

\*For registry enforcement mechanisms outside of Compliance process, please refer to relevant registry agreement

## Formal Resolution Process - General Guidelines

The formal resolution process, also known as the enforcement process, begins when contracted parties have either failed to collaborate effectively during the informal resolution process or otherwise continue to be non-compliant after attempts at informal resolution have been unsuccessful. Notices sent during the formal resolution process are published on the <a href="Notices of Breach, Suspension, Termination and Non-Renewal page">Non-Renewal page</a> ("Notices page"). ICANN updates the progress of each enforcement action. Enforcement notices include a chronology of the informal resolution process.

General steps ("ICANN" refers to "ICANN Contractual Compliance"):

- 1. ICANN prepares the notice, consults with other departments in the ICANN organization, sends the notice to the contracted party and publishes it on Notices page.
- 2. ICANN reviews responses from contracted parties and as needed, consults with other departments in the ICANN organization and PTI.
- 3. ICANN may grant an extension based on the remaining efforts to cure the breach, the proposed plan and complexity of the issues(s).
- 4. ICANN may progress to other enforcement actions on a case-by-case basis.
- 5. Once resolved, ICANN informs both the reporter and the contracted party via a closure notice.
- 6. ICANN updates the Notices page to reflect the status of the enforcement action.

For more information about the approach or process, see the <u>Frequently Asked Questions</u>.