

# Public Technical Identifiers (PTI) FY21 Financial Report

## Three Months Ending September 30, 2020

### FINANCIAL HIGHLIGHTS – PTI OPERATIONS (UNAUDITED)

September 2020 Year to Date (3 months) Actuals vs. Budget

FY21 PTI Operations in Millions, USD	YTD 3 Months Through September 2020		Under/(Over) Budget		FY20 - 12 Months Actual
	YTD Actual	YTD Budget	Total	%	
<b>FUNDING</b>	<b>\$1.9</b>	<b>\$2.3</b>	<b>\$0.4</b>	<b>18%</b>	<b>\$7.5</b>
Personnel	\$1.4	\$1.6	\$0.2	11%	\$4.9
Travel & Meetings	(\$0.0)	\$0.1	\$0.1	102%	\$0.2
Professional Services	\$0.2	\$0.3	\$0.1	42%	\$0.9
Administration	\$0.3	\$0.2	(\$0.0)	-5%	\$1.2
Contingency	\$0.0	\$0.0	\$0.0	0%	\$0.0
Capital	\$0.1	\$0.0	(\$0.0)	-126%	\$0.2
Depreciation (a)	\$0.0	\$0.1	\$0.1	97%	\$0.0
<b>TOTAL CASH EXPENSES</b>	<b>\$1.9</b>	<b>\$2.3</b>	<b>\$0.4</b>	<b>18%</b>	<b>\$7.5</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0%</b>	<b>\$0.0</b>
<b>Average Headcount</b>	<b>21.1</b>	<b>23.0</b>	<b>1.8</b>	<b>8%</b>	<b>19.9</b>

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

FY21 PTI Operations in Millions, USD	YTD 3 Months Through September 2020		Under/(Over) Budget		FY20 - 12 Months Actual
	YTD Actual	YTD Budget	Total	%	
Direct Dedicated	\$0.8	\$1.0	\$0.2	23%	\$3.5
Direct Shared	\$0.6	\$0.6	(\$0.0)	-1%	\$1.9
Shared Services	\$0.5	\$0.7	\$0.2	26%	\$2.0
<b>TOTAL CASH EXPENSES</b>	<b>\$1.9</b>	<b>\$2.3</b>	<b>\$0.4</b>	<b>18%</b>	<b>\$7.5</b>

PTI Operations has Funding and Cash Expenses lower than budget by \$0.4M.

PTI is funded by ICANN where ICANN subcontracts with PTI to perform the IANA Functions. Since Expenses are lower than budget, the Funding from ICANN is also lower by the same amount.

Expenses are lower than budget by \$0.4M driven by lower than planned Personnel, Travel & Meetings, Professional Services, and Depreciation; partially offset by higher than planned Administration and Capital expenses. Travel and Meetings expenses are lower than budget due to travel restrictions resulting from the Covid-19 pandemic.

Direct Dedicated expenses are lower than budget \$0.2M driven by lower personnel expenses \$0.2M due to the timing of hiring 3 open budgeted positions and lower Travel and Meeting expenses \$0.1M due to Covid-19 travel restrictions. The lower expenses are partially offset by higher Capital expenses of due to the timing of the work on the RZMSv3 project.

Direct Shared expenses are relatively flat to budget.

Shared Services expenses are \$0.2M under budget driven by lower Professional Services in ICANN org as well as decreased Travel and Meetings due to the Covid-19 pandemic.

**FINANCIAL HIGHLIGHTS – IANA Services OPERATIONS (UNAUDITED)**

**September 2020 Year to Date (3 months) Actuals vs. Budget**

FY21 IANA Services in Millions, USD	YTD 3 Months Through September 2020		Under/(Over) Budget		FY20 - 12 Months
	YTD Actual	YTD Budget	Total	%	Actual
PTI Operations	\$1.9	\$2.3	\$0.4	18%	\$7.5
IANA Department - ICANN Funded (a)	\$0.1	\$0.1	\$0.0	0%	\$0.5
<b>TOTAL</b>	<b>\$2.0</b>	<b>\$2.4</b>	<b>\$0.4</b>	<b>17%</b>	<b>\$8.0</b>
<b>Average Headcount</b>	<b>21.1</b>	<b>23.0</b>	<b>1.8</b>	<b>8%</b>	<b>19.9</b>

(a) IANA Department Expense consists of the RZMA = Root Zone Maintainer Agreement and capital expense, both funded directly by ICANN Operations

Total IANA Services expense of \$2.0M is lower than budget of \$2.4M by \$0.4M. This favorability is attributed to a favorable variance for PTI Operations noted above. The ICANN-funded IANA expenses, representing costs for RZMA, Customer Standing Committee, and IANA Functions Review support flat to budget.

