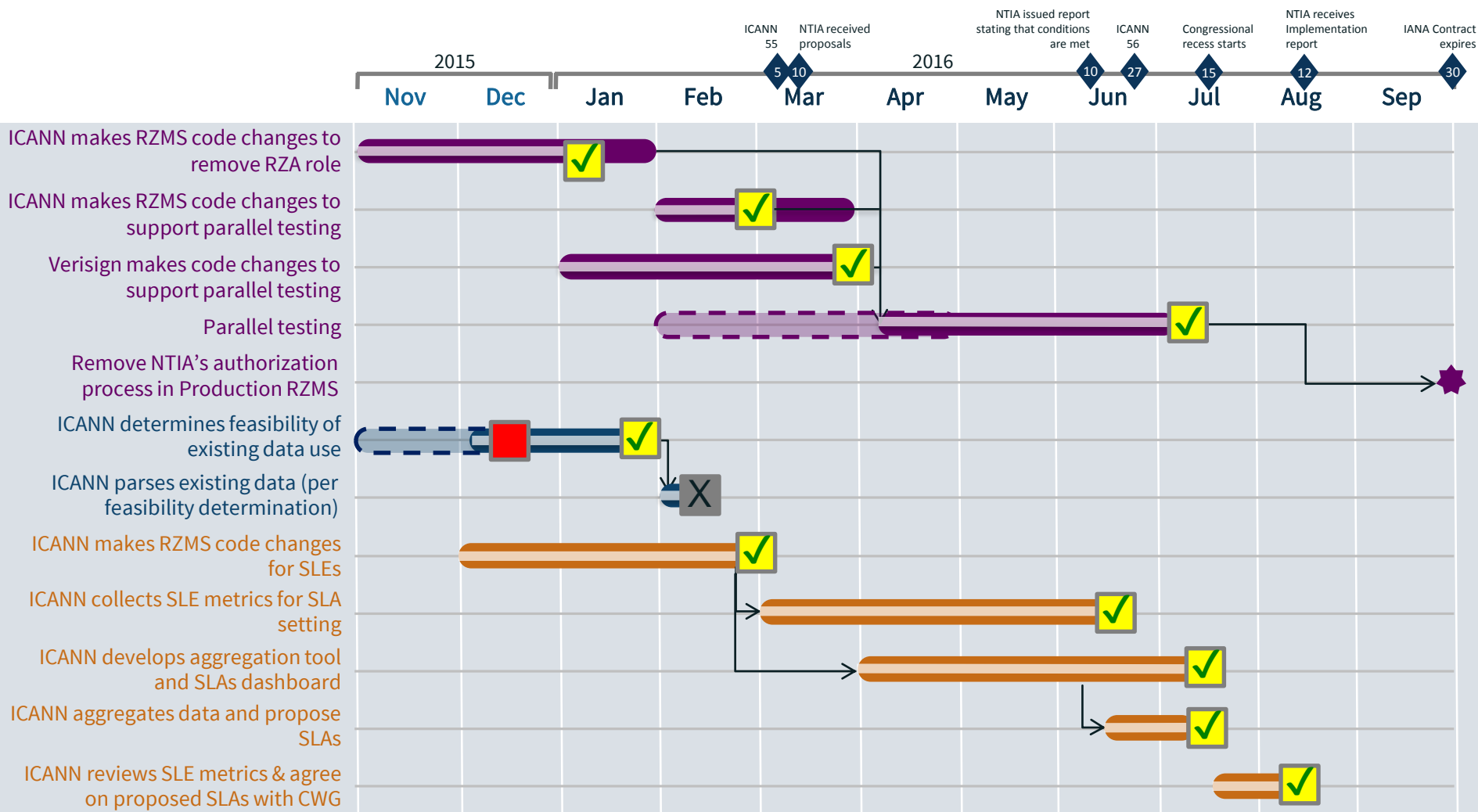
* All dates are estimates | 10



Appendix High-Level Project Plans

RZMS & SLEs for Naming Community

% Complete: 100% Status: Complete

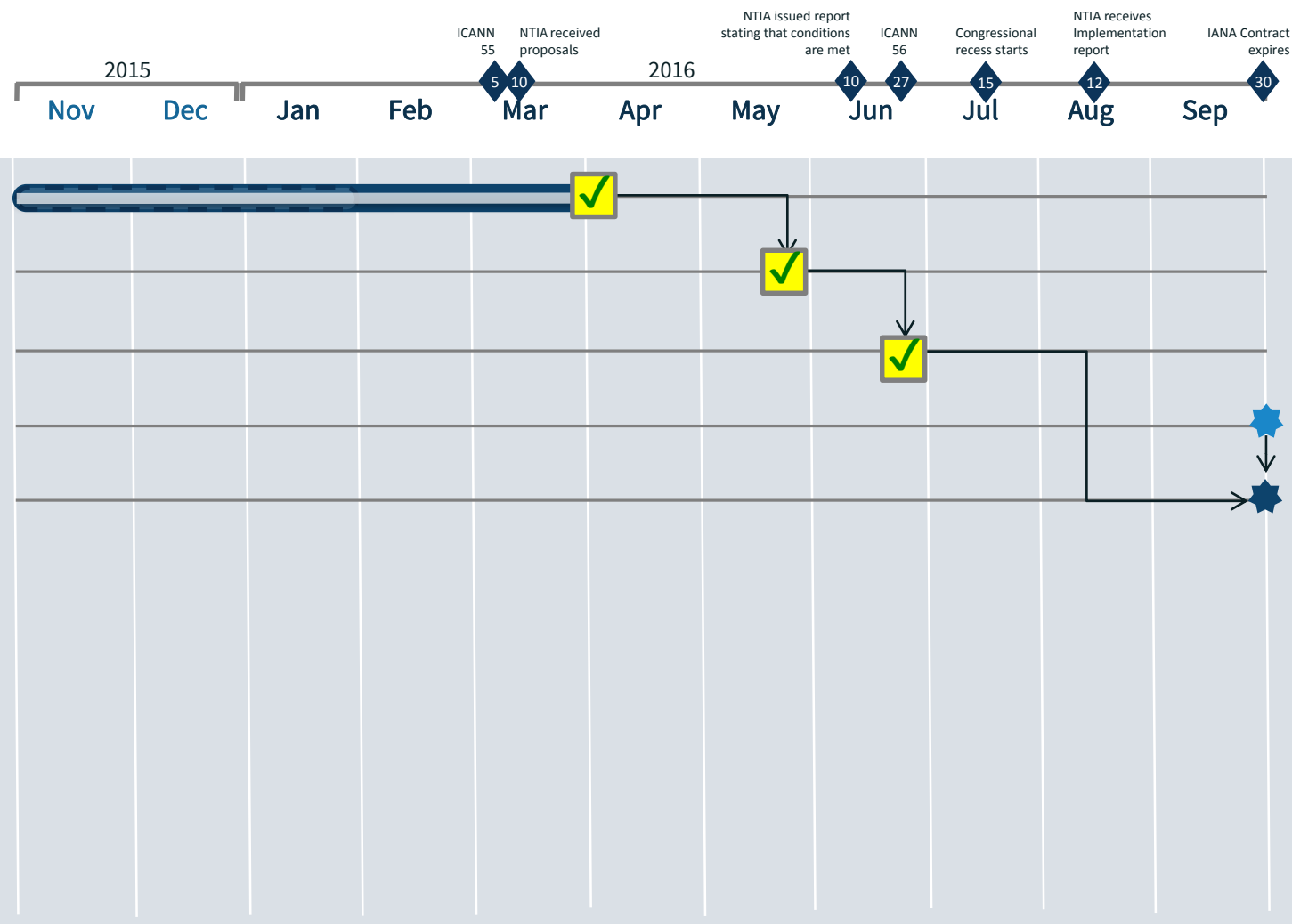


Project Updates:

- ✓ Name SLE performance targets approved by the Names SLEs work group and ratified by CWG on 11 August 2016.
- ✓ Ratified Name SLEs are reflected in the approved IANA Naming Function Contract.

Upcoming Activities:

Open Items:



Project Updates:
 ✓ Signed the RIR SLA document on 29 June 2016.

Upcoming Activities:

Open Items:



