
Public Technical Identifiers (PTI) FY22 Q1 Financial Report

Three Months Ending September 30, 2021

25 October 2021

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1 FINANCIAL HIGHLIGHTS

1.1 PTI OPERATIONS (UNAUDITED)

September 2021 Year to Date (3 months) Actuals vs. Budget

FY22 PTI Operations in Millions, USD	YTD 3 Months Through September 2021		Under/(Over) Budget		FY22 - 12 Months	FY21 - 12 Months
	YTD Actual	YTD Budget	Total	%	Budget	Actual
FUNDING	\$2.0	\$2.1	\$0.2	8%	\$9.7	\$7.7
Personnel	\$1.6	\$1.5	(\$0.1)	-5%	\$6.1	\$5.7
Travel & Meetings	\$0.0	\$0.1	\$0.1	96%	\$0.6	\$0.0
Professional Services	\$0.2	\$0.2	\$0.1	25%	\$1.3	\$0.8
Administration	\$0.2	\$0.2	(\$0.0)	-6%	\$0.9	\$1.0
Contingency	\$0.0	\$0.0	\$0.0	0%	\$0.5	\$0.0
Capital	\$0.0	\$0.1	\$0.1	97%	\$0.3	\$0.3
Depreciation (a)	\$0.0	\$0.0	\$0.0	67%	\$0.1	\$0.0
TOTAL CASH EXPENSES	\$2.0	\$2.1	\$0.2	8%	\$9.7	\$7.7
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0%	\$0.0	\$0.0
Average Headcount	22.5	22.0	(0.5)	-2%	22.0	20.9

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

FY22 PTI Operations in Millions, USD	YTD 3 Months Through September 2021		Under/(Over) Budget		FY22 - 12 Months	FY21 - 12 Months
	YTD Actual	YTD Budget	Total	%	Budget	Actual
Direct Dedicated	\$0.9	\$1.0	\$0.1	10%	\$5.0	\$3.5
Direct Shared	\$0.6	\$0.6	(\$0.0)	-3%	\$2.3	\$2.2
Shared Services	\$0.5	\$0.6	\$0.1	13%	\$2.5	\$2.0
TOTAL CASH EXPENSES	\$2.0	\$2.1	\$0.2	8%	\$9.7	\$7.7

PTI Operations has Funding and Cash Expenses lower than budget by \$0.2M.

PTI is funded by ICANN where ICANN subcontracts with PTI to perform the IANA Functions. Since Expenses are lower than budget, the Funding from ICANN is also lower by the same amount.

Expenses are lower than budget by \$0.2M driven by lower than planned Travel & Meetings, Capital, and Depreciation. Travel and Meetings expenses are lower than budget due to travel restrictions resulting from the Covid-19 pandemic.

Direct Dedicated expenses are lower than budget \$0.1M driven by lower Travel and Meeting expenses due to Covid-19 travel restrictions, and lower Capital expenses due to timing of development work on the next generation Root Zone Management System project.

Direct Shared expenses are relatively flat to budget.

Shared Services expenses are \$0.1M under budget driven by lower Travel and Meetings due to the Covid-19 pandemic and lower Professional Services in ICANN.

1.2 IANA FUNCTIONS OPERATIONS (UNAUDITED)

September 2021 Year to Date (3 months) Actuals vs. Budget

FY22 IANA Functions in Millions, USD	YTD 3 Months Through September 2021		Under/(Over) Budget		FY22 - 12 Months	FY21 - 12 Months
	YTD Actual	YTD Budget	Total	%	Budget	Actual
PTI Operations	\$2.0	\$2.1	\$0.2	8%	\$9.7	\$7.7
IANA Support Activities (a)	\$0.1	\$0.1	\$0.0	1%	\$0.6	\$0.6
TOTAL	\$2.1	\$2.3	\$0.2	7%	\$10.3	\$8.3
Average Headcount	22.5	22.0	(0.5)	-2%	22.0	20.9

(a) IANA Support Activities expenses consist of the RZMA = Root Zone Maintainer Agreement and capital expense, both funded directly by ICANN Operations

Total IANA Support Activities expenses of \$2.1M is lower than budget of \$2.3M by \$0.2M. This favorability is attributed to a favorable variance for PTI Operations noted above. The ICANN-funded IANA Support Activities expenses, representing costs for RZMA, Customer Standing Committee, and IANA Functions Review support remain relatively flat to budget.

