

# Organizational Effectiveness Committee Activities Report

1 July 2019 - 31 December 2019

## Section I: Completed Activities<sup>1</sup> – Q3 through Q4 2019

*Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last 6 months and longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.*

Item	Description	Completion Date	Status
ccNSO Review	Submission of Final Report by the independent examiner	August 2019	<ul style="list-style-type: none"> <li>● <a href="#">Final report</a> submitted on 29 August 2019</li> </ul>
Bylaws amendments resulting from recommendations of RSSAC and SSAC reviews	The OEC recommended Bylaws Amendments to the RSSAC and SSAC composition. Both the SSAC and RSSAC were motivated to request these amendments as part of the implementation of the recommendations arising out of their most recent organizational reviews.	September 2019	<ul style="list-style-type: none"> <li>● The Board passed a resolution 2019.09.08.04.</li> </ul>
IANA Naming Function Review (IFR)	The OEC recommended Bylaws Amendment to Composition of the IANA Naming Function Review	September 2019	<ul style="list-style-type: none"> <li>● The Board passed a resolution 2019.09.08.05.</li> </ul>
Annual Review Implementation Report	Board agreed to incorporate the Annual Review Implementation Report (ARIR) into the overall ICANN Annual Report.	October 2019	<ul style="list-style-type: none"> <li>● The <a href="#">Annual Review Implementation Report</a> was included in the <a href="#">ICANN FY19 Annual Report</a>.</li> </ul>
NomCom2 Review	Submission of detailed implementation plan and acceptance by the ICANN Board	November 2019	<ul style="list-style-type: none"> <li>● <a href="#">Detailed Implementation Plan</a> submitted to the OEC on 15 September 2019</li> <li>● <a href="#">Board acceptance</a> of Detailed Implementation Plan on 7 November 2019</li> </ul>

<sup>1</sup> Organizational and Specific reviews have a long life-cycle, with multiple phases and durations. For the purposes of this reporting, completed activities include milestones and achievements that demonstrate progression of these reviews.

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## Section II: In-Progress Activities

Item	Description	Target Completion Date	Status
Competition, Consumer Trust and Consumer Choice (CCT) Review <sup>2</sup> (Implementation Phase)	The CCT Review focuses on the impact of new gTLDs in the areas of competition, consumer trust and consumer choice. The Review Team began its work in January 2016 and concluded in September 2018.	December 2019	<ul style="list-style-type: none"> <li>● The CCT Review Team submitted its Final Report to the Board on 8 September 2018.</li> <li>● The Final Report was posted for public comment on 8 October 2018.</li> <li>● The Board took action on the Final Report and Recommendations on 01 March 2019.</li> <li>● Following Board <a href="#">action</a> on CCT Final Recommendations, ICANN org posted Draft Implementation Plan for 6 Accepted Recommendations for public comment and provided an update to the Board on the status of pending recommendations in December 2019.</li> <li>● The Board will officially accept the Plan for Implementation of CCT Accepted Recommendations during their upcoming workshop in January 2020.</li> <li>● Work on Pending Recommendations is progressing with another update to be provided to the Board in March 2020.</li> </ul>
SSAC2 Review (Plan Implementation)	The SSAC has been asked by the Board to complete its Detailed Implementation Plan, including appropriate implementation costing. The SSAC is finalized issue surrounding staffing costs related to the implementation process and is expected to submit the report in early 2020.	February 2020	<ul style="list-style-type: none"> <li>● The SSAC completed its Detailed Implementation Plan in December 2019 and submitted it to the OEC in January 2020; the OEC will consider it in January 2020 and make a recommendation to the Board in February 2020.</li> </ul>
ASO Review (post-review process)	Discuss and place on the record OEC view regarding whether the review process followed ICANN's transparency and accountability expectations, specifically, the NRO's selection of the independent examiner. This is part of the OEC's 'lessons	March 2020	<ul style="list-style-type: none"> <li>● Once the current review process is completed, the OEC will assess that all aspects of the second ASO review were in line with the Bylaws, the MoU, and ICANN's transparency and accountability expectations.</li> </ul>

<sup>2</sup> The OEC oversees the development and maintenance of Review Framework that encapsulates the policies, processes and procedures applicable to the conduct of Reviews and ensures adherence to Operating Standards, Bylaws, and best practices.