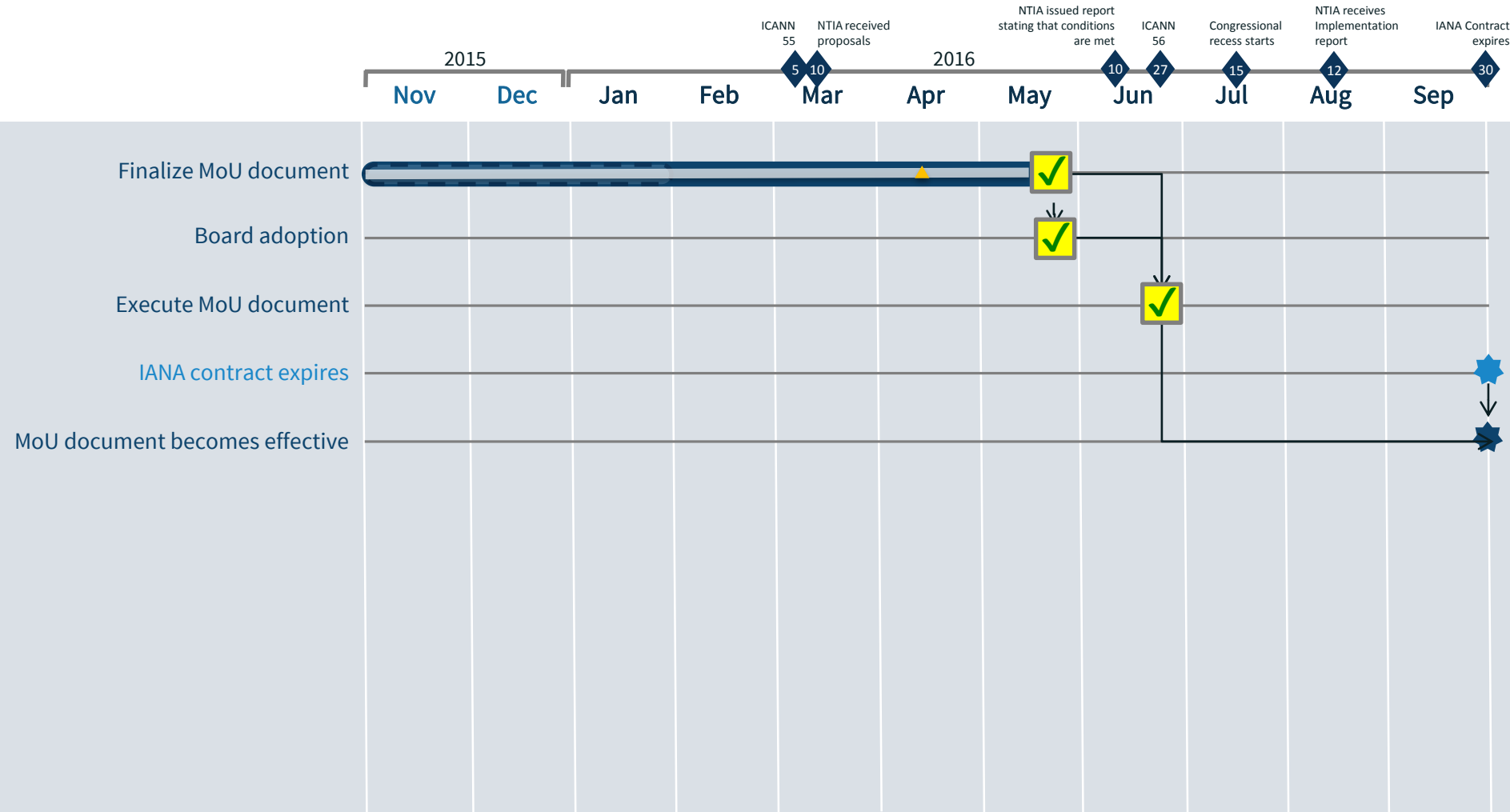
DRAFT for Discussion

- Baseline
- RIRs SLAs activities
- Current Plan
- USG activity

* Timeframes are estimates | 13

IETF MoU Supplemental Agreement (“MoU”)

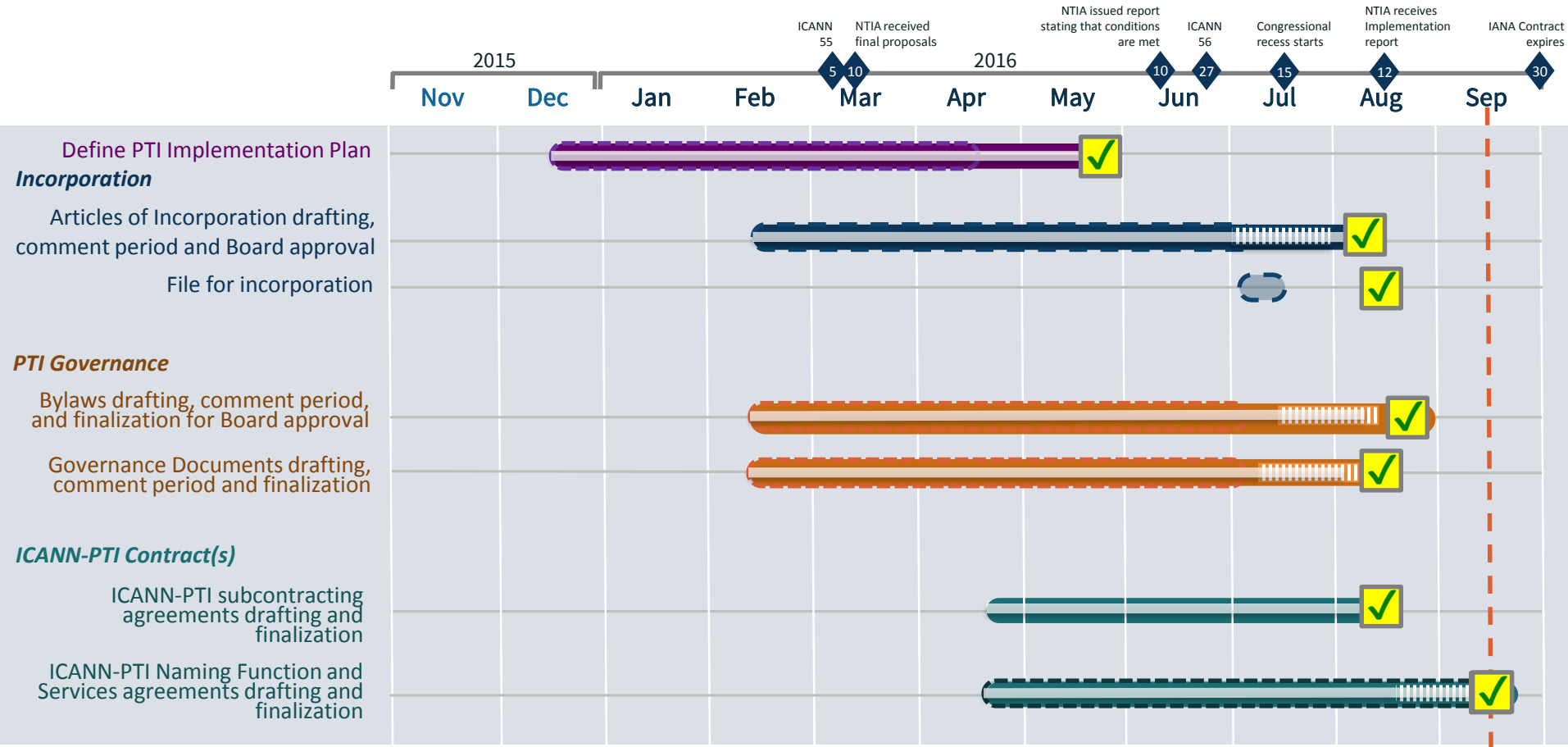
% Complete: 100% Status: Complete



Project Updates:
 ✓ Signed the IETF MoU document on 24 June 2016.

