

Organizational Effectiveness Committee Activities Report

30 June 2019

Section I: Completed Activities – Q1 through Q2 2019

Note: Reviews and related projects consist of several major phases (planning, conducting review and implementing improvements), and each phase may last 6 months and longer. While completed activities are reflective of these phases in Section I of this report, Section II includes milestones that have been completed within the phases that are still work in progress.

Item	Description	Completion Date	Status
GNSO2 Review (Implementation Phase)	The Board accepted the Implementation Final Report, based on OEC recommendation.	27 January 2019	<ul style="list-style-type: none"> • The Board accepted the GNSO2 Review Working Group's Implementation Final Report. • The Board encourages the GNSO to continue monitoring the impact of the implementation of the recommendations from the second Review of the GNSO as part of its continuous improvement process.
At-Large Review2 (Implementation Planning Phase)	The Board accepted the At-Large Advisory Committee Detailed Implementation Plan, based on OEC recommendation.	27 January 2019	<ul style="list-style-type: none"> • In June 2018, the Board approved the preparation of a staged implementation plan that was time-bounded and would require minimal budgetary and staff implications in order to be successfully implemented. • This initial At-Large Review Implementation Plan consists of eight of the original sixteen issues (#1, #2, #3, #4, #7, #9, #13 and #16) identified by the independent reviewer. • It was presented to the Board Organizational Effectiveness Committee (OEC) in December 2018 and was approved by the Board during their Meeting on 26 January 2019.

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NomCom2 Review (Review Phase)	Based on OEC's recommendation, the Board accepted the final report and Feasibility Assessment and Initial Implementation Plan at ICANN64.	14 March 2019	<ul style="list-style-type: none"> NomCom Implementation Planning Team adopted its Feasibility Assessment and Initial Implementation Plan with full consensus and submitted it to the OEC on 18 December 2018. With the Board action at ICANN64, the Review Phase is now completed Board instructed the NomCom Review Implementation Planning Team to form an Implementation Working Group and draft a detailed implementation plan (see Section II below).
IANA Naming Function Review (IFR)	Amendments to the OEC Charter to include IANA Naming Function Review (IFR).	14 March 2019	<ul style="list-style-type: none"> The Board adopted the amendments to the OEC charter to include oversight of IFR. This includes responsibility for causing periodic and special IANA naming function reviews, which are aimed at reviewing PTI's performance under the IANA Naming Function Contract and the IANA Naming Function Statement of Work.
RSSAC2 Review (Review Phase) *See Section II for Implementation Planning Phase	The Board accepted the Final Report from the Independent Examiner and the Feasibility and Assessment and Initial Implementation Plan on 3 May 2019, based on a recommendation from the OEC.	3 May 2019	<ul style="list-style-type: none"> The Review phase is now completed. The RSSAC will now start drafting its detailed implementation plan, as per the Board resolution from 3 May 2019.
SSAC2 Review (Review Phase) *See Section II for Implementation Planning Phase	The Board accepted the independent examiner's final report of the second Review of the Security and Stability Advisory Committee (SSAC) as well as the SSAC Review Work Party's Feasibility Assessment and Initial Implementation Plan , based on OEC recommendation.	23 June 2019	<ul style="list-style-type: none"> The Review Phase is now completed. The SSAC will now start drafting its detailed implementation plan, as per the Board resolution from 23 June 2019.
Operating Standards	The Board adopted Operating Standards to guide Specific Reviews, based on a recommendation from the OEC.	23 June 2019	<ul style="list-style-type: none"> The Board approved Operating Standards for Specific Reviews to guide the work of ongoing and future

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			<p>Specific Reviews.</p> <ul style="list-style-type: none"> The Operating Standards were socialized with current review teams' leadership for input.
Charter Amendments – Registrar Stakeholder Group (RrSG)	The Board approved Charter amendment for RrSG based on a recommendation from the OEC.	June 2019	<ul style="list-style-type: none"> Completed Phase II of the GNSO Charter Amendment Process. The OEC has directed staff to proceed to Phase III, posting the proposed changes to the RrSG Charter for public comment, including all supporting documents, such as ICANN org's fiscal and liability concerns and the RrSG's response to these concerns. Board approved charter amendment at ICANN65.
ATRT3 (Planning Phase) ¹ *See Section II for Conduct Review phase	The review team adopted its terms of reference and work plan by consensus on 12 June 2019, and attended engagement sessions in Marrakech at ICANN65.	24 June 2019	<ul style="list-style-type: none"> Consider input from engagement sessions in Marrakech for discussion and impact assessment.

