

Webinar: New Generic Top-Level Domain (gTLD) Subsequent Procedures Operational Design Phase (ODP)



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ICANN.org

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Welcome

Agenda

- Operational Design Phase (ODP) Overview [Nanig Mehranian]
 - Objective of the ODP
 - Subsequent Procedures (Sub Pro) Policy Development Process (PDP)
 - Sub Pro ODP Board Resolution and Scoping
 - Sub Pro ODP Stages of Work
- Subsequent Procedures ODP Scoping Document [Lars Hoffman and Chris Bare]
 - Introduction to Sub Pro ODP Scoping
 - Twelve Sub Pro Scoping Areas Identified
- Org Planning [Chris Bare and Lars Hoffman]
 - ODP Projection of Work
 - Community Engagement
 - ODP Resources
- Question and Answer (Q&A)

Operational Design Phase (ODP) Overview

Objective of the Operational Design Phase (ODP)

To perform an assessment of GNSO Council policy recommendations in order to **provide the Board with relevant information** to facilitate the Board's determination in accordance with the Bylaws and in consideration of the public interest, **on the operational impact of the implementation of the recommendations**, including whether the recommendations are in the best interests of the ICANN community or ICANN ([Annex A, Section 9 \(a\)](#)).

The ODP supports the **Board's ability to request a focused assessment** of the operational impact of GNSO Council-approved recommendations.

The ODP will also **support ICANN org's consultation with the community to solicit feedback on the assumptions, facts, and figures** that underpin ICANN org's ODP assessment.

Sub Pro Policy Development Process (PDP)

On 18 February 2021, the Generic Name Support Organization (GNSO) Council voted to approve the [New Generic Top-Level Domain \(gTLD\) Subsequent Procedures Policy Development Process Final Report](#) (the “Final Report”).

On 24 March 2021, the GNSO Council transmitted its [Recommendations Report](#) to the ICANN Board, following the GNSO Council’s approval of the Final Recommendations, and the Board is now considering the Outputs contained in the Final Report.

ODP Board Resolution and Scoping

Sub Pro ODP Board Resolutions:

- Resolved (2021.09.12.01) The Board directs the President and CEO, to take the steps needed to **organize the resources required to begin work on the ODP, and to advise the Board when the work of the ODP is initiated** within the organization. The Board requests **regular updates on the progress of the work and delivery of the Operational Design Assessment (ODA)**, the expected output of the ODP, **within ten months from the date of initiation.**
- Resolved (2021.09.12.02) The Board authorizes the President and CEO of **up to US\$9M to fund the internal project needed for initiation of the ODP, the execution of the ODP including community engagement, formation and delivery of an ODA to the Board, and any additional related work that may be required** to support the ICANN Board's consideration of the New Generic Top Level Domain (gTLD) Subsequent Procedures Policy Development Process Final Report.

Sub Pro ODP Scoping Document:

- Board worked with the org to create a structure and outline of the Sub Pro ODP Scoping document

ODP Stages of Work

The ODP is a significant undertaking for which the Board provided approval of up to US\$9 million outside of ICANN's operational budget.

Internal Project Organization: Org internal infrastructure ramp-up to support this effort

- Project Management Framework Development
- Resource Build-Out
- Development and launch of Sub Pro ODP Webinars and other community engagement activities

Operational Design Phase (ODP): ODP execution stage to deliver the *Operational Design Assessment (ODA)*, based on the Board-determined scope

Board Consideration: Board's determination on the Final Report Outputs



ODP Scoping Document

Introduction to Sub Pro ODP Scoping

- The Final Report contains Affirmations, Recommendations, and Implementation Guidance, that are collectively referred to as "Outputs."
- The scoping document focuses on the “immediate next round”, however also considers elements for “subsequent rounds” for purposes of scalability.
 - The immediate next round is defined as the New gTLD application round following the 2012 round, while subsequent rounds are defined as those rounds following the immediate next round.
- The Operational Design Phase is to design a model/prototype of the Final Report Outputs, rather than a full implementation plan that details each step of every process.
- The scoping document questions are drafted at a high-level and in an open manner to generate meaningful analysis and fulsome responses.
- The ODP scoping document and the resulting ODA deliverable will provide an end-to-end design of the Final Report Outputs.

