INTRODUCTION
This document describes the process to be followed in developing a set of reference label generation rulesets (LGR) to be made available for selected languages on the second level. The intent is to allow registries to adopt these LGRs either as is, or to take them as the basis for further modifications.

The process takes as its starting point a review of an existing set of reference LGRs released into the public domain by The Internet Foundation of Sweden [IIS], who performs the Pre-Delegation Testing of New gTLDs for ICANN. These LGRs are either confirmed, or modified, based on information available from authoritative sources as well as expertise represented by the development team and a set of external reviewers.

TARGET LANGUAGE, WRITING SYSTEM AND SCRIPT
For the purposes of developing a reference LGR for a particular language, the modern writing system for that language will be considered. If there are multiple writing systems, each using different scripts, then each of them would be the target for a different LGR, and the language identifier for the LGR will contain both the language as well as the script tag. Examples are the Cyrillic and Latin writing systems for Bosnian.

In case of national or regional differences in writing systems for a given language, but using the same script, the reference LGR will be designed to accommodate all of them and be identified with a language tag not containing a country code or regional identifier. For example, the Swiss and German writing systems for the German language will be accommodated by a single German LGR.

The writing systems for some languages use multiple scripts. A single LGR will be designed to cover each such writing system across all the scripts it employs. For example the writing system for Japanese uses the Kanji (Han), Katakana, Hiragana, and Romaji (Latin) scripts.

SPECIFICATION AND DOCUMENTATION OF LGR
A label generation ruleset (LGR) consists of four elements: a descriptive preamble, a code point repertoire, an optional set of variant code point definitions, together with a specification of which variants lead to valid allocatable or blocked variant labels, and an optional set of whole label evaluation
(WLE) rules that further restrict the set of valid labels. The LGR will be specified in an XML file using the schema developed for specifying LGRs in XML [LGR-XML].

A second document will contain a human readable summary of the LGR, including notes on the LGR, its sources and its development. Attached to this document will be the reports created as part of the review by linguistic and DNS security and stability experts.

TARGET REPERTOIRE
This section describes the general considerations in deciding on the subset of code points that will form the target repertoire for a given LGR. For languages that use an ideographic writing system these considerations differ somewhat from the general case.

Subsets of Code Points Used in Writing a Language
There are a number of possible ways to subset the collection of code points from a given script that are used in connection with a particular language

1. **Strict, or core subset;** for alphabetic writing systems this would usually correspond to the standard alphabet for the language plus any additional PVALID code points that are essential to writing the language in all supported writing systems.

2. **Common subset;** this would extend the strict subset to include code points commonly used to write words in the language, but not strictly essential. For example, this subset would include letters needed to write common loan words, where they conventionally retain all or part of their original spelling. For ideographic writing systems, there is no well-defined cutoff between “essential” and “common use”, although some countries have created minimal lists for educational purposes.

3. **Extended subset including names;** this would further add code points that, given prevailing practice, are commonly used for writing names, including names of foreign origin. For ideographic and alphabetic writing systems the practices around names differ; for alphabetic languages it is mostly a question of certain names of foreign origin conventionally retaining their original spelling.

4. **Full set including rarely used code points;** this would include all code points that are encountered in writing the language, however rarely they are used. This set would include less commonly or only rarely retained diacritics on letters in foreign words or names, as well as historic and other specialized forms. For ideographic writing systems, the set of rare characters is rather open ended. For these writing systems, many code points used exclusively for names may be considered specialized or even idiosyncratic and would thus fall into this subset.

For the purposes of developing a reference LGR, the chosen subset should be geared towards a set most useful for expressing identifiers, whether they are based on words, names, or artificial monikers. The natural choice for a target repertoire would then fall somewhere between the Core and the Extended subset.
In the context of IDN labels, the subset will need to satisfy additional constraints such as being limited to PVALID or CONTEXTO/CONTEXTJ code points as defined for IDNA 2008. For the purpose of this work, code points will normally belong to a single script (except as indicated earlier), augmented with the Hyphen (U+0030) and the ASCII digits U+0030..U+0039. The .SE Guidelines for IDN Reference Tables [SE-Guidelines] used for the creation of the initial set of reference LGRs [IIS] follows a similar model and forms part of the basis for this work.

Code points that are not in common use are often not reliably recognized or entered by the user population; their inclusion in the repertoire may incur additional risk, for example in terms of confusability. On the other hand, a very strict subset would exclude many code points common in loan words or in names, including personal names of originally foreign origin, and which are ordinarily used with their spelling retained. A blanket prohibition on these, for purpose of the second level, seems not well motivated, particularly if the use of the affected code points is fairly common and they are often accessible even on traditional keyboards. Such code points, while they may be viewed as foreign and not part of the essential set, are nevertheless easily recognized and identified by the users, and form part of the fixed spelling of the words in question.