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	learned' initiative, to assess to what extent the selection process and all other aspects of the second ASO review were in line with the ICANN Bylaws		
ATRT3 (Conduct Review) <sup>1</sup>	The Review Team is currently conducting its review of ICANN's accountability and transparency efforts so as to ensure that the outcomes of its decision-making reflect the public interest and are accountable to the Internet community.	March 2020	<ul style="list-style-type: none"> <li>● ATRT3 posted its Draft Report for Public Comment in December 2019 and the public comment will close on 31 January 2020.</li> <li>● ATRT3 plans to issue its final report in April 2020.</li> </ul>
RSSAC2 Review (Implementation Planning Phase)	The RSSAC has been asked by the Board to complete its Detailed Implementation Plan, including appropriate implementation costing.	Q1 2020	<ul style="list-style-type: none"> <li>● ICANN org has supplied the RSSAC with a template for its Detailed Implementation Plan.</li> <li>● The RSSAC missed the 3 November deadline but submitted the Detailed Implementation Plan in January 2020.</li> </ul>
Registration Directory Service (RDS) Review <sup>1</sup> (Board Consideration Phase)	<p>The RDS review looks to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data.</p> <p>The Review Team was formed in June 2017.</p>	Q1 2020	<ul style="list-style-type: none"> <li>● <a href="#">Final Report</a> was <a href="#">submitted</a> to the Board on 3 September 2019.</li> <li>● <a href="#">Public comment</a> on the Final Report took place between 8 October and 23 December 2019.</li> <li>● The Board is expected to take action on the Final Report and Recommendations by 3 March 2020.</li> </ul>
Resourcing and Prioritization of Community Recommendations	With the wide range and scope of community-related review recommendations, there have been discussions within ICANN (community, Board and the ICANN organization) that have opened up the possibility for collaboratively engaging to make recommendations more effective, taking into consideration costing and prioritization for the implementation process.	Q2 2020	<ul style="list-style-type: none"> <li>● The OEC will discuss next steps during the forthcoming Board workshop in LA in January 2020.</li> <li>● The OEC, in coordination with the Board Caucus on Budgeting and Prioritization of Community Recommendations (BPCR) and the ATRT3 Caucus Group, will continue its engagement with ATRT3 to align on this area.</li> </ul>

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Item	Description	Target Completion Date	Status
Second Security, Stability, and Resiliency (SSR2) Review <sup>1</sup> (Conduct Review)	The SSR2 review focuses on ICANN's execution of its commitment to enhance the operational stability, reliability, resiliency, security, and global interoperability of the systems and processes, both internal and external, that directly affect and/or are affected by the Internet's system of unique identifiers that ICANN coordinates.	June 2020	<ul style="list-style-type: none"> <li>● The SSR2 continues its work, having just completed a face-to-face meeting in Montreal at ICANN66.</li> <li>● Planning for two face-to-face meetings are underway: Washington, D.C. in January and ICANN67 in March</li> <li>● It is anticipated that the review team will publish a draft report for public comment in February 2020 and the final report in June 2020.</li> </ul>
Streamlining of Org and Specific Reviews	The second round of organizational reviews being almost complete, the community has raised issues that indicate the reviews would benefit from streamlining and the Board has concurred that the organizational review process would benefit from adjustments. ICANN organization has drafted a proposal on how the process of streamlining should be conducted and on which issues it should focus.	December 2020	<ul style="list-style-type: none"> <li>● The Chairman of the Board posted a <a href="#">blog</a> on 31 October on 'Enhancing and Streamlining ICANN's Reviews: Issues, Approaches, and Next Steps', detailing the Board's thinking on the streamlining process (see also resourcing and prioritization of community recommendations above).</li> <li>● The OEC will discuss next steps during the forthcoming Board workshop in LA in January 2020.</li> <li>● The OEC, in coordination with the Board Caucus on Budgeting and Prioritization of Community Recommendations (BPCR) and the ATRT3 Caucus Group, will continue its engagement with ATRT3 to align on this area.</li> <li>● The final report from ATRT3 will be required to finalize this effort.</li> </ul>
At-Large Review (Implementation Phase)	Implementation of the recommendations are underway.	December 2020	<ul style="list-style-type: none"> <li>● The At-Large Review Implementation Working Group has submitted its first Implementation Progress Report to the OEC on 19 June 2019 and 23 December 2019.</li> <li>● The OEC will review the latest update in January 2020.</li> </ul>
Holistic Assessment of ICANN Structures	The OEC initiated its discussion on the effectiveness of ICANN's review processes and explored whether there was a need to conduct holistic reviews of ICANN from time to time, in addition to the narrower reviews of specific structures.	2021	<ul style="list-style-type: none"> <li>● Committee to defer this discussion until the currently planned reviews have had an opportunity to operationalize improvements already identified.</li> <li>● The final report from ATRT3 will be required to finalize this effort.</li> </ul>