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Section II: In-Progress Activities

Item	Description	Target Completion Date	Status
ccNSO2 Review (Conduct Review)	The Review phase is ongoing.	August 2019	<ul style="list-style-type: none"> • Meridian Institute published a draft final report for public comment on 17 June 2019 and also presented the document to the community on 26 June 2019 at ICANN65. • A webinar to socialize the draft final report is planned for 10 July 2019.
NomCom2 Review (Implementation Phase)	The NomCom2 Review Implementation Working Party is in the process of drafting the Detailed Implementation Plan, due in September 2019.	September 2019	<ul style="list-style-type: none"> • The RWP conducted a webinar during ICANN65 Prep Week on 13 June 2019 to provide an update on its progress to completing the Detailed Implementation Plan.
RSSAC2 Review (Implementation Planning Phase)	The RSSAC has been asked by the Board to complete its Detailed Implementation Plan, including appropriate implementation costing, by 3 November 2019 .	November 2019	<ul style="list-style-type: none"> • The RSSAC is currently in the process of drafting its Detailed Implementation Plan. • ICANN org has supplied the RSSAC with a template for its Detailed Implementation Plan.
ASO Review (post-review process)	Discuss and place on the record OEC view regarding whether the review process followed ICANN’s transparency and accountability expectations, specifically, the NRO’s selection of the independent examiner. This is part of the OEC’s ‘lessons learned’ initiative, to assess to what extent the selection process and all other aspects of the second ASO review were in line with the ICANN Bylaws	December 2019	<ul style="list-style-type: none"> • Once the current review process is completed, the OEC will assess that all aspects of the second ASO review were in line with the Bylaws, the MoU, and ICANN’s transparency and accountability expectations.
SSAC2 Review (Plan Implementation)	The SSAC has been asked by the Board to complete its Detailed Implementation Plan, including appropriate implementation costing, by 23 December 2019	December 2019	<ul style="list-style-type: none"> • The SSAC is currently in the process of drafting its Detailed Implementation Plan. • ICANN org has supplied the SSAC with a template for its Detailed Implementation Plan.

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<p>Registration Directory Service (RDS) Review¹</p>	<p>The RDS review looks to assess the effectiveness of the then current gTLD registry directory service and whether its implementation meets the legitimate needs of law enforcement, promoting consumer trust and safeguarding registrant data.</p> <p>The Review Team was formed in June 2017.</p>	<p>Q1 FY20</p>	<ul style="list-style-type: none"> • The review team had a final face-to-face meeting in Brussels in December 2018 and is expected to publish their final report in Q1 FY20. • Public comment period was open from 4 September 2018 to 18 November 2018. • The team published a draft report on 31 August 2018.
<p>Competition, Consumer Trust and Consumer Choice (CCT) Review¹ (Implementation Phase)</p>	<p>The CCT Review focuses on the impact of new gTLDs in the areas of competition, consumer trust and consumer choice. The Review Team began its work in January 2016 and concluded in September 2018.</p>	<p>Sept 2019</p>	<ul style="list-style-type: none"> • The CCT Review Team submitted their Final Report to the Board on 8 September 2018. • The Final Report was posted for public comment on 8 October 2018. • The Board took action on the Final Report and Recommendations on 01 March 2019. • Following Board action on CCT Final Recommendations, ICANN org is working on addressing the Board's request for further information and implementation planning of the accepted recommendations.
<p>Second Security, Stability, and Resiliency (SSR2) Review¹</p>	<p>The SSR2 review focuses on ICANN's execution of its commitment to enhance the operational stability, reliability, resiliency, security, and global interoperability of the systems and processes, both internal and external, that directly affect and/or are affected by the Internet's system of unique identifiers that ICANN coordinates.</p>	<p>November 2019</p>	<ul style="list-style-type: none"> • The SSR2 continues its work, having just completed a face-to-face meeting in Marrakech at ICANN65.
<p>ATRT3 (Conduct Review)¹</p>	<p>The Review Team is currently conducting its review of ICANN's accountability and transparency efforts. shall cause a periodic review of ICANN's execution of its commitment to maintain and improve robust mechanisms for public input, accountability, and transparency so as to ensure that the outcomes of its decision-making reflect the public interest and are accountable to the Internet community</p>	<p>March 2020</p>	<ul style="list-style-type: none"> • The Review Team conducted its second face-to-face meeting in Marrakech at ICANN65. • Planning for two face-to-face meetings are underway: Singapore in October and Montreal in November.