They should be contrasted to code points used for historic, linguistic, poetic and other specialized purposes, including cases where there is no fixed spelling, or where the choice of a diacritic depends on the context, such as position of the word in a sentence (stress). Such code points provide little benefit for identifiers while increasing the attendant risks.

Some non-Latin writing systems make use of the Basic Latin subsets of the Latin script for a variety of purposes, such as corporate or product names. Extending the repertoire to include the Basic Latin subset would seem indicated in cases where this is common practice, for the second level. However, there are also compelling reasons to exclude such script mixture. In the case of LGRs using the Cyrillic or Greek script for example, there would be a strong risk of confusion, due to shared letter shapes with Latin. In the case of the Hebrew or Arabic scripts, issues of bidirectional text layout would be introduced. In all of these cases, security and stability concerns would strongly argue against inclusion of the Basic Latin set in these LGRs.

The alphabets for some Latin-based languages nominally do not contain some of the letters A-Z. As a matter of common practice these are always included in the repertoire.

The ideographic scripts require some additional considerations described below.

**Sources**

For each language, the source references used for developing the repertoire are stated. Sources differ in the degree to which they are officially recognized, their authoritativeness and the details and nature of the repertoire subset they document. While all sources to be considered will document how the language uses a particular writing system, not all will be equally relevant for the task of defining a repertoire for use with IDNs.

For some languages there exist official or regulatory institutions governing orthography and usage (examples include the L’Académie Française for French, the Rat für deutsche Rechtschreibung for the
German-speaking countries, and the Norsk språkråd for Nynorsk and Bokmål Norwegian). Other languages have unofficial but respected institutions guiding orthography and usage (for example, Duden for German, and the Oxford University Press for English). For the majority of languages there exist no official institutions; their description can be found in dictionaries, educational materials, scholarly linguistic texts, online databases and surveys and other kinds of documents.

The repertoire of characters needed for certain languages may also be described in International, National and other Standards for Information Technology. Of these, the Unicode Locales project [CLDR] provides a set of full language repertoires created as part of a rigorous process involving local experts and its data are implemented widely in products so we can assume they have withstood end-user testing.

1. **core subset from CLDR**: the Common Locale Data Repository maintained by the Unicode Consortium contains a specification for a core set that more or less captures the essential set of code points needed for representing texts written in a given language.

2. **auxiliary subset from CLDR**: the Common Locale Data Repository maintained by the Unicode Consortium contains a specification for an auxiliary set that in most cases captures the maximal set of code points needed for representing texts written in a given language.

For the task of determining the repertoire suitable for identifiers in a given language, the work done by registries for ccTLDs is invaluable, particularly where it involves the languages native to the territory or country.

The following list enumerates various sources to be used for the references:

- **Standards**:
  - international, national, industry, and internet standards
- **Institution**:
  - official and unofficial institutions
- **Language description**:
  - dictionaries, educational materials, linguistic descriptions
- **Other**:
  - surveys, online databases, IDN tables for ccTLDs

Only a select few of the languages considered for reference LGRs have an official entity empowered to give authoritative rulings about usage and other aspects of the language’s use. Even where such official entities exist, their scope may be limited to a particular nation, or they may not be applicable to the purpose at hand, which is the creation of label generation rulesets for IDNs. In many cases, language authorities document orthodox alphabets that are based on some linguistic criteria, but that do not equal the set of code points minimally required or essential in writing the language.

Most official entities appear primarily concerned with what is called the “core subset” above, or simply the alphabet. For the majority of languages, particularly the alphabetic ones there is scant disagreement on what constitutes the core alphabet, barring small differences in national usage (such as the Swiss not using the ‘sharp s’ in writing German). For establishing a minimal essential subset, it scarcely matters
then which source is referenced. (The core set for non-alphabetic languages may be less well defined and present particular challenges of their own).

There are isolated exceptions to the general lack of formal sources for wider subsets. For example, the Scandinavian countries embarked on a project of defining several subsets for their various languages via a formal standard [Nordic]. While none of the subsets defined there precisely matches the subset most useful for IDNs, the information provided allows one to narrow down likely candidates for a reference LGR repertoire.

The Unicode Locales project [CLDR] collects data relevant to locale support in a formal process driven by local expertise and subject to quality controls. It collects repertoire information on two levels, a core set that is geared towards the minimal set required for writing the language and an auxiliary set which extends the core to include all code points likely to be encountered in texts in the given language, including foreign names or words that customarily retain their original spellings. For purposes of developing the repertoire for a second level LGR, the first subset may be too restrictive and the second one too permissive.

