
Public Technical Identifiers (PTI) FY21 Q4 Financial Report

Twelve Months Ending June 30, 2021

13 August 2021

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1 FINANCIAL HIGHLIGHTS

1.1 PTI OPERATIONS (UNAUDITED)

June 2021 Year to Date (12 months) Actuals vs. Budget

FY21 PTI Operations in Millions, USD	YTD 12 Months Through June 2021		Under/(Over) Budget		FY21 - 12 Months Budget	FY20 - 12 Months Actual
	YTD Actual	YTD Budget	Total	%		
FUNDING	\$7.7	\$10.0	\$2.3	23%	\$10.0	\$7.5
Personnel	\$5.7	\$6.3	\$0.7	11%	\$6.3	\$4.9
Travel & Meetings	\$0.0	\$0.5	\$0.5	99%	\$0.5	\$0.2
Professional Services	\$0.8	\$1.4	\$0.6	41%	\$1.4	\$0.9
Administration	\$1.0	\$0.9	(\$0.0)	-2%	\$0.9	\$1.2
Contingency	\$0.0	\$0.5	\$0.5	100%	\$0.5	\$0.0
Capital	\$0.3	\$0.1	(\$0.1)	-124%	\$0.1	\$0.2
Depreciation (a)	\$0.0	\$0.3	\$0.3	99%	\$0.3	\$0.0
TOTAL CASH EXPENSES	\$7.7	\$10.0	\$2.3	23%	\$10.0	\$7.5
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0%	\$0.0	\$0.0
Average Headcount	20.9	23.0	2.0	9%	23.0	19.9

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

FY21 PTI Operations in Millions, USD	YTD 12 Months Through June 2021		Under/(Over) Budget		FY21 - 12 Months Budget	FY20 - 12 Months Actual
	YTD Actual	YTD Budget	Total	%		
Direct Dedicated	\$3.5	\$4.9	\$1.4	29%	\$4.9	\$3.5
Direct Shared	\$2.2	\$2.3	\$0.2	7%	\$2.3	\$1.9
Shared Services	\$2.0	\$2.7	\$0.7	26%	\$2.7	\$2.0
TOTAL CASH EXPENSES	\$7.7	\$10.0	\$2.3	23%	\$10.0	\$7.5

PTI Operations has Funding and Cash Expenses lower than budget by \$2.3M.

PTI is funded by ICANN where ICANN subcontracts with PTI to perform the IANA Functions. Since Expenses are lower than budget, the Funding from ICANN is also lower by the same amount.

Expenses are lower than budget by \$2.3M driven by lower than planned Personnel, Travel & Meetings, Professional Services, Depreciation, and Contingency; partially offset by higher than planned Administration and Capital expenses. Travel and Meetings expenses are lower than budget due to travel restrictions resulting from the Covid-19 pandemic.

Direct Dedicated expenses are lower than budget \$1.4M driven by lower personnel expenses \$0.5M due to the timing of hiring 2 open budgeted positions, lower Travel and Meeting expenses \$0.3M due to Covid-19 travel restrictions, and lower Professional Services \$0.2M due to lower community mandated studies and audit expenses. In addition, contingency is under budget \$0.5M since no requests for usage of contingency were required. The lower expenses are partially offset by higher Capital expenses of \$0.1M due to development work on the next generation Root Zone Management System project.

Direct Shared expenses are lower than budget \$0.2M due to Covid-19 travel restrictions and lower depreciation due to lower fixed assets balance than planned; partially offset by higher administration costs for Key Management Facilities rent expenses than planned.

Shared Services expenses are \$0.7M under budget driven by lower Professional Services and Administration in ICANN org as well as decreased Travel and Meetings due to the Covid-19 pandemic.

1.2 IANA FUNCTIONS OPERATIONS (UNAUDITED)

June 2021 Year to Date (12 months) Actuals vs. Budget

FY21 IANA Functions in Millions, USD	YTD 12 Months Through June 2021		Under/(Over) Budget		FY21 - 12 Months Budget	FY20 - 12 Months Actual
	YTD Actual	YTD Budget	Total	%		
PTI Operations	\$7.7	\$10.0	\$2.3	23%	\$10.0	\$7.5
IANA Support Activities (a)	\$0.6	\$0.6	\$0.0	2%	\$0.6	\$0.5
TOTAL	\$8.3	\$10.6	\$2.3	22%	\$10.6	\$8.0
Average Headcount	20.9	23.0	2.0	9%	23.0	19.9

(a) IANA Support Activities consist of the RZMA = Root Zone Maintainer Agreement and capital expense, both funded directly by ICANN Operations

Total IANA Functions expenses of \$8.3M is lower than budget of \$10.6M by \$2.3M. This favorability is attributed to a favorable variance for PTI Operations noted above. The ICANN-funded IANA Support Activities expenses, representing costs for RZMA, Customer Standing Committee, and IANA Functions Review support remain relatively flat to budget.