¹ The OEC oversees the development and maintenance of Review Framework that encapsulates the policies, processes and procedures applicable to the conduct of Reviews and ensures adherence to Operating Standards, Bylaws, and best practices.

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<p>Review Streamlining & Recalibration/ Review Framework/ Process of Conducting Effective Reviews</p>	<p>The timing of Bylaws-mandated Specific and Organizational Reviews resulted in multiple reviews occurring at the same time – currently eleven reviews are in various stages of work. The situation has strained volunteer and ICANN resources and resulted in Board and community discussions, including at ICANN63, to look for possible solutions to lessen the strain on volunteer community and resources, while maintaining accountability.</p>	<p>December 2019</p>	<ul style="list-style-type: none"> • A consultation paper was posted for public comment in 2018 on a way forward with reviews. • This work transformed into two initiatives: “Streamlining Organizational Reviews” and “Enhancing the Effectiveness of Review Recommendations & Implementation”, both of which are detailed below.
<p>Enhancing Effectiveness of Review Recommendations & Implementation</p>	<p>With the wide range and scope of community-related review recommendations, there have been discussions within ICANN (community, Board and the ICANN organization) that have opened up the possibility for collaboratively engaging to make these recommendations more effective. To support this dialogue on prioritization and resourcing of recommendations as well as their effective implementation, four themes have been identified that merit further discussion: 1) scope and effectiveness of recommendations, including whether they achieve the intended purpose; 2) prioritization of recommendations within the broader context of ICANN work; 3) implementation assessment and feasibility (including costs), and 4) sustainability of an effective reviews program.</p>	<p>July 2019</p>	<ul style="list-style-type: none"> • The Board had a dialogue with the leadership of the four specific reviews (CCT, RDS-WHOIS2, SSR2, ATRT3) on prioritization and affordability of review recommendations as well as their effective implementation on 14 June. • This discussion will inform next steps, including discussions with the broader community to arrive at a list of attributes that make the review recommendations more effective and impactful; and agreed-upon principles to apply to a prioritization process of review recommendations in the context of broader ICANN work and priorities. • The topic paper is being revised to incorporate discussions from 14 June meeting, noted above, and will be sent to the Board for approval.
<p>Streamlining Organizational Reviews</p>	<p>The second round of organizational reviews being almost complete, the community has raised issues that indicate the reviews would benefit from streamlining and the Board has concurred that the organizational review process would benefit from adjustments. ICANN organization has drafted a proposal on how the process of streamlining should be conducted and on which issues it should focus.</p>	<p>December 2020</p>	<ul style="list-style-type: none"> • The Process Proposal on Streamlining Organizational Reviews has been published for an extended public comment period on 30 April 2019 and will close on 15 July. • The community is asked to provide feedback on four questions: • 1. Do you/your organization agree with the proposed list of issues that should form the focus of the streamlining process? If not, with which do you disagree and what would you like to add?

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			<ol style="list-style-type: none"> 2. Do you/your organization agree with the proposed underlying principles that should guide the solutions? If not, with which do you disagree and what would you like to add? 3. Do you/your organization agree with the community role in the streamlining process? If not, what would you propose? 4. Do you/your organization agree with the proposed high-level timeline? If not, what would you propose?
Annual Review Implementation Report	Board agreed to incorporate the Annual Review Implementation Report (ARIR) into the overall ICANN Annual Report.	October 2019	<ul style="list-style-type: none"> • The Annual Review Implementation Report will be included in the publication of ICANN FY19 Annual Report. • The report will have a unique URL.
At-Large Review (Implementation Phase)	Implementation of the recommendations are underway.	December 2020	<ul style="list-style-type: none"> • The At-Large Review Implementation Working Group has submitted its first Implementation Progress Report to the OEC on 19 June 2019. The next report is due on 31 January 2019.
Holistic Assessment of ICANN Structures	<p>The OEC initiated its discussion on the effectiveness of ICANN's review processes and explored whether there was a need to conduct holistic reviews of ICANN from time to time, in addition to the narrower reviews of specific structures.</p> <p>The OEC to discuss further the possibility of the review of the CWG and CCWG mechanisms, in conjunction with an MSSI Org-proposed timeline for this review.</p>	2021	<ul style="list-style-type: none"> • Committee to defer this discussion until the currently planned reviews have had an opportunity to operationalize improvements already identified.