Twelve Scoping Areas Identified



1: Governance

This Scoping Area focuses on the structural and process requirements for **decision making, accountability, and controls.**

- ICANN Board and Org Governance Structure
- Implementation Review Team (IRT)
- Predictability Framework and the Standing Predictability Implementation Review Team (SPIRT)
- GAC Consensus Advice for New gTLDs

2: Processing and Operations

This Scoping Area focuses on ICANN org **process(es)** and **operations** for **applications, new registry operator and other related support.**

- Application Submission and Processing
- Application Evaluation
- Dispute Resolution and Contention Resolution Mechanisms
- Transition to Delegation, and Delegation
- gTLD Start-Up and Onboarding
- Application Round Communications, Resource Materials, and Documentation
- Data Protection/Privacy
- Security & Stability
- Operations of the Immediate Next and Subsequent Rounds

3: Global Engagement, Linguistic Support, and Localization

This Scoping Area focuses on establishing **engagement, awareness and communication**, support and engagement for **Internationalized Domain Names (IDNs) and Universal Acceptance** initiatives, as well as **localization and inclusion**.

- Global Engagement, Awareness and Communications
- Applicant Support Program (ASP)
- Universal Acceptance (UA)
- Localization and Inclusion

4: ICANN Contractual Compliance

This Scoping Area focuses on ICANN org **process(es)** proposed to **enforce contractually binding commitments** on new gTLD Registry Operators resulting from the Outputs.

- Proposed criteria and process design for Contractual Compliance complaints resulting from the Outputs
- Integration of new processes into existing processes, if applicable

5: Systems and Tools

This Scoping Area focuses on the **hardware, software, and accompanying tools** proposed to support the Outputs.

- Information technology design
 - Support for Universal Acceptance and Email Address Internationalization (EAI)
- Integrating into ICANN org systems
- Testing methodologies to be used for ICANN systems
 - User testing to be performed prior to launch
- Proposed capacity for each ICANN system
- Service level agreements
- Security measures to protect applicant and all other confidential information

6: Vendors and Third Parties

This Scoping Area focuses on **counting** the number of potential **external third-party resources**.

- Identify processes that may require procurement
- Criteria and processes for identifying and selecting vendors and third parties

7: Resources and Staffing

This Scoping Area focuses on **counting** the number of estimated **human capital** and **internal resources**.

- Estimating the human capital and internal resources for each phase of the project
 - Design and Policy Implementation
 - Infrastructure Development and Operationalization
 - Round Launch and Operations of the Immediate Next Round
 - ICANN Org Support for Registry Operator Ongoing Operations

8: Finance

This Scoping Area focuses on the estimated **calculation of cost and fees** for implementing the Outputs.

- Cost estimate to design, build, and launch operations for the Outputs
- Estimated fees for applicants
 - to include application and other application-related fees (e.g., objection filing fees)
- Estimated fees for registry operators
 - to include registry and other registry-related fees (e.g., registry service provider technical evaluations panel (RSTEP) fees)

9: Risks

This Scoping Area focuses on the **likelihood** and **degree** of risk, and how it can be **managed or mitigated**.

- Overall risk; business, legal, reputational
- Risk related to implementation of the Outputs conflicting with:
 - Bylaws
 - ICANN policy, contracts
 - Future changes in law
- Identify Outputs that still remain unspecified or unclear and may lead to implementation challenges; provide options, if identified
- Security, Stability, Resiliency (SSR) risk
- Risk related to a materially delayed launch arising from external disruption (e.g., natural disaster)
- Risk of a significant shortfall or excess of funding as compared to estimates

10: Dependencies and Prerequisites

This Scoping Area focuses on open items that may **hinder** or **prevent** one or more items from being scoped.

- Prerequisites to opening of the immediate next round
- Dependencies on:
 - other ICANN org work
 - existing advice or community recommendations
 - other community work
- Areas in the Outputs where there is no policy guidance or where full consensus was not received
- If there is any area in the Outputs where a feasible implementation solution has not been identified

11: Global Public Interest

This Scoping Area assesses the **anticipated impact** of the Final Report Outputs to **the Global Public Interest** by utilizing the **framework** that is currently being piloted.

- Global Public Interest Framework was developed in collaboration with the community in 2019-2020, and resulted in a FY20 pilot
- The Pilot Framework will be utilized to develop an assessment of the potential impact of the Outputs to the Global Public Interest