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Item	Description	Target Completion Date	Status
	The OEC to discuss further the possibility of the review of the CWG and CCWG mechanisms, in conjunction with an MSSSI Org-proposed timeline for this review.		
NomCom2 Review (Implementation Phase)	The Board approved the NomCom RIWP's Detailed Implementation Plan, including the implementation approach detailed therein, on 7 November 2019.	2022	<ul style="list-style-type: none"> <li>● The NomCom Review Implementation Working Group (NomComRIWG) conducted a session during ICANN66 to give an update on implementation work as set forth in the <a href="#">Detailed Implementation Plan</a>. Following the Board's <a href="#">adoption</a> of the detailed implementation plan, the NomComRIWG has started to oversee the implementation.</li> <li>● Next progress report to be published 30 June 2020.</li> </ul>
ccNSO2 Review (Review Phase)	Independent Examiner Meridian Institute published a final report 3 September 2019.	Ongoing	<ul style="list-style-type: none"> <li>● The ccNSO is acting on the 14 recommendations for continuous improvement outlined in the <a href="#">final report</a>, preparing its Feasibility Assessment, due in March 2020.</li> </ul>

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Item	Description	Target Completion Date	Status
IANA Naming Function Review (IFR)	The IFR is a new Bylaw-mandated accountability mechanism created as part of the IANA stewardship transition to ensure that PTI meets the needs and expectations of its naming customers by adhering to the contractual requirements set forth in the <a href="#">IANA Naming Function Contract</a> and the <a href="#">IANA Naming Function Statement of Work</a>	TBD	<ul style="list-style-type: none"> <li>● On 16 September 2018, the first IFR was <u>convened</u> by the ICANN Board in compliance with <a href="#">Article 18</a> of the ICANN Bylaws.</li> <li>● Following OEC approval, the required fundamental Bylaw change was published for public comment on 10 June 2019. The Bylaws change was ultimately approved by the Board and the Empowered Community and the <a href="#">Bylaws</a> were amended on 28 November 2019.</li> <li>● The <a href="#">Review Team members and liaisons were announced</a> on 23 September 2019 and met all of the Bylaw stipulations.</li> <li>● The IFR Review Team (IFRT) held their first meeting on <a href="#">03 December 2019</a> and all progress is being tracked on the <a href="#">IFR wiki</a>.</li> <li>● The IFRT is expected to approve their <a href="#">Rules of Engagement</a> on 7 January 2020, and is currently reviewing a work plan and scope of work draft.</li> </ul>

### Appendix I: Resolutions Recommended by the OEC to the Board

Date	Resolution Title	Category	Status	Resolution Number	Topic	Summary
8 Sep 2019	<a href="#">Approval of Fundamental Bylaws Amendment to composition of the IANA Naming Functions Review</a>	Board	Complete	2019.09.08.05	Bylaws Amendment to composition of the IANA Naming Functions Review	The Board approves the amendments to the composition of the IANA Naming Functions Review and directs ICANN org to continue with the Fundamental Bylaws Amendment process

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8 Sep 2019	<a href="#">Approval of the Standard Bylaws Amendments to the RSSAC and SSAC composition</a>	Board	Complete	2019.09.08.04	Bylaws Amendments to the RSSAC and SSAC composition	The Board approves the amendments to the Bylaws changes related to the SSAC and RSSAC leadership
7 Nov 2019	<a href="#">SSR2 Additional Funding</a>	Board	Complete	2019.11.07.14	SSR2 additional funding	The Board approves additional funding for SSR2 needed to offset delays which impacted the review team work plan and deliverables.
7 Nov 2019	<a href="#">Independent Review of the NomCom Detailed Implementation Plan</a>	Board	Complete	2019.11.07.32 – 2019.11.07.35	NomCom Detailed Implementation Plan	The Board accepts the NomCom Review Detailed Implementation Plan

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## Appendix II: Current Status of Reviews (from [icann.org](https://icann.org))

Specific Reviews Currently Active	Status	Additional Resources
Accountability and Transparency (ATRT3)	The ATRT3 Review Team is in the process of finalizing its findings and recommendations. The <a href="#">draft report</a> is open for <a href="#">Public Comment</a> through 31 January 2020.	Latest news and updates: <a href="#">Wiki Workspace</a> Historical milestones, outputs, and final deliverables: <a href="#">Review Home Page on ICANN.org</a> .
Competition, Consumer Trust, and Consumer Choice (CCT)	On 1 March 2019, the Board took action on each of the 35 recommendations, as specified within the scorecard titled " <a href="#">Final CCT Recommendations: Board Action (1 March 2019)</a> ". The implementation plan was posted for <a href="#">public comment</a> on 11 September 2019. A status update was submitted to the Board in December 2019.	Latest news and updates: <a href="#">Wiki Workspace</a> Historical milestones, outputs, and deliverables: <a href="#">Review Home Page on ICANN.org</a> Review data: <a href="#">Fact Sheet</a>
Registration Directory Service Review formerly "WHOIS" (RDS-WHOIS2)	The Final Report and Recommendations were <a href="#">submitted</a> to the Board on 3 September 2019, and <a href="#">submitted for public comment</a> on 8 October 2019. The Public Comment proceeding aims at gathering community input on the RDS-WHOIS2 Review Team's proposed findings and final recommendations.	Latest news and updates: <a href="#">Wiki Workspace</a> Historical milestones, outputs, and deliverables: <a href="#">Review Home Page on ICANN.org</a> Review data: <a href="#">Fact Sheet</a>