Upcoming Activities:

Open Items:

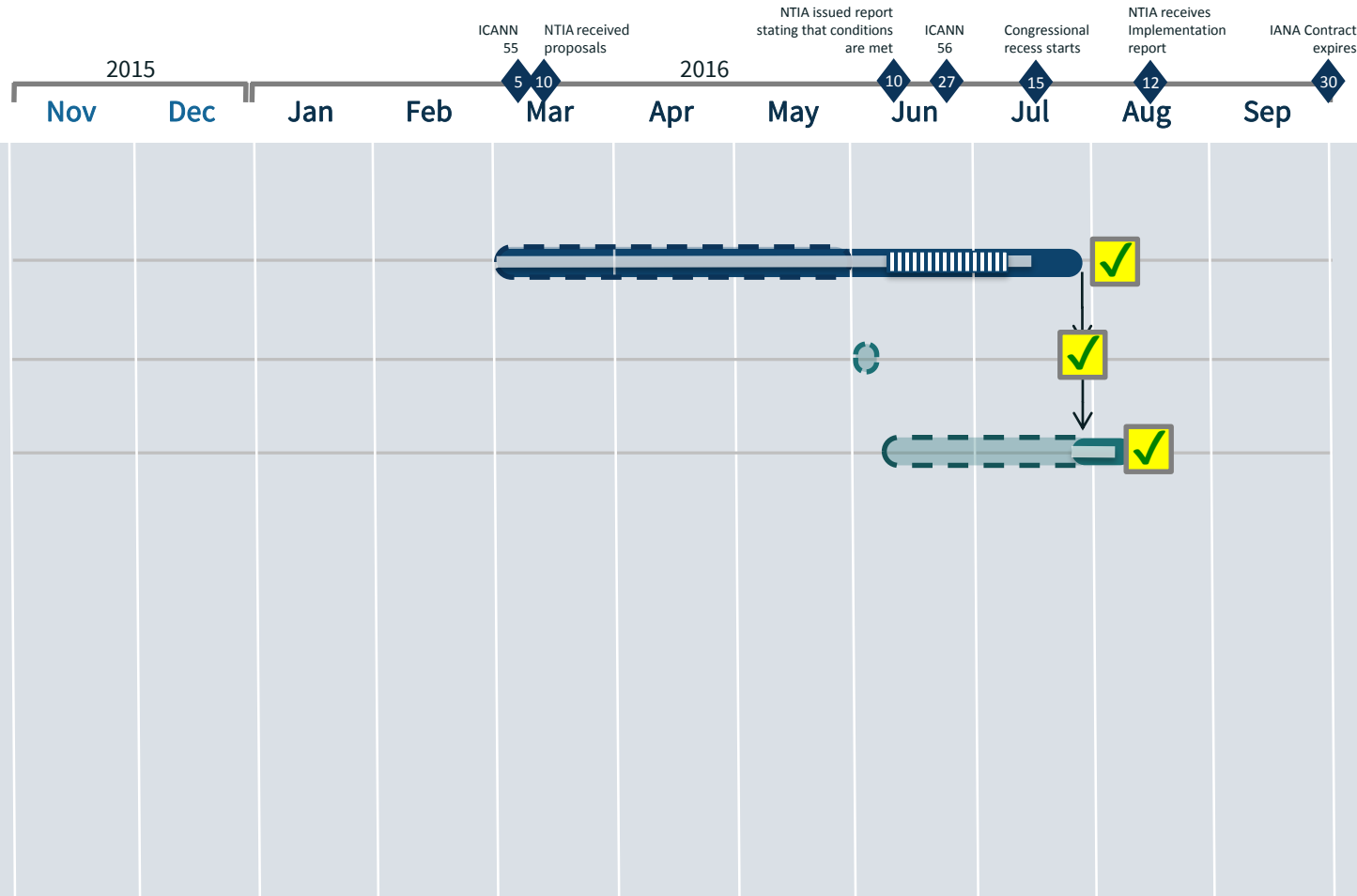


Project Updates:

- ✓ ICANN and PTI Boards approved PTI bylaws and agreements
- ✓ PTI Board adopted PTI governance documents

Upcoming Activities:

Open Items:

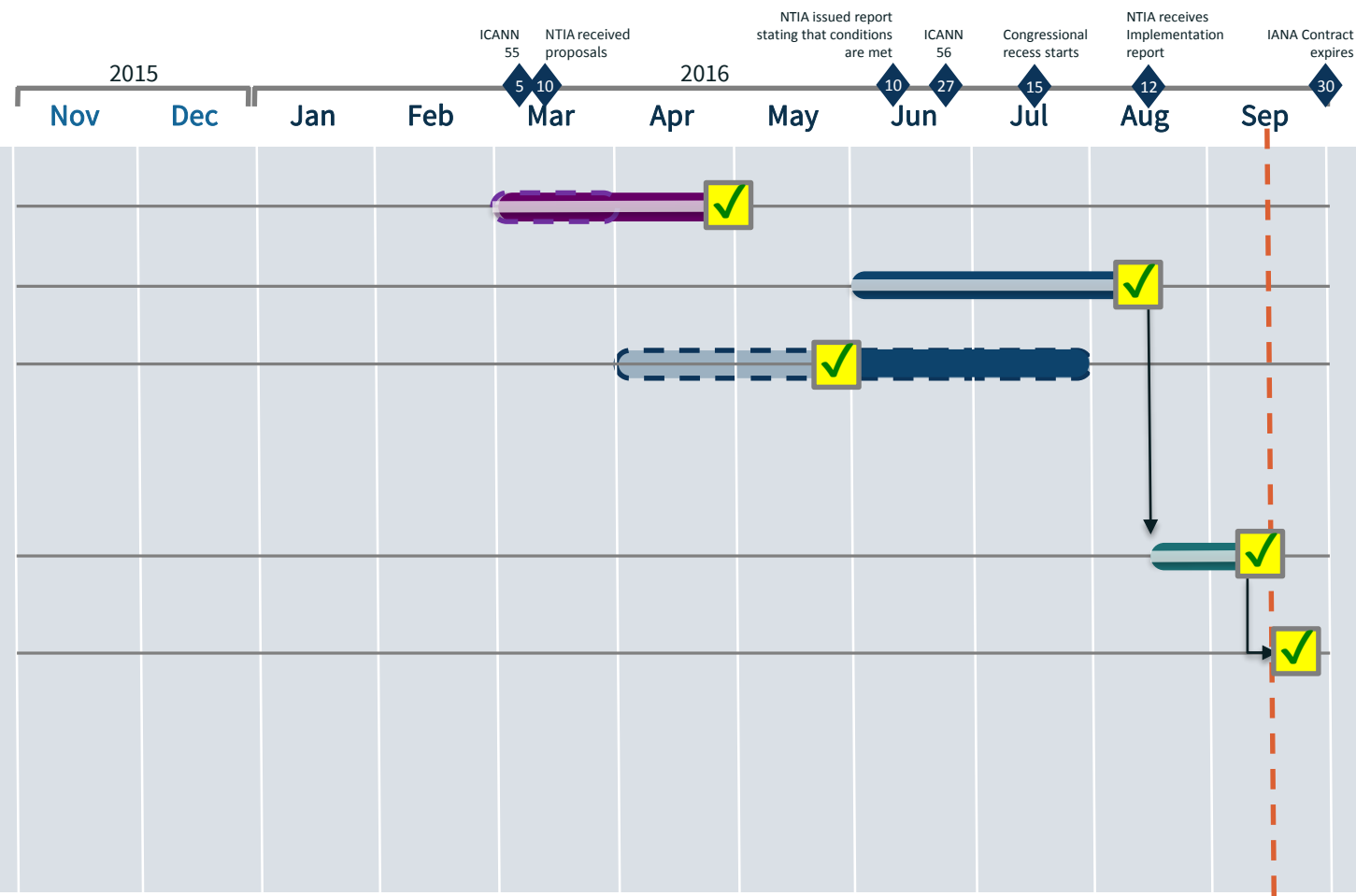


Project Updates:

✓ RZERC members appointed 12 August.

Upcoming Activities:

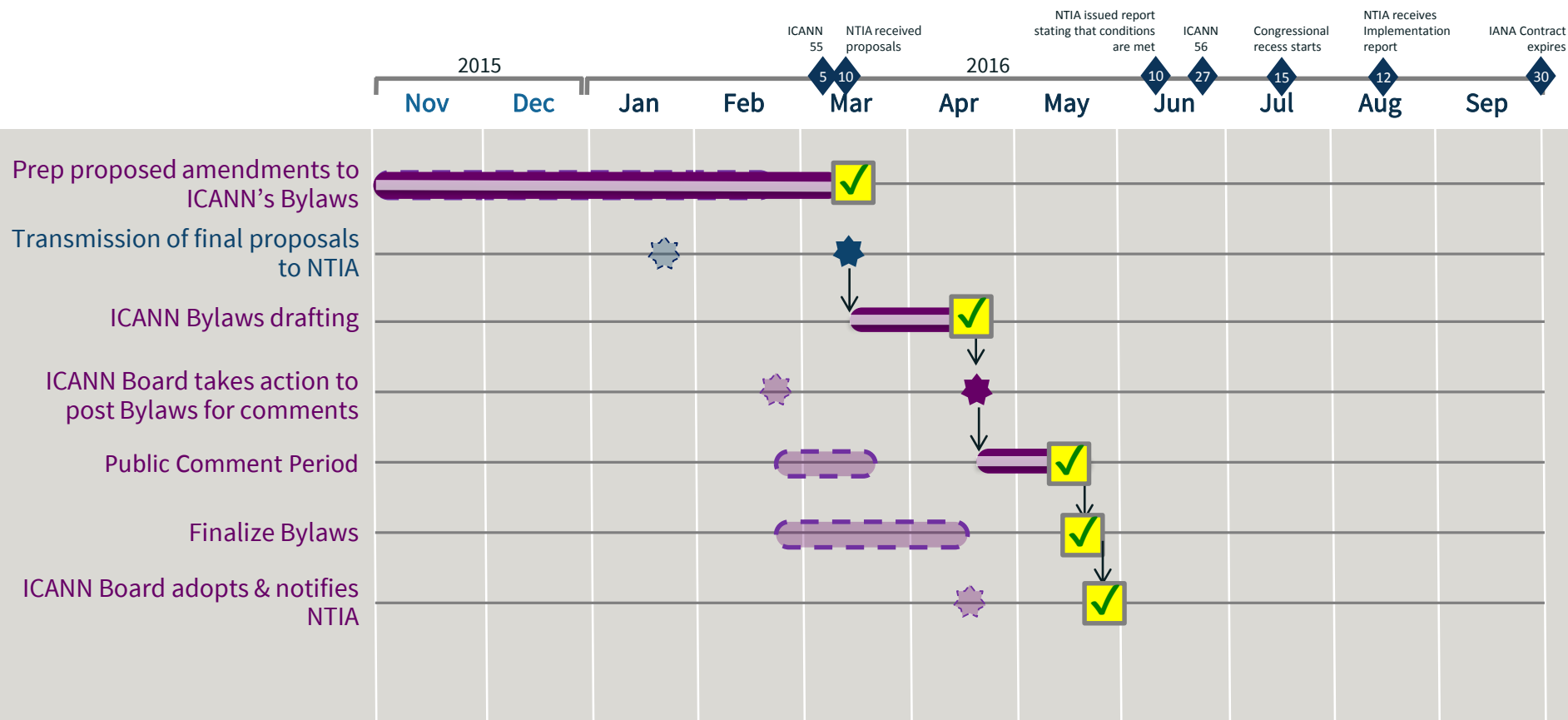
Open Items:



Project Updates:
 ✓ Escalation processes finalized as part of the IANA Naming Function Contract.