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IANA Naming Function Review (IFR)	The IFR is a new Bylaw-mandated accountability mechanism created as part of the IANA stewardship transition to ensure that PTI meets the needs and expectations of its naming customers by adhering to the contractual requirements set forth in the <u>IANA Naming Function Contract and the IANA Naming Function Statement of Work</u>	TBD	<ul style="list-style-type: none">• On 16 September 2018, the first IFR was <u>convened by the</u>• <u>ICANN Board</u>, in compliance with <u>Article 18</u> of the ICANN Bylaws.• The IFR Team work has not commenced, as the ccNSO is continuing its efforts to complete the composition of the review team due to difficulties locating a non-ccNSO ccTLD representative as required by Section 18.7(d) of the Bylaws.• Following OEC approval, the required fundamental Bylaw change was published for public comment on 10 June 2019.• In parallel, continuing efforts are being made to satisfy the conditions of the current bylaws.
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Appendix I: Resolutions Recommended by the OEC to the Board

Date	Resolution Title	Category	Status	Resolution Number	Topic	Summary
27 Jan 2019	Acceptance of GNSO2 Review Working Group's Implementation Final Report	Board	Complete	2019.01.27.02 – 2019.01.27.03	GNSO2 Review Implementation Final Report	The Board accepts the GNSO2 Review Implementation Final Report of the second GNSO Review issued by the GNSO Review Working Group
27 Jan 2019	Consideration of the At-Large Advisory Committee Detailed Implementation Plan	Board	Complete	2019.01.27.04 – 2019.01.27.07	At-Large Advisory Committee Implementation Plan	The Board accepts the At-Large Review Implementation Plan, including the phased approach contained within
01 Mar 2019	Competition, Consumer Trust, and Consumer Choice Review Team (CCT) Final Report and Recommendations	Board	Complete	2019.03.01.01 – 2019.03.01.05	CCT Final Report and Recommendations	The Board takes action on each of the 35 recommendations issued within the Final CCT Report as specified within the scorecard titled "Final CCT Recommendations: Board Action (1 March 2019)"
14 Mar 2019	Acceptance of Organizational Effectiveness Committee Charter Revisions	Board	Complete	2019.03.14.05	Organizational Effectiveness Committee Charter Revisions	The Board adopts the proposed revisions to the Organizational Effectiveness Committee Charter
14 Mar 2019	Acceptance of the Second Organizational Review of	Board	Complete	2019.03.14.13 - 2019.03.14.19	Second Organizational Review of the NomCom	The Board accepts the final report from the independent examiner, and the Feasibility Assessment and

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	the NomCom – Final Report and Recommendations					Initial Implementation Plan from the NomCom Review Implementation Planning Team
03 May 2019	Acceptance of the Second Organizational Review of the RSSAC – Final Report and Feasibility Assessment and Initial Implementation Plan	Board	Complete	2019.05.03.15 – 2019.05.03.23	Acceptance of the Second Organizational Review of the RSSAC	The Board accepts the final report from the independent examiner of the second Review of the RSSAC
23 Jun 2019	Acceptance of the Final Report and Feasibility Assessment and of the Initial Implementation Plan for the Second Organizational Review of the Security and Stability Advisory Committee	Board	Complete	2019.06.23.15 – 2019.06.23.20	Final Report and Feasibility Assessment and of the Initial Implementation Plan for the Second Organizational Review of the SSAC	The Board accepts the final report from the independent examiner and the Feasibility Assessment
23 Jun 2019	Adoption of Operating Standards to provide guidance on conducting Specific Reviews, as mandated by the ICANN Bylaws, Article 4, Section 4.6	Board	Complete	Rationale for Resolutions 2019.06.23.13 – 2019.06.23.14	Operating Standards to provide guidance on conducting Specific Reviews	The Board adopts the Operating Standards for Specific Reviews, developed for the conduct of reviews under Bylaws Section 4.6
23 Jun 2019	GNSO Registrar Stakeholder Group Charter Amendments	Board	Complete	2019.06.23.21	GNSO Registrar Stakeholder Group Charter Amendments	The ICANN Board approves the Registrar Stakeholder Group Charter Amendments