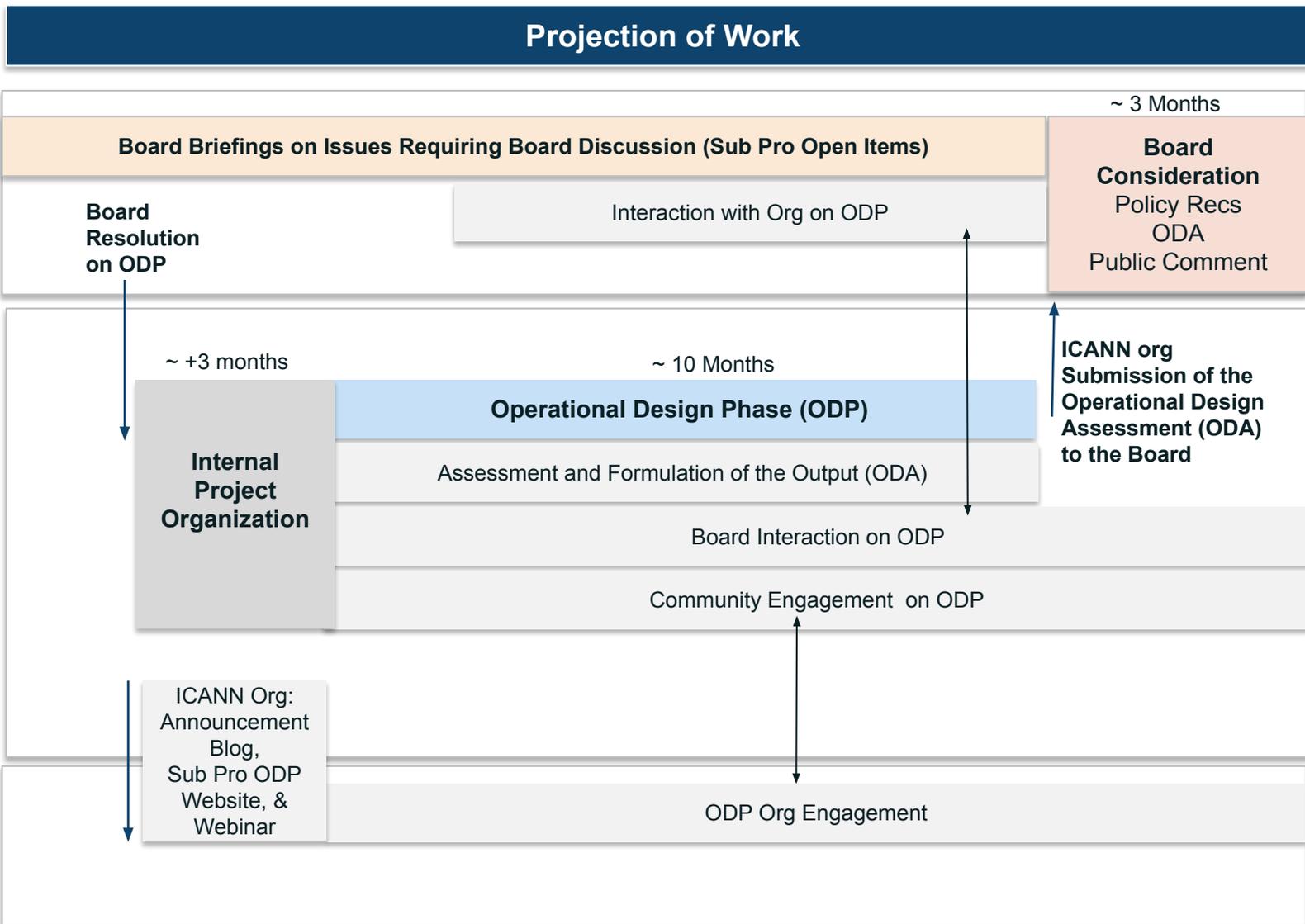
12: Timeline

This Scoping Area focuses on the **estimated** timeline to **design, build, and launch** the **immediate next round**.

- Estimating the timeline to design, build, and deliver the immediate next round and is broken out by each phase of the project
 - Design and Policy Implementation
 - Infrastructure Development and Operationalization
 - Round Launch and Operations of the Immediate Next Round
 - ICANN Org Support for Registry Operator Ongoing Operations

Org Planning

ODP Projection of Work



Community Engagement

Information Sharing

- Publish ODP updates on a set cadence in the form of announcements, blogs, webpage updates

Information Sharing and Community Inputs

- Conduct Sub Pro ODP Webinars on a periodic basis to solicit feedback from the community (ex. when specific milestones have been reached)
- Engage with the GNSO Council Liaison, if/when questions arise during the ODP that pertain to Policy, substance or intent of the recommendations
- Meet with SOs/ACs, as relevant

Upon ODP initiation the full community engagement schedule will be published on the webpage

ODP Resources

General ODP Site Page: <https://www.icann.org/odp>

Sub Pro ODP Site Page: <https://www.icann.org/subpro-odp>

- [ODP Scoping Document](#)
- [Board Resolution](#)

Sub Pro ODP Questions: Please email subpro-odp@icann.org

Question and Answer (Q&A)