Upcoming Activities:

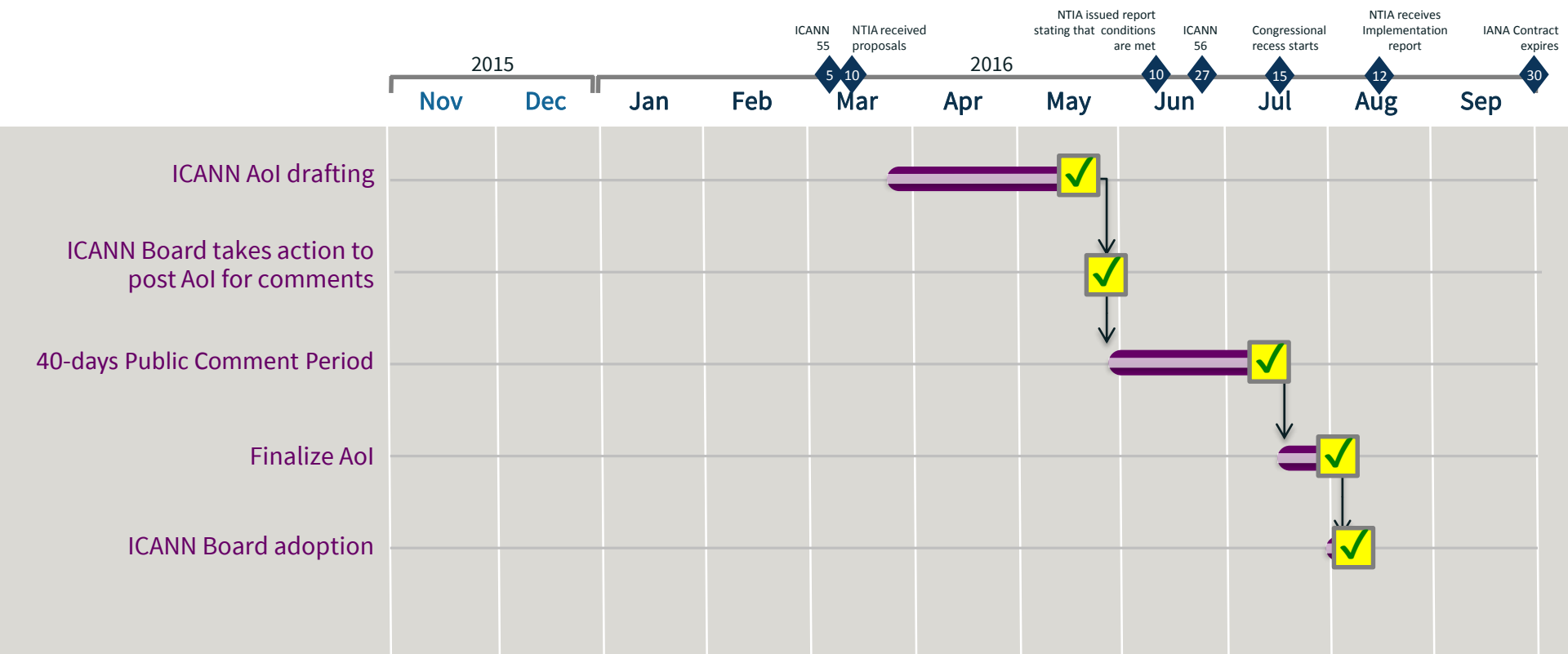
Open Items:



Project Updates:
 ✓ Adopted by the ICANN Board on 27 May.

Upcoming Activities:

Open Items:



Project Updates:
 ✓ Approved by the ICANN Board on 9 August.