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Appendix II: Current Status of Reviews (from icann.org)

Specific Reviews Currently Active	Status	Additional Resources
Accountability and Transparency (ATRT3)	The Internet Corporation for Assigned Names and Numbers (ICANN) announced the selection of an 18-member team to conduct the third Accountability and Transparency Review (ATRT3) . The ATRT3 conducted its second face-to-face meeting at ICANN65 in Marrakech, Morocco on 23 June 2019.	Latest news and updates: Wiki Workspace Historical milestones, outputs, and final deliverables: Review Home Page on ICANN.org .
Competition, Consumer Trust, and Consumer Choice (CCT)	Having considered the various recommendation “themes” and recognizing that the Board has the obligation and responsibility to balance the work of ICANN in order to preserve the ability for ICANN org to serve its Mission and the public interest, the Board decided on three categories of action on 1 March 2019. These categories include: accepting recommendations, subject to costing and implementation considerations; passing recommendations (in whole or in part) to noted community groups for their consideration; and placing recommendations (in whole or in part) in "Pending" status, directing ICANN org to perform specific actions to enable the Board to take further actions, as detailed in the scorecard "Final CCT Recommendations: Board Action (1 March 2019)" (the "Scorecard").	Latest news and updates: Wiki Workspace Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org Review data: Fact Sheet

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Registration Directory Service Review formerly "WHOIS" (RDS-WHOIS2)	The review team is reviewing the seven public comments received on its Draft Report , and updating its report for submission to the ICANN Board. Publication is anticipated in Q3 2019.	<p>Latest news and updates: Wiki Workspace</p> <p>Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org</p> <p>Review data: Fact Sheet</p>
Security, Stability, and Resiliency of the Domain Name System (SSR2)	The review team conducted face-to-face meetings at ICANN65 in Marrakech.	<p>Latest news and updates: Wiki Workspace</p> <p>Historical milestones, outputs, and deliverables: Review Home Page on ICANN.org</p> <p>Review data: Fact Sheet</p>

Organizational Reviews currently active	Status	Additional Resources
Address Supporting Organization (ASO2)	In accord with the ASO and NRO assessment of recommendations from the ASO2 review, recommendation implementation is in progress.	Find essential milestones, outputs, and final deliverables on the ASO Review Home Page on ICANN.org .
At-Large (At-Large2)	The At-Large Review Implementation Working Group (ARIWG) is overseeing the implementation process.	Find latest news and progress updates on the At-Large/ALAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the At-Large Review Home Page on ICANN.org .

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Country Code Names Supporting Organization (ccNSO2)	Independent examiner Meridian Institute published a draft final report and presented it to the community on 26 June 2019 at ICANN65 in Morocco. A webinar to socialize the draft report is also planned for 10 July 2019 at 19:00 UTC.	Find historical milestones, outputs, and final deliverables on the ccNSO Review Home Page on ICANN.org .
Generic Names Supporting Organization (GNSO2)	GNSO2 Review recommendation have been implemented and the Final Implementation Report has been submitted to the Board.	Find latest news and progress updates on the GNSO Review Wiki Workspace and historical milestones, outputs, and final deliverables on the GNSO Review Home Page on ICANN.org .
Nominating Committee (NomCom2)	The NomCom Review Implementation Working Group is drafting the Detailed Implementation Plan, due on 14 September 2019.	Find latest news and progress updates on the NomCom Review Wiki Workspace and historical milestones, outputs, and final deliverables on the NomCom Review Home page on ICANN.org .
Root Server System Advisory Committee (RSSAC2)	The RSSAC is currently drafting its Detailed Implementation Plan, due on 3 November 2019.	Find latest news and progress updates on the RSSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the RSSAC Review Home Page on ICANN.org .
Security and Stability Advisory Committee (SSAC2)	The SSAC is currently drafting its Detailed Implementation Plan, due on 23 December 2019.	Find latest news and progress updates on the SSAC Review Wiki Workspace and historical milestones, outputs, and final deliverables on the SSAC Review Home Page on ICANN.org .