The various cross-language surveys often provide useful, if sometimes less controlled, information by focusing on some of the more common extensions to the strict repertoire, as opposed to providing a fully maximal superset. By correlating their information, the scope of common extensions to the essential or strict subset can be narrowed down with a reasonable degree of confidence. It is still necessary to review these for suitability for use with IDNs; that is, to make a judgment call whether their inclusion in an LGR for the second level is desirable and warranted.

In identifying and qualifying sources for the development and verification of the draft repertoire it is worth bearing in mind that formal status may not always correspond with how relevant the provided information is for the task of selecting a repertoire for purposes of a reference LGR. Further, the formal status of a document (for example as an official International Standard) unfortunately also does not necessarily correlate with its accuracy.

IDN tables developed by ccTLDs for a native language may prove the exception. Being designed for the second level the data would be highly relevant and in some cases well tested in practical application.

The conclusion is that for developing a reference LGR for the second level, the repertoire for any given language is unlikely to precisely match the information in any single source in all cases, even an official or other authoritative source. The reason has much to do with the special nature of identifiers as compared to regular text, and the attendant stability and security requirements. This limitation needs to be taken into account in defining the general development process.
Development Process

The proposed process proceeds approximately as follows:

1. Start with the CLDR core set (excluding DISALLOWED code points)
2. Add European digits and HYPHEN-MINUS
3. Review the set from the .SE IDN tables in comparison to the set from step 2
4. Identify and qualify additional sources to verify and double check the set
5. Compare the set to sets from available sources (except DISALLOWED)
6. Make adjustments based on available sources and/or expert input
7. Normally, the result should not exceed the CLDR auxiliary set
8. Enumerate the sources from step 4 for included code points

Languages using Ideographic Writing Systems

For ideographic languages, the process by necessity must orient itself on current best practice for the second level. As cut-offs are by necessity more arbitrary, they tend to follow existing subsets, based on national standards, educational targets or international efforts at creating core sets [IICORE]. However, considerable development effort has been expended to arrive at workable repertoires and variants sets for these languages. The purpose of the reference LGR cannot be to replace these efforts by a de-novo approach, but must rest on careful review, and perhaps a conservative selection based on or close oriented on existing solutions.

The Japanese writing system mixes a fixed repertoire of Romaji (Ascii Latin subset) and Kana characters (Hiragana and Katakana) respectively, with an open-ended set of Kanji (ideographs). Due to the open-ended nature of the Kanji repertoire, to achieve stability it has been common practice to use the same subset for all usage pertaining to IDNs. This set is called JIS X 208-1990 [JISX], specified by the Information Technology Standards Commission of Japan (ITSCJ) and consists of 6356 ideographs which cover all basic needs for the Japanese language. Because of strong consensus on that set, it is not expected that any further enquiry would result in a different set.

The modern Korean writing system mixes common use of ASCII Latin with a large, but fixed set of Hangul set [Johab] consisting of 11172 Hangul syllables. Korea has also made use of an ideographic system (Hanja) but that is not in established modern use for identifiers. Hanja, like Kanji is an open-ended set. Given the lack of track-record, it is not expected that Hanja will be included in the second-level Korean LGR.

The Chinese writing system also typically mixes the ASCII Latin and an open ended set of Hanzi ideographs. There is no single authoritative source defining a Chinese set. There are several standards covering different Chinese communities. It is likely that a second-level Chinese LGR will benefit from the work currently done at the root level.

1 Except where script specific considerations demand otherwise.
2 Select the most authoritative and appropriate source as discussed in section “Sources” above.
3 Identify sources that are particularly authoritative or relevant.
TARGET VARIANT SET
This section describes the considerations to be used in developing LGRs that have variants.

The process of developing the reference LGRs for the second level, builds on existing work such as the Variants Issue Project [VIP] and the Root Zone LGR Project. [TLD] provides a definition of variant as well as suggests which variants are appropriate, and [TLD] adds additional information. While the results of these projects can be seen as authoritative, they are centered on script-based LGRs. For a reference LGR that is based on language it is natural to consider only the variants specific to the language in question.

In general, the variant problem is specific to the issue of internationalized identifiers and IDNs in particular. Therefore, it is not expected that the existing general sources will have much detail available that can be cited or applied directly.

However, existing practice on the second level should usefully be considered.

TARGET SET OF WHOLE LABEL EVALUATION RULES
Define the conditions under which to develop whole label evaluation rules.

LGRs are intended for mechanical evaluation of applied for labels. It is therefore proper to include some of the protocol limitations, such as the allowed occurrence of the hyphen⁴, as well as other context rules among the WLE rules. This allows a one pass evaluation of applied-for labels for validity and variants.

Other restrictions, such as the requirements for Normalization and limits on the overall length of labels are best handled outside the LGR, as they are the same for all LGRs.

Some code points for certain languages may have limits on the context in which they can appear. These would be represented as WLE rules. It is expected that the majority of these rules are in fact those already documented in the relevant RFCs.