2 APPENDIX

2.1 FY22 PTI Operations by Cost Group

September 2021 Year to Date (3 months) Actuals vs. Budget

Direct Dedicated

FY22 PTI Operations in Millions, USD	YTD Actual Direct Dedicated	YTD Budget Direct Dedicated	Under/(Over) Budget	
			Direct Dedicated	
			Total	%
FUNDING	\$0.9	\$1.0	\$0.1	10.4%
Personnel	\$0.8	\$0.8	(\$0.0)	-2.5%
Travel & Meetings	\$0.0	\$0.0	\$0.0	94.2%
Professional Services	\$0.1	\$0.0	(\$0.0)	-61.3%
Administration	\$0.0	\$0.0	\$0.0	94.7%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.1	\$0.1	96.7%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
TOTAL CASH EXPENSES	\$0.9	\$1.0	\$0.1	10.4%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	17.0	17.0	0.0	0.0%

Direct Shared

FY22 PTI Operations in Millions, USD	YTD Actual Direct Shared	YTD Budget Direct Shared	Under/(Over) Budget	
			Direct Shared	
			Total	%
FUNDING	\$0.6	\$0.6	(\$0.0)	-2.7%
Personnel	\$0.3	\$0.3	(\$0.0)	-13.8%
Travel & Meetings	\$0.0	\$0.0	\$0.0	97.6%
Professional Services	\$0.1	\$0.1	\$0.0	22.6%
Administration	\$0.2	\$0.1	(\$0.0)	-22.1%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation (a)	\$0.0	\$0.0	\$0.0	66.7%
TOTAL CASH EXPENSES	\$0.6	\$0.6	(\$0.0)	-2.7%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	5.5	5.0	(0.5)	-9.5%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

Shared Services

FY22 PTI Operations in Millions, USD	YTD Actual Shared Services	YTD Budget Shared Services	Under/(Over) Budget	
			Shared Services	
			Total	%
FUNDING	\$0.5	\$0.6	\$0.1	12.8%
Personnel	\$0.4	\$0.4	(\$0.0)	-2.4%
Travel & Meetings	\$0.0	\$0.0	\$0.0	95.9%
Professional Services	\$0.1	\$0.1	\$0.1	56.6%
Administration	\$0.1	\$0.1	(\$0.0)	-22.0%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
TOTAL CASH EXPENSES	\$0.5	\$0.6	\$0.1	12.8%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	0.0	0.0	0.0	0.0%

2.2 FY22 PTI Operations by Services

September 2021 Year to Date (3 months) Actuals vs. Budget

FY22 PTI Operations In Millions, USD	YTD Actuals			
	Names	Numbers	Protocol Parameters	FY22 Actuals
Direct costs / Dedicated resources	0.5	0.1	0.2	0.9
Direct costs / Shared resources	0.3	0.1	0.1	0.6
Support Services Allocations	0.3	0.1	0.1	0.5
Total	\$1.2	\$0.3	\$0.5	\$2.0

FY22 PTI Operations In Millions, USD	YTD Budget			
	Names	Numbers	Protocol Parameters	FY22 Budget
Direct costs / Dedicated resources	0.6	0.1	0.3	1.0
Direct costs / Shared resources	0.3	0.1	0.1	0.6
Support Services Allocations	0.4	0.1	0.2	0.6
Total	\$1.3	\$0.3	\$0.6	\$2.1

FY22 PTI Operations In Millions, USD	Under/(Over) Budget			
	Names	Numbers	Protocol Parameters	Total
Direct costs / Dedicated resources	0.1	0.0	0.0	0.1
Direct costs / Shared resources	(0.0)	(0.0)	(0.0)	(0.0)
Support Services Allocations	0.0	0.0	0.0	0.1
Total	\$0.1	\$0.0	\$0.0	\$0.2