2 APPENDIX

2.1 FY21 PTI Operations by Cost Group

June 2021 Year to Date (12 months) Actuals vs. Budget

Direct Dedicated

FY21 PTI Operations in Millions, USD	YTD Actual Direct Dedicated	YTD Budget Direct Dedicated	Under/(Over) Budget	
			Direct Dedicated	
			Total	%
FUNDING	\$3.5	\$4.9	\$1.4	29.4%
Personnel	\$3.0	\$3.5	\$0.5	14.7%
Travel & Meetings	\$0.0	\$0.3	\$0.3	98.9%
Professional Services	\$0.2	\$0.5	\$0.2	49.6%
Administration	\$0.0	\$0.1	\$0.1	75.1%
Contingency	\$0.0	\$0.5	\$0.5	100.0%
Capital	\$0.3	\$0.1	(\$0.1)	-124.3%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
TOTAL CASH EXPENSES	\$3.5	\$4.9	\$1.4	29.4%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	16.0	18.0	2.0	11.1%

Direct Shared

FY21 PTI Operations in Millions, USD	YTD Actual Direct Shared	YTD Budget Direct Shared	Under/(Over) Budget	
			Direct Shared	
			Total	%
FUNDING	\$2.2	\$2.3	\$0.2	6.6%
Personnel	\$1.2	\$1.2	\$0.0	1.0%
Travel & Meetings	\$0.0	\$0.1	\$0.1	99.9%
Professional Services	\$0.3	\$0.3	(\$0.0)	-16.6%
Administration	\$0.7	\$0.5	(\$0.2)	-40.2%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation (a)	\$0.0	\$0.3	\$0.3	99.3%
TOTAL CASH EXPENSES	\$2.2	\$2.3	\$0.2	6.6%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	4.9	5.0	0.1	1.8%

(a) Depreciation is treated as a cash expense for PTI since it will be reimbursed to ICANN

Shared Services

FY21 PTI Operations in Millions, USD	YTD Actual Shared Services	YTD Budget Shared Services	Under/(Over) Budget	
			Shared Services	
			Total	%
FUNDING	\$2.0	\$2.7	\$0.7	25.6%
Personnel	\$1.5	\$1.7	\$0.2	9.4%
Travel & Meetings	\$0.0	\$0.1	\$0.1	99.6%
Professional Services	\$0.3	\$0.6	\$0.4	59.0%
Administration	\$0.3	\$0.4	\$0.1	29.0%
Contingency	\$0.0	\$0.0	\$0.0	0.0%
Capital	\$0.0	\$0.0	\$0.0	0.0%
Depreciation	\$0.0	\$0.0	\$0.0	0.0%
TOTAL CASH EXPENSES	\$2.0	\$2.7	\$0.7	25.6%
EXCESS/(DEFICIT)	\$0.0	\$0.0	\$0.0	0.0%
Average Headcount	0.0	0.0	0.0	0.0%

2.2 FY21 PTI Operations by Services

June 2021 Year to Date (12 months) Actuals vs. Budget

FY21 PTI Operations In Millions, USD	YTD Actuals			
	Names	Numbers	Protocol Parameters	FY21 Actuals
Direct costs / Dedicated resources	2.1	0.5	0.9	3.5
Direct costs / Shared resources	1.3	0.3	0.6	2.2
Support Services Allocations	1.2	0.3	0.5	2.0
Total	\$4.6	\$1.2	\$2.0	\$7.7

FY21 PTI Operations In Millions, USD	YTD Budget			
	Names	Numbers	Protocol Parameters	FY21 Budget
Direct costs / Dedicated resources	2.9	0.7	1.3	4.9
Direct costs / Shared resources	1.4	0.4	0.6	2.3
Support Services Allocations	1.6	0.4	0.7	2.7
Total	\$5.9	\$1.5	\$2.6	\$10.0

FY21 PTI Operations In Millions, USD	Under/(Over) Budget			
	Names	Numbers	Protocol Parameters	Total
Direct costs / Dedicated resources	0.9	0.2	0.4	1.4
Direct costs / Shared resources	0.1	0.0	0.0	0.2
Support Services Allocations	0.4	0.1	0.2	0.7
Total	\$1.4	\$0.3	\$0.6	\$2.3