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# Public Technical Identifiers (PTI) FY23 Q1 Financial Report

Three Months Ending September 30, 2022

14 November 2022

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# 1 FINANCIAL HIGHLIGHTS

## 1.1 PTI OPERATIONS (UNAUDITED)

### September 2022 Year to Date (3 months) Actuals vs. Budget

FY23 PTI Operations in Millions, USD	3 Months Through September 2022		Under/(Over) Budget		FY22 - 12 Months
	FY23 Actual	FY23 Budget	Total	%	FY22 Actual
<b>FUNDING</b>	<b>\$2.1</b>	<b>\$2.2</b>	<b>\$0.1</b>	<b>4%</b>	<b>\$8.1</b>
Personnel	\$1.6	\$1.5	(\$0.0)	-1%	\$6.3
Travel & Meetings	\$0.1	\$0.1	\$0.0	11%	\$0.1
Professional Services	\$0.2	\$0.3	\$0.0	16%	\$0.9
Administration	\$0.2	\$0.2	\$0.0	3%	\$0.8
Contingency	\$0.0	\$0.0	\$0.0	0%	\$0.0
Capital	\$0.0	\$0.1	\$0.0	58%	\$0.0
Depreciation (a)	\$0.0	\$0.0	(\$0.0)	-87%	\$0.0
<b>TOTAL CASH EXPENSES</b>	<b>\$2.1</b>	<b>\$2.2</b>	<b>\$0.1</b>	<b>4%</b>	<b>\$8.1</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0%</b>	<b>\$0.0</b>
Average Headcount	20.3	22.0	1.7	8%	21.6

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

FY23 PTI Operations in Millions, USD	3 Months Through September 2022		Under/(Over) Budget		FY22 - 12 Months
	FY23 Actual	FY23 Budget	Total	%	FY22 Actual
Direct Dedicated	\$0.9	\$1.0	\$0.1	10%	\$3.8
Direct Shared	\$0.6	\$0.5	(\$0.0)	-7%	\$2.0
Shared Services	\$0.6	\$0.6	\$0.0	4%	\$2.3
<b>TOTAL CASH EXPENSES</b>	<b>\$2.1</b>	<b>\$2.2</b>	<b>\$0.1</b>	<b>4%</b>	<b>\$8.1</b>

Due to rounding, numbers presented may not add up precisely to the totals indicated.

PTI Operations has Funding and Cash Expenses lower than budget by \$0.1M.

PTI is funded by ICANN where ICANN subcontracts with PTI to perform the IANA Functions. Since Expenses are lower than budget, the Funding from ICANN is also lower by the same amount.

Expenses are lower than budget by \$0.1M driven by lower than planned Travel & Meetings, Professional Services due to timing. Capital is under budget due to less capitalized labor for the root zone software development than planned. Personnel expense are slightly over budget due to higher than planned fringe benefits.

Direct Dedicated expenses are lower than budget \$0.1M driven by lower Travel and Meeting expenses, lower Administration costs for team activities, and lower Capital expenses for development work on the next generation Root Zone Management System project.

Direct Shared expenses and Shared Services are relatively flat to budget.

## 1.2 IANA FUNCTIONS OPERATIONS (UNAUDITED)

### September 2022 Year to Date (3 months) Actuals vs. Budget

FY23 IANA Functions in Millions, USD	3 Months Through September 2022		Under/(Over) Budget		FY22 - 12 Months
	FY23 Actual	FY23 Budget	Total	%	FY22 Actual
PTI Operations	\$2.1	\$2.2	\$0.1	4%	\$8.1
IANA Support Activities (a)	\$0.1	\$0.1	(\$0.0)	-5%	\$0.6
<b>TOTAL</b>	<b>\$2.3</b>	<b>\$2.3</b>	<b>\$0.1</b>	<b>3%</b>	<b>\$8.7</b>
Average Headcount	20.3	22.0	1.7	8%	21.6

(a) IANA Support Activities expenses consist of the RZMA = Root Zone Maintainer Agreement and capital expense, both funded directly by ICANN Operations

Total IANA expenses of \$2.3M is lower than budget by \$0.1M. This favorability is attributed to a favorable variance for PTI Operations noted above. The ICANN-funded IANA Support Activities expenses, representing costs for RZMA, Customer Standing Committee, and IANA Functions Review support remained relatively flat to budget.