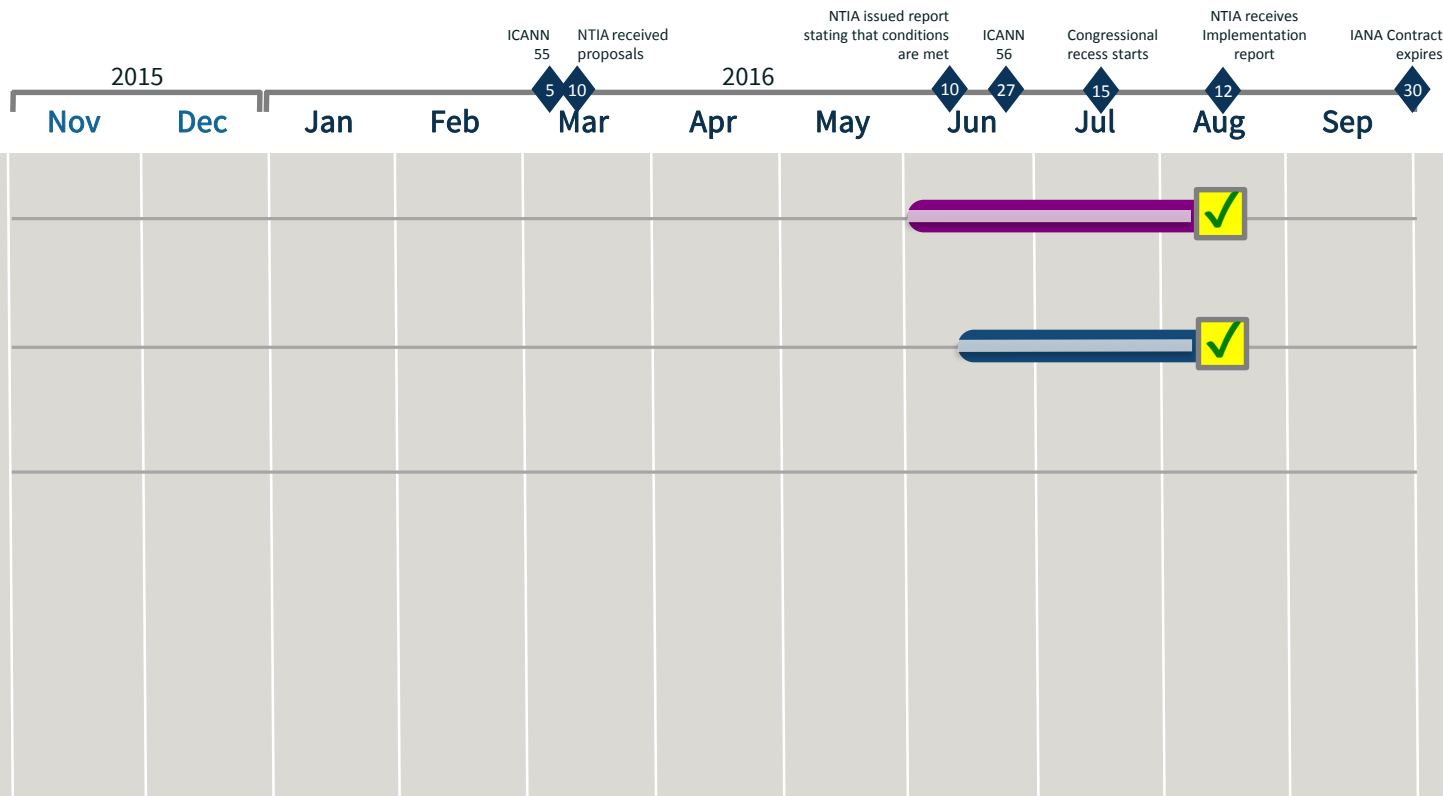
Upcoming Activities:

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete:  100%

Status: Complete



ICANN coordinates with Ombudsman on any support needed

ICANN updates RR process documentation

Project Updates:

Upcoming Activities:

Open Items:

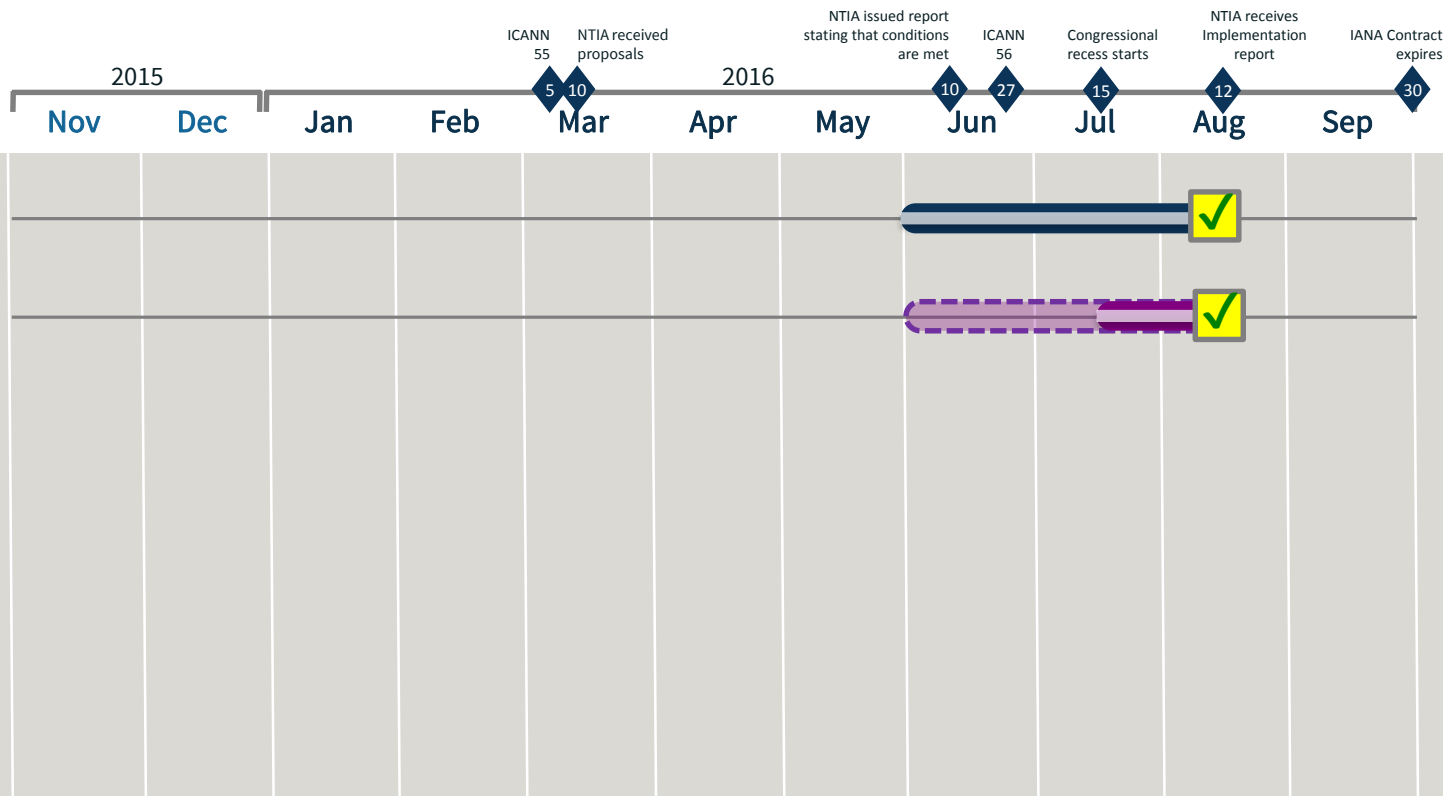


DRAFT for Discussion

--- Baseline
 — Current Plan

■ RR activities

* Timeframes are estimates | 21



Project Updates:

- EC support mechanisms in place.

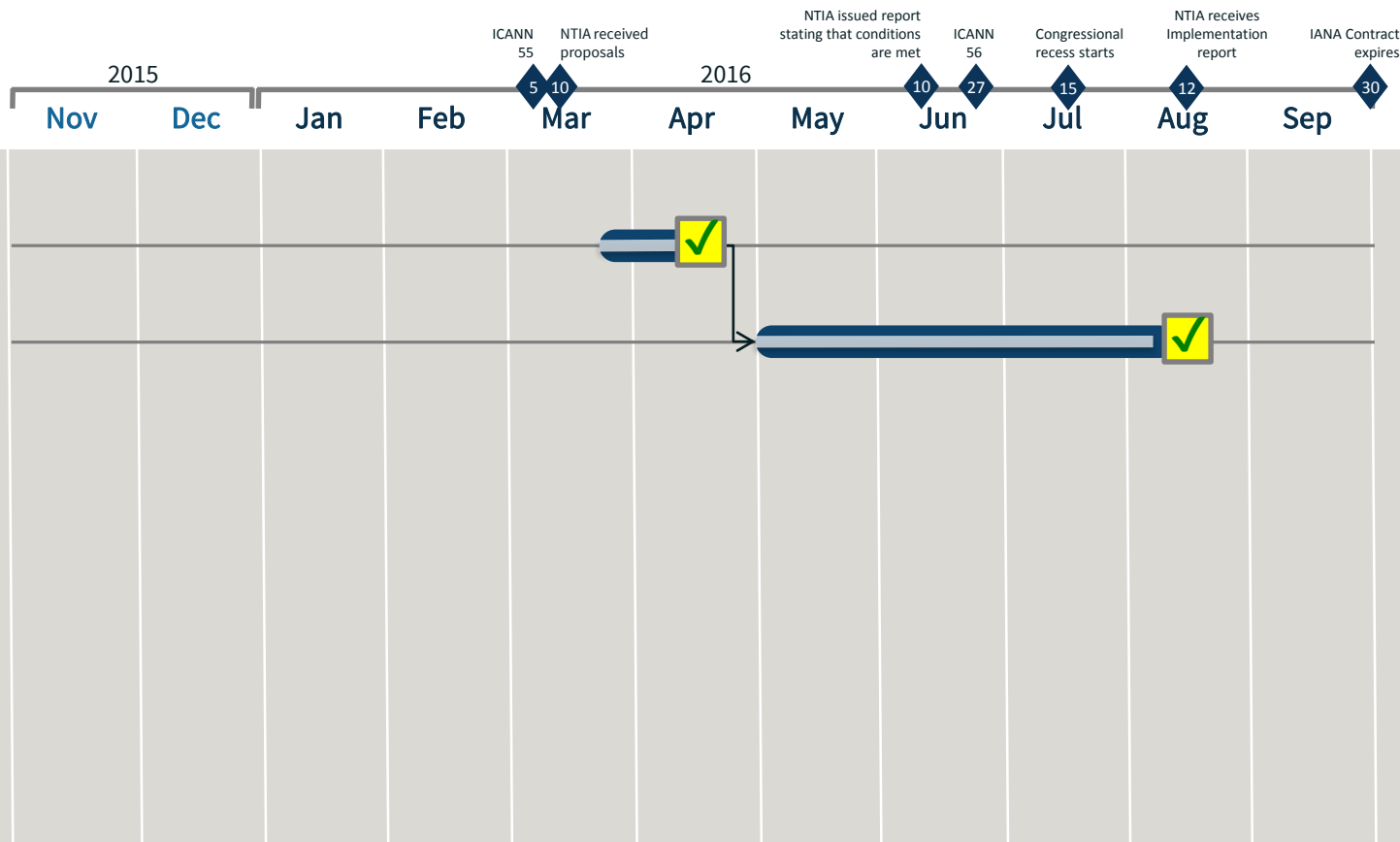
Upcoming Activities:

Open Items:

Post-transition Financial Planning Process

% Complete: 100%

Status: Complete



Project Updates:

- ✓ Finalized PTI budget language for inclusion in the PTI bylaws.

Upcoming Activities:

Open Items:



DRAFT for Discussion

- Baseline
- Current Plan

* Timeframes are estimates | 23