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<p>Security, Stability, and Resiliency of the Domain Name System (SSR2)</p>	<p>The SSR2 Review Team shared its draft findings and recommendations with the community at <a href="#">ICANN66</a> in Montreal. A draft report is expected to be published for public comment in February 2020.</p>	<p>Latest news and updates: <a href="#">Wiki Workspace</a></p> <p>Historical milestones, outputs, and deliverables: <a href="#">Review Home Page on ICANN.org</a></p> <p>Review data: <a href="#">Fact Sheet</a></p>
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Organizational Reviews currently active	Status	Additional Resources
<p>Address Supporting Organization (ASO2)</p>	<p>The NRO is currently assessing the <a href="#">Final Report</a> of the independent examiner.</p>	<p>Find essential milestones, outputs, and final deliverables on the <a href="#">ASO Review Home Page on ICANN.org</a>.</p>
<p>At-Large (At-Large2)</p>	<p>At-Large adopted a <a href="#">detailed implementation plan</a> and implementation is underway. ALAC will update the OEC on the implementation process every six months via written progress reports. Anticipated completion date of implementation is December 2020.</p>	<p>Find latest news and progress updates on the <a href="#">At-Large/ALAC Review Wiki Workspace</a> and historical milestones, outputs, and final deliverables on the <a href="#">At-Large Review Home Page on ICANN.org</a>.</p>
<p>Country Code Names Supporting Organization (ccNSO2)</p>	<p>ccNSO is now conducting a Feasibility Assessment and initial implementation plan with respect to the recommendations submitted in the Independent Examiner's <a href="#">final report</a>. The Assessment should contain a response to the findings and the recommendations, including rationale in cases of disagreement, as well as initial</p>	<p>Find historical milestones, outputs, and final deliverables on the <a href="#">ccNSO Review Home Page on ICANN.org</a>.</p>

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	thoughts on how the recommendations that are addressed at the ccNSO can/will be implemented.	
Generic Names Supporting Organization (GNSO2)	The Board <a href="#">accepted</a> the GNSO's final implementation plan in January 2019. The GNSO3 review, starting June 2021, will mark the third round of organizational reviews.	Find latest news and progress updates on the <a href="#">GNSO Review Wiki Workspace</a> and historical milestones, outputs, and final deliverables on the <a href="#">GNSO Review Home Page on ICANN.org</a> .
Nominating Committee (NomCom2)	The Board <a href="#">accepted</a> the NomCom Review Detailed Implementation Plan and directed the NomCom Review Implementation Working Group to commence implementation, in accordance with the Detailed Implementation Plan and to provide updates to the Organizational Effectiveness Committee.	Find latest news and progress updates on the <a href="#">NomCom Review Wiki Workspace</a> and historical milestones, outputs, and final deliverables on the <a href="#">NomCom Review Home page on ICANN.org</a> .
Root Server System Advisory Committee (RSSAC2)	The Board accepted the RSSAC Review <a href="#">final report</a> and <a href="#">Feasibility Assessment and Initial Implementation Plan</a> in June 2019. The RSSAC review implementation working group will draft a detailed implementation plan to be submitted to the Board by December 2019.	Find latest news and progress updates on the <a href="#">RSSAC Review Wiki Workspace</a> and historical milestones, outputs, and final deliverables on the <a href="#">RSSAC Review Home Page on ICANN.org</a> .
Security and Stability Advisory Committee (SSAC2)	The Board accepted the SSAC Review <a href="#">final report</a> and <a href="#">Feasibility Assessment and Initial Implementation Plan</a> in June 2019. The SSAC	Find latest news and progress updates on the <a href="#">SSAC Review Wiki Workspace</a> and historical

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Organizational Reviews currently active	Status	Additional Resources
	review implementation working group will draft a detailed implementation plan to be submitted to the Board by December 2019.	milestones, outputs, and final deliverables on the <a href="#">SSAC Review Home Page on ICANN.org</a> .