## 2 APPENDIX

### 2.1 FY23 PTI Operations by Cost Group

September 2022 Year to Date (3 months) Actuals vs. Budget

#### Direct Dedicated

FY23 PTI Operations in Millions, USD	FY23 Actual Direct Dedicated	FY23 Budget Direct Dedicated	Under/(Over) Budget	
			Direct Dedicated	
			Total	%
<b>FUNDING</b>	<b>\$0.9</b>	<b>\$1.0</b>	<b>\$0.1</b>	<b>9.5%</b>
Personnel	\$0.8	\$0.8	\$0.0	1.5%
Travel & Meetings	\$0.0	\$0.0	\$0.0	27.8%
Professional Services	\$0.1	\$0.1	\$0.0	6.1%
Administration	\$0.0	\$0.0	\$0.0	83.9%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.1	\$0.0	58.1%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.9</b>	<b>\$1.0</b>	<b>\$0.1</b>	<b>9.5%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	15.3	17.0	1.7	9.8%

#### Direct Shared

FY23 PTI Operations in Millions, USD	FY23 Actual Direct Shared	FY23 Budget Direct Shared	Under/(Over) Budget	
			Direct Shared	
			Total	%
<b>FUNDING</b>	<b>\$0.6</b>	<b>\$0.5</b>	<b>(\$0.0)</b>	<b>-6.9%</b>
Personnel	\$0.3	\$0.3	(\$0.0)	-0.3%
Travel & Meetings	\$0.0	\$0.0	(\$0.0)	-68.1%
Professional Services	\$0.1	\$0.1	\$0.0	6.7%
Administration	\$0.1	\$0.1	(\$0.0)	-20.5%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation (a)	\$0.0	\$0.0	(\$0.0)	-86.9%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.6</b>	<b>\$0.5</b>	<b>(\$0.0)</b>	<b>-6.9%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	5.0	5.0	0.0	0.0%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

## Shared Services

FY23 PTI Operations in Millions, USD	FY23 Actual Shared Services	FY23 Budget Shared Services	Under/(Over) Budget	
			Shared Services	
			Total	%
<b>FUNDING</b>	<b>\$0.6</b>	<b>\$0.6</b>	<b>\$0.0</b>	<b>3.6%</b>
Personnel	\$0.4	\$0.4	(\$0.0)	-7.1%
Travel & Meetings	\$0.0	\$0.0	\$0.0	43.9%
Professional Services	\$0.1	\$0.1	\$0.0	32.1%
Administration	\$0.1	\$0.1	\$0.0	7.1%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.6</b>	<b>\$0.6</b>	<b>\$0.0</b>	<b>3.6%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	0.0	0.0	0.0	0.0%

## 2.2 FY23 PTI Operations by Services

### September 2022 Year to Date (3 months) Actuals vs. Budget

FY23 PTI Operations In Millions, USD	FY23 Actuals			
	Names	Numbers	Protocol Parameters	FY23 Actuals
Direct costs / Dedicated resources	0.6	0.1	0.2	0.9
Direct costs / Shared resources	0.3	0.1	0.1	0.6
Support Services Allocations	0.4	0.1	0.2	0.6
<b>Total</b>	<b>\$1.3</b>	<b>\$0.3</b>	<b>\$0.5</b>	<b>\$2.1</b>

FY23 PTI Operations In Millions, USD	FY23 Budget			
	Names	Numbers	Protocol Parameters	FY23 Budget
Direct costs / Dedicated resources	0.6	0.2	0.3	1.0
Direct costs / Shared resources	0.3	0.1	0.1	0.5
Support Services Allocations	0.4	0.1	0.2	0.6
<b>Total</b>	<b>\$1.3</b>	<b>\$0.3</b>	<b>\$0.6</b>	<b>\$2.2</b>

FY23 PTI Operations In Millions, USD	Under/(Over) Budget			
	Names	Numbers	Protocol Parameters	Total
Direct costs / Dedicated resources	0.1	0.0	0.0	0.1
Direct costs / Shared resources	(0.0)	(0.0)	(0.0)	(0.0)
Support Services Allocations	0.0	0.0	0.0	0.0
<b>Total</b>	<b>\$0.1</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.1</b>