Among others, context rules will be defined for

- **HYPHEN---MINUS (U+002D)** as specified in RFC5891.
- **CONTEXTO and CONTEXTJ code points** as specified in RFC5891 (e.g. U+0660).
- **RTL labels specified in RFC5893** (e.g. U+0030).

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⁴ except in a few cases, such as Arabic, where it is not expected to be part of the repertoire
Guidelines for Developing Reference LGRs for the Second Level

REVIEW PROCESS

Linguistic Review
Each LGR will be reviewed by expert reviewers addressing linguistic issues relevant to the specification of label generation rules for IDNs in the given language. Among other considerations, this review will be guided by the following questions:

1. Does the set of code points and label generation rules satisfactorily characterize the repertoire required for use of this language and script to define second-level labels?
   Specifically can all of the following be answered in the negative:
   a. Does the set of code points omit any required characters?
   b. Does the set of code points omit any desirable characters?
   c. Does the set of code points include any unnecessary characters?
   d. Does the set of code points include any undesired characters?
   e. Does the LGR omit any required variant rules?
   f. Does the LGR omit any desirable variant rules?
   g. Does the LGR include any unnecessary variant rules?
   h. Does the LGR include any undesired variant rules?
   i. Does the LGR omit any required WLE rules?
   j. Does the LGR omit any desirable WLE rules?
   k. Does the LGR include any unnecessary WLE rules?
   l. Does the LGR include any undesired WLE rules?

2. Are the authorities cited by the LGR among the best available in relation to the relevant issues? Could use of other authorities have led to better choices in the set of CPs and rules?

3. Has adequate provision been made for labels (e.g. for familiar but alien names or loan words) which exceed the bounds of repertoire of code points essential for the language?

4. Will extended code points (and variant or WLE rules) have undesired consequences for the repertoire as a whole?

5. Does the XML file accurately characterize the desired set of code points and rules for the language and script, and so match the descriptive document?

DNS Security and Stability Review
Each LGR will be separately reviewed for stability and security issues that are pertinent to a single language label generation ruleset for IDNs on the second level. Among other considerations the review will be guided by the following questions:

1. Does the repertoire allow undesirable script mixing?
2. Does the LGR include only PVALID, CONTEXTJ or CONTEXTO code points?
3. If the LGR contains CONTEXTJ/CONTEXTO code points, is sufficient justification given for their inclusion in the LGR?
4. If the LGR includes combining marks:
   a. Are they limited to specific code point sequences?
   b. If not, does the LGR use other means (rules, variant relations) to restrict undesirable sequences using these combining marks?
5. If the LGR contains code points or variants that may present a security or stability concern, does it include rules to mitigate the risks?

6. Are there any security or stability concerns with regards to variants in the LGR?
   a. Does the LGR omit any variant mappings that are necessary to mitigate security risks?
   b. Does the LGR include any variant mappings that may cause security concerns (e.g. overly complex, over-produce allocatable variants, non-symmetrical or non-transitive?)

7. Are there any security or stability concerns with regards to WLE rules in the LGR?
   a. Does the LGR omit any WLE rules that are necessary to mitigate security risks?
   b. Does the LGR define WLE rules that may cause security concerns?

8. Does the LGR satisfy, or otherwise discuss and adequately address any tension among, the principles laid out in Sections 3 and 4 of RFC 6912?

**Submission and Re-Review after Public Comment**

For submission, the expert reports will be combined with the description of the LGR and its sources into a single document per LGR. This will keep the number of documents manageable and ensures that readers have access to the description of the LGR as well as to the expert reviews.

If, after public comment, there is a substantial change in an LGR, the expert reports on the LGR will be updated as necessary.

**REFERENCES**

[CLDR] CLDR - Unicode Common Locale Data Repository: [http://cldr.unicode.org](http://cldr.unicode.org)


[IANA] Internet Assigned Numbers Authority (IANA): “Repository of IDN Practices”
  [http://www.iana.org/domains/idn-tables](http://www.iana.org/domains/idn-tables)


[RFC5892] Faltstrom, P., "The Unicode Code Points and
Internationalized Domain Names for Applications (IDNA)

RFC 5892, August 2010.


[SEGuidelines] Guidelines for IDN References Tables, 2014-10-10, Version A

[TLD] IDN Variant TLDs Project, ICANN,
https://www.icann.org/resources/pages/variant-tlds-2012-05-08-en

[VIP] The IDN Variant Issues Project, Internet Corporation for Assigned Names and Numbers,
“A Study of Issues Related to the Management of IDN Variant TLDs (Integrated Issues Report)” (ICANN, Los Angeles, February 2012),

https://www.ietf.org/id/draft-ietf-lager-specification-00.txt