ICANN 63
ANNUAL GENERAL
BARCELONA
20–25 October 2018
Program Update

ICANN Contractual Compliance

ICANN 63
24 October 2018
Agenda

- Brief Update Since ICANN 61
  - Registrar Compliance Update
  - Registry Compliance Update
  - Enhanced Transparency in Reporting
  - Contractual Compliance Audit Update

- Questions and Answers

- Appendix for your reference
  - PICDRP Update
  - Policy Efforts
Registrar Compliance Update
Contractual Compliance participated in the following events:

- China outreach visit in Guangzhou, China (April 2018)
- Registrar Workshop in Dakar, Senegal organized by ICANN org as part of Africa Internet Summit 2018 Senegal (May 2018)
- Registrar outreach in Seoul, South Korea organized by Korea Internet & Security Agency (KISA) (August 2018)
- Registrar site visit in North America (September 2018)

To learn more, please visit Compliance Outreach page at this link [https://www.icann.org/resources/compliance-reporting-performance](https://www.icann.org/resources/compliance-reporting-performance)
Registrar Compliance Update

1. **Temporary Specification and Compliance**
   Implementation and enforcement of Temporary Specification

2. **Temporary Specification – Complaint Processing**
   ICANN Contractual Compliance complaint processing post Temporary Specification

3. **Temporary Specification – Transfer Validation**
   Transfer complaint processing in light of Temporary Specification Appendix G

4. **Temporary Specification – RAA Obligations Unchanged**
   Registrar obligations unchanged by Temporary Specification

5. **Temporary Specification – Reporter Perspective**
   Common complaints from reporters post Temporary Specification
Common complaints from reporters post Temporary Specification

- Reporter believes registration data is “missing” from public WHOIS
- Reporter believes all non-European data should be displayed
- Reporter wants their registration data to be displayed
- Reporter believes privacy/proxy service data are redactions
- Registry WHOIS output is displayed recursively by registrar
- Email address or web form used for redactions is non-functional
- Registry WHOIS service is not displaying required message in email fields
- Gaining registrar continues to require FOA even when not required
Registry Compliance Update
Registry Compliance Update

1. **Temporary Specification and Compliance**
   Implementation and enforcement of Temporary Specification

2. **Temporary Specification – Complaint Processing**
   ICANN Contractual Compliance complaint processing post Temporary Specification

3. **Temporary Specification – Bulk Registration Data**
   Transfer of bulk registration data to ICANN

4. **Temporary Specification – RA Obligations Unchanged**
   Registry obligations unchanged by Temporary Specification

5. **Temporary Specification – Reporter Perspective**
   Common complaints from reporters post Temporary Specification
Enhanced Transparency in Reporting
Enhancing Transparency in Reporting

- New quarterly report for both registrars and registries, “Closed Complaints by Inquiry/Notice Category”, available starting Quarter One 2018
- Effective 1 July 2018, no further quarterly newsletters are published
- Input to Subgroup #1 – SSR1 Review focused on reviewing implementation of first Security, Stability and Resiliency (SSR1)
- Input to questions received from Expedited Policy Development Process (EPDP) team
- Blog published July 2018 on Enforcing the Temporary Specification
- Reports and input are published at https://features.icann.org/compliance
Contractual Compliance Audit Update
Contractual Compliance Audit Program Update

- Audit plans updated with expanded questions and testing to address DNS abuse
  - Requests focus on process, procedures and handling of DNS abuse
  - Testing focuses on review of security threat reports

- March 2018 Compliance launched full registry audit using updated plan
  - 20 new gTLDs were in scope of this audit round
  - Audit round completed 1 October 2018
  - Audit Report being prepared for publication

- New registry audit round will start end of October 2018

- No plans for new registrar audit round at this time

- Enhanced audit reporting – publish list of auditees selected for a current round
Questions & Answers

Send compliance questions
To: compliance@icann.org
Subject line: ICANN 63 Program Update Session

The ICANN 63 presentations are available at:

- The ICANN Contractual Compliance outreach page at this link https://www.icann.org/resources/compliance/outreach

- The ICANN 63 Schedule page at this link https://schedule.icann.org/
Appendix
PICDRP Update
Policy Efforts
PICDRP Update
Public Interest Commitment Dispute Resolution

Public Interest Commitment Dispute Resolution Procedure

- ICANN Complaints Office received and responded to complaint regarding ICANN Contractual Compliance PICDRP complaint handling


- Recommendation to align procedure with principles of transparency by sharing communications between all parties during PICDRP Standing Panel evaluation period
Policy Efforts
Actively contributing to Registrar-related policies, Working Groups and Implementation Review Teams

- WHOIS Review Team
- Translation and Transliteration of Contact Information
- Privacy and Proxy Services Accreditation Issues
- Security, Stability and Resiliency Review Team
- Internationalized Domain Name guidelines
- Expedited Policy Development Process on Temporary Specification for gTLD Registration Data

Note: Reports and input are published at https://features.icann.org/compliance
Actively contributing to Registry-related policies, Working Groups and Implementation Review Teams

- New gTLD Subsequent Procedures
- Competition, Trust and Choice Review
- Security, Stability and Resiliency Review Team
- Internationalized Domain Name guidelines
- Expedited Policy Development Process on Temporary Specification for gTLD Registration Data

Note - Reports and input are published at https://features.icann.org/compliance