Public Technical Identifiers (PTI) FY22 Q4 Financial Report

Twelve Months Ending June 30, 2022

24 August 2022
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1 FINANCIAL HIGHLIGHTS

1.1 PTI OPERATIONS (UNAUDITED)

June 2022 Year to Date (12 months) Actuals vs. Budget

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FY22 Actual</td>
<td>FY22 Budget</td>
<td>Total</td>
</tr>
<tr>
<td>FUNDING</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Personnel</td>
<td>$6.3</td>
<td>$6.1</td>
<td>($0.2)</td>
</tr>
<tr>
<td>Travel &amp; Meetings</td>
<td>$0.1</td>
<td>$0.6</td>
<td>$0.5</td>
</tr>
<tr>
<td>Professional Services</td>
<td>$0.9</td>
<td>$1.3</td>
<td>$0.4</td>
</tr>
<tr>
<td>Administration</td>
<td>$0.8</td>
<td>$0.9</td>
<td>$0.1</td>
</tr>
<tr>
<td>Contingency</td>
<td>$0.0</td>
<td>$0.5</td>
<td>$0.5</td>
</tr>
<tr>
<td>Capital</td>
<td>$0.0</td>
<td>$0.3</td>
<td>$0.3</td>
</tr>
<tr>
<td>Depreciation</td>
<td>$0.0</td>
<td>$0.1</td>
<td>$0.1</td>
</tr>
<tr>
<td>TOTAL CASH EXPENSES</td>
<td>$8.1</td>
<td>$9.7</td>
<td>$1.6</td>
</tr>
<tr>
<td>EXCESS/(DEFICIT)</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td>Average Headcount</td>
<td>21.6</td>
<td>22.0</td>
<td>0.4</td>
</tr>
</tbody>
</table>

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN.

Due to rounding, numbers presented may not add up precisely to the totals indicated.

PTI Operations has Funding and Cash Expenses lower than budget by $1.6M.

PTI is funded by ICANN where ICANN subcontracts with PTI to perform the IANA Functions. Since Expenses are lower than budget, the Funding from ICANN is also lower by the same amount.

Expenses are lower than budget by $1.6M driven by lower than planned Travel & Meetings, Professional Services, Capital, Depreciation, and Contingency. Travel and Meetings expenses are lower than budget due to travel restrictions resulting from the Covid-19 pandemic.

Direct Dedicated expenses are lower than budget $1.2M driven by lower Travel and Meeting expenses due to Covid-19 travel restrictions, lower Professional Services due to delay of community mandated studies, lower Capital expenses for development work on the next generation Root Zone Management System project, and unused contingency.
Direct Shared expenses are lower than budget $0.3M driven by personnel support and rates, Travel & Meetings, ad Depreciation.

Shared Services expenses are $0.2M under budget driven by lower Travel and Meeting and Professional Services in ICANN.

### 1.2 IANA FUNCTIONS OPERATIONS (UNAUDITED)

#### June 2022 Year to Date (12 months) Actuals vs. Budget

<table>
<thead>
<tr>
<th>FY22 IANA Functions</th>
<th>12 Months Through June 2022</th>
<th>Under/(Over) Budget</th>
<th>FY21 - 12 Months</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FY22 Actual</td>
<td>FY22 Budget</td>
<td>Total</td>
</tr>
<tr>
<td>PTI Operations</td>
<td>$8.1</td>
<td>$9.7</td>
<td>$1.6</td>
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<tr>
<td>IANA Support Activities</td>
<td>$0.6</td>
<td>$0.6</td>
<td>$0.0</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>$8.7</strong></td>
<td><strong>$10.3</strong></td>
<td><strong>1.6</strong></td>
</tr>
<tr>
<td>Average Headcount</td>
<td>21.6</td>
<td>22.0</td>
<td>0.4</td>
</tr>
</tbody>
</table>

(a) IANA Support Activities expenses consist of the RZMA = Root Zone Maintainer Agreement and capital expense, both funded directly by ICANN Operations.

Total IANA expenses of $8.7M is lower than budget of $10.3M by $1.6M. This favorability is attributed to a favorable variance for PTI Operations noted above. The ICANN-funded IANA Support Activities expenses, representing costs for RZMA, Customer Standing Committee, and IANA Functions Review support remained relatively flat to budget.
2 APPE N D I X

