



The Internet Corporation for Assigned Names and Numbers

NOTE: This Registry Audit Notice is a template used for communicating an audit to the selected Registry Operators. It is published for informational purposes only. Audit scope and dates will vary based on the audit program underway. Please refer to the Audit Page to learn more about the program.

ICANN 1st Notice - Request for Information

ICANN Request for Information (RFI) to the [gTLD name]

Dear Registry:

As announced in the Pre-Audit Notification Letter dated [date-month-year] ICANN is conducting contractual compliance audits to assess whether gTLD Registry Operators are in compliance with their key obligations under their contracts with ICANN.

[gTLD name] has been selected for this year's audit.

As the Registry Operator of this gTLD, you are requested by [date-month-year] to submit the completed Request for Information (RFI) questionnaire, and to upload requested data/documents to a secure ICANN storage.

[Instructions on completing the audit questionnaire are provided here]

Please also note that ICANN will directly ask your Data Escrow Agent and TMCH [where applicable] for some of the data related to your Registry operations.

As part of the gTLD audit program, ICANN will leverage the professional services of KPMG to assist with the collection and evaluation of all Registry data. KPMG's contractual agreement with ICANN includes confidentiality obligations which requires KPMG to protect all data collected as part of the audit program, which may include (but is not limited to) the following measures:



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- * Antivirus measures
- * Firewalls, intrusion detection sensors and event monitoring
- * Demilitarized zone for Internet facing applications
- * Unique user IDs and complex passwords
- * User access provisioning and de-provisioning
- * Encryption for communication across public networks and the Internet
- * Physical and environmental controls

If you have any questions, please contact ICANN via email: complianceaudit@icann.org.

Thank you in advance for your cooperation.

Sincerely,

ICANN Contractual Compliance

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