## APPENDIX

### FY21 PTI Operations by Cost Group – Year to Date September 2020 (3 months)

#### Direct Dedicated

FY21 PTI Operations in Millions, USD	YTD Actual Direct Dedicated	YTD Budget Direct Dedicated	Under/(Over) Budget	
			Direct Dedicated	
			Total	%
<b>FUNDING</b>	<b>\$0.8</b>	<b>\$1.0</b>	<b>\$0.2</b>	<b>23.5%</b>
Personnel	\$0.7	\$0.8	\$0.2	20.8%
Travel & Meetings	(\$0.0)	\$0.1	\$0.1	102.3%
Professional Services	\$0.1	\$0.1	\$0.0	9.9%
Administration	\$0.0	\$0.0	\$0.0	99.3%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.1	\$0.0	(\$0.0)	-126.3%
Depreciation (a)	\$0.0	\$0.0	\$0.0	0.0%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.8</b>	<b>\$1.0</b>	<b>\$0.2</b>	<b>23.5%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	15.7	18.0	2.3	13.0%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

#### Direct Shared

FY21 PTI Operations in Millions, USD	YTD Actual Direct Shared	YTD Budget Direct Shared	Under/(Over) Budget	
			Direct Shared	
			Total	%
<b>FUNDING</b>	<b>\$0.6</b>	<b>\$0.6</b>	<b>(\$0.0)</b>	<b>-1.0%</b>
Personnel	\$0.3	\$0.3	(\$0.0)	-11.9%
Travel & Meetings	\$0.0	\$0.0	\$0.0	99.5%
Professional Services	\$0.1	\$0.1	\$0.0	18.4%
Administration	\$0.2	\$0.1	(\$0.1)	-54.2%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation (a)	\$0.0	\$0.1	\$0.1	97.2%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.6</b>	<b>\$0.6</b>	<b>(\$0.0)</b>	<b>-1.0%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	5.4	5.0	(0.5)	-9.9%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

## Shared Services

FY21 PTI Operations in Millions, USD	YTD Actual Shared Services	YTD Budget Shared Services	Under/(Over) Budget Shared Services	
			Total	%
			<b>FUNDING</b>	<b>\$0.5</b>
Personnel	\$0.4	\$0.4	\$0.0	7.6%
Travel & Meetings	(\$0.0)	\$0.0	\$0.0	104.5%
Professional Services	\$0.1	\$0.2	\$0.1	68.0%
Administration	\$0.1	\$0.1	\$0.0	20.1%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation (a)	\$0.0	\$0.0	\$0.0	0.0%
<b>TOTAL CASH EXPENSES</b>	<b>\$0.5</b>	<b>\$0.7</b>	<b>\$0.2</b>	<b>25.5%</b>
<b>EXCESS/(DEFICIT)</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>\$0.0</b>	<b>0.0%</b>
Average Headcount	0.0	0.0	0.0	0.0%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

FY21 PTI Operations by Services – Year to Date September 2020 (3 months)

FY21 PTI Operations In Millions, USD	YTD Actuals			
	Names	Numbers	Protocol Parameters	FY21 Actuals
Direct costs / Dedicated resources	0.5	0.1	0.2	0.8
Direct costs / Shared resources	0.3	0.1	0.1	0.6
Support Services Allocations	0.3	0.1	0.1	0.5
<b>Total</b>	<b>\$1.1</b>	<b>\$0.3</b>	<b>\$0.5</b>	<b>\$1.9</b>

FY21 PTI Operations In Millions, USD	YTD Budget			
	Names	Numbers	Protocol Parameters	FY21 Budget
Direct costs / Dedicated resources	0.6	0.2	0.3	1.0
Direct costs / Shared resources	0.3	0.1	0.1	0.6
Support Services Allocations	0.4	0.1	0.2	0.7
<b>Total</b>	<b>\$1.4</b>	<b>\$0.3</b>	<b>\$0.6</b>	<b>\$2.3</b>

FY21 PTI Operations In Millions, USD	Under/(Over) Budget			
	Names	Numbers	Protocol Parameters	Total
Direct costs / Dedicated resources	0.1	0.0	0.1	0.2
Direct costs / Shared resources	(0.0)	(0.0)	(0.0)	(0.0)
Support Services Allocations	0.1	0.0	0.0	0.2
<b>Total</b>	<b>\$0.2</b>	<b>\$0.1</b>	<b>\$0.1</b>	<b>\$0.4</b>