2.1 FY22 PTI Operations by Cost Group

June 2022 Year to Date (12 months) Actuals vs. Budget

<table>
<thead>
<tr>
<th>Direct Dedicated</th>
<th>FY22 PTI Operations in Millions, USD</th>
<th>FY22 Actual Direct Dedicated</th>
<th>FY22 Budget Direct Dedicated</th>
<th>Under/(Over) Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>FUNDING</td>
<td>$3.8</td>
<td>$5.0</td>
<td>$1.2</td>
</tr>
<tr>
<td></td>
<td>Personnel</td>
<td>$3.4</td>
<td>$3.2</td>
<td>($0.2)</td>
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<tr>
<td></td>
<td>Travel &amp; Meetings</td>
<td>$0.0</td>
<td>$0.3</td>
<td>$0.3</td>
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<tr>
<td></td>
<td>Professional Services</td>
<td>$0.3</td>
<td>$0.6</td>
<td>$0.2</td>
</tr>
<tr>
<td></td>
<td>Administration</td>
<td>$0.0</td>
<td>$0.1</td>
<td>$0.1</td>
</tr>
<tr>
<td></td>
<td>Contingency</td>
<td>$0.0</td>
<td>$0.5</td>
<td>$0.5</td>
</tr>
<tr>
<td></td>
<td>Capital</td>
<td>$0.0</td>
<td>$0.3</td>
<td>$0.3</td>
</tr>
<tr>
<td></td>
<td>Depreciation</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td></td>
<td>TOTAL CASH EXPENSES</td>
<td>$3.8</td>
<td>$5.0</td>
<td>$1.2</td>
</tr>
<tr>
<td></td>
<td>EXCESS/(DEFICIT)</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
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<td>17.0</td>
<td>0.2</td>
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<table>
<thead>
<tr>
<th>Direct Shared</th>
<th>FY22 PTI Operations in Millions, USD</th>
<th>FY22 Actual Direct Shared</th>
<th>FY22 Budget Direct Shared</th>
<th>Under/(Over) Budget</th>
</tr>
</thead>
<tbody>
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<td>$2.3</td>
<td>$0.3</td>
</tr>
<tr>
<td></td>
<td>Personnel</td>
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<td>$1.2</td>
<td>$0.1</td>
</tr>
<tr>
<td></td>
<td>Travel &amp; Meetings</td>
<td>$0.0</td>
<td>$0.1</td>
<td>$0.1</td>
</tr>
<tr>
<td></td>
<td>Professional Services</td>
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<td>$0.3</td>
<td>$0.0</td>
</tr>
<tr>
<td></td>
<td>Administration</td>
<td>$0.5</td>
<td>$0.5</td>
<td>$0.0</td>
</tr>
<tr>
<td></td>
<td>Contingency</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td></td>
<td>Capital</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td></td>
<td>Depreciation</td>
<td>$0.0</td>
<td>$0.1</td>
<td>$0.1</td>
</tr>
<tr>
<td></td>
<td>TOTAL CASH EXPENSES</td>
<td>$2.0</td>
<td>$2.3</td>
<td>$0.3</td>
</tr>
<tr>
<td></td>
<td>EXCESS/(DEFICIT)</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
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<td></td>
<td>Average Headcount</td>
<td>4.8</td>
<td>5.0</td>
<td>0.2</td>
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</table>

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN
Shared Services

<table>
<thead>
<tr>
<th>FY22 PTI Operations in Millions, USD</th>
<th>FY22 Actual Shared Services</th>
<th>FY22 Budget Shared Services</th>
<th>Under/(Over) Budget Shared Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>FUNDING</td>
<td>$2.3</td>
<td>$2.5</td>
<td>$0.2</td>
</tr>
<tr>
<td>Personnel</td>
<td>$1.7</td>
<td>$1.6</td>
<td>$(0.1)</td>
</tr>
<tr>
<td>Travel &amp; Meetings</td>
<td>$0.0</td>
<td>$0.2</td>
<td>$0.1</td>
</tr>
<tr>
<td>Professional Services</td>
<td>$0.3</td>
<td>$0.5</td>
<td>$0.2</td>
</tr>
<tr>
<td>Administration</td>
<td>$0.3</td>
<td>$0.2</td>
<td>$(0.0)</td>
</tr>
<tr>
<td>Contingency</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td>Capital</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td>Depreciation</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td>TOTAL CASH EXPENSES</td>
<td>$2.3</td>
<td>$2.5</td>
<td>$0.2</td>
</tr>
<tr>
<td>EXCESS/(DEFICIT)</td>
<td>$0.0</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
</tbody>
</table>

Average Headcount: 0.0

2.2 FY22 PTI Operations by Services

June 2022 Year to Date (12 months) Actuals vs. Budget

<table>
<thead>
<tr>
<th>FY22 PTI Operations In Millions, USD</th>
<th>FY22 Actuals</th>
<th>FY22 Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Names</td>
<td>Numbers</td>
<td>Protocol Parameters</td>
</tr>
<tr>
<td>Direct costs / Dedicated resources</td>
<td>2.3 0.6</td>
<td>1.0</td>
</tr>
<tr>
<td>Direct costs / Shared resources</td>
<td>1.2 0.3</td>
<td>0.5</td>
</tr>
<tr>
<td>Support Services Allocations</td>
<td>1.4 0.3</td>
<td>0.6</td>
</tr>
<tr>
<td>Total</td>
<td>$4.8  $1.2</td>
<td>$2.1</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FY22 PTI Operations In Millions, USD</th>
<th>FY22 Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Names</td>
<td>Numbers</td>
</tr>
<tr>
<td>Direct costs / Dedicated resources</td>
<td>3.0 0.8</td>
</tr>
<tr>
<td>Direct costs / Shared resources</td>
<td>1.3 0.3</td>
</tr>
<tr>
<td>Support Services Allocations</td>
<td>1.5 0.4</td>
</tr>
<tr>
<td>Total</td>
<td>$5.7  $1.5</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>FY22 PTI Operations In Millions, USD</th>
<th>Under/(Over) Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Names</td>
<td>Numbers</td>
</tr>
<tr>
<td>Direct costs / Dedicated resources</td>
<td>0.7 0.2</td>
</tr>
<tr>
<td>Direct costs / Shared resources</td>
<td>0.2 0.0</td>
</tr>
<tr>
<td>Support Services Allocations</td>
<td>0.1 0.0</td>
</tr>
<tr>
<td>Total</td>
<td>$1.0  $0.2</td>
</tr>
</tbody>
</table>