## **Registrar Data Escrow Agreement**

This Registrar Data Escrow Agreement ("Agreement") among

("Registrar"), IANA ID\_\_\_\_\_, China Internet Network Information Center (CNNIC), a Beijing, China entity ("Escrow Agent"), and the Internet Corporation for Assigned Names and Numbers, a California nonprofit public benefit corporation ("ICANN") shall come into effective as of\_\_\_\_\_\_, (the "Effective Date").

#### 1. <u>Background</u>.

1.1 ICANN is charged with oversight of the security and stability of the Internet's domain name system. As a function of this responsibility, ICANN accredits domain name registrars who facilitate the registration of Internet domain names. Registrar, within its Registrar Accreditation Agreement ("RAA") with ICANN, has agreed to deposit with ICANN, or a designated agent, certain enumerated registration records pursuant to a schedule, format, and terms specified by ICANN and incorporated in the RAA. Upon expiration without renewal or termination of Registrar's RAA, the deposited registration records may be utilized by ICANN to provide transitional registrar services prior to transferring management of the domain name registrations to another registrar.

1.2 As established in the RAA and described herein, Registrar will send to Escrow Agent domain name registration records for each registered domain name with a generic toplevel domain ("gTLD") under Registrar's sponsorship, in accordance with the RDE Specifications, as may be amended by ICANN from time to time.

1.3 Escrow Agent will receive, verify, and store Registrar's deposited data and release such data upon the conditions and under the circumstances set out in this Agreement, in accordance with the RDE Specifications, as they may be amended by ICANN from time to time.

1.4 The parties hereto, intending to be legally bound hereby, agree as follows:

2. <u>Definitions</u>. Capitalized terms in this Agreement shall have the meanings ascribed to them in this Section 2 unless otherwise defined.

2.1 "Section" refers to any numbered paragraph, section, or subsection of this Agreement unless otherwise specified.

2.2 The "RDE Specifications" are the schedule, format, and terms specified by ICANN, applicable to Registrar's data escrow requirements under the RAA, as it may be amended or modified by ICANN from time to time, and incorporated in the RAA. Registrar and Escrow Agent will be notified of any amendment or modification of the RDE Specifications by email to Registrar and Escrow Agent at the electronic mail address provided for in Section 11 and by posting the most current version of the RDE Specifications on ICANN's website. Amendments and modifications of the RDE Specifications will be effective sixty (60) days following such notification.

2.3 A "Deposit" is all data transmitted from Registrar to Escrow Agent pursuant to this Agreement in any contiguous 24 hour period of time.

2.4 An "Electronic Deposit" is a Deposit transmitted to Escrow Agent by electronic means (e.g., SFTP, SCP, or HTTPS) as permitted by the RDE Specifications and as specified in the Escrow Agent's data escrow implementation instructions to Registrar.

2.5 "Online" refers to data that is stored on a live, operational server using redundant re-writable media (i.e., RAID).

2.6 "Offline" refers to data that is stored on DVD, CD ROM, tape, or another medium mutually approved by ICANN and Escrow Agent.

2.7 A "Release" is the retrieval and delivery of Deposits by Escrow Agent to ICANN or its designee pursuant to this Agreement.

2.8 A "Transaction" is a one-year gTLD registration increment consisting of a successful add, renewal, or transfer of a domain name by Registrar. Where a domain name is deleted by Registrar within its "add" or "auto-renew" grace period (as defined in the functional specifications for each respective registry) or transferred to another registrar during the "auto-renew" grace period, the underlying "add" or "renewal" shall not be considered a Transaction.

3. <u>Obligations of Registrar with Respect to Deposits</u>.

3.1 <u>Deposits in Accordance with RDE Specifications</u>. Registrar shall deposit with Escrow Agent the domain registration records enumerated in the RDE Specifications, on the schedule, in the format, and under the terms described in the RDE Specifications. Registrar shall ensure that Deposits and their transmission conform to the implementation instructions provided by Escrow Agent, which may be more specific than the RDE Specifications, provided that such implementation instructions shall not contradict the RDE Specifications.

3.2 <u>Transmission of Deposits</u>. Registrar shall transmit all Deposits to Escrow Agent as Electronic Deposits unless Registrar has obtained prior written consent from both ICANN and Escrow Agent to submit Deposits as Physical Deposits and such consent has not been withdrawn by either ICANN or Escrow Agent. Consent to submit Deposits as Physical Deposits will only be granted in extraordinary circumstances.

3.3 <u>Registrant Notice of Data Escrow</u>. Registrar's registration agreement(s) entered into with registrants shall include notice to the registrant of the obligations of Registrar to escrow data hereunder, as specified in the RAA.

- 4. <u>Obligations of Escrow Agent with Respect to Deposits</u>.
  - 4.1 <u>Acceptance and Storage of Deposits</u>: Escrow Agent shall:
    - 4.1.1. receive Electronic Deposits from Registrar;
    - 4.1.2. receive and process Electronic Deposits in one of two geographically separated, secure, locked and environmentally safe facilities, which are accessible only to authorized representatives;

- 4.1.3. store two copies of all Deposits in electronic format, each copy in geographically separated, secure, locked and environmentally safe facilities which are accessible only to authorized representatives.
- 4.1.4. in the event Registrar misses a scheduled Deposit or a Deposit fails a validation or verification test, contact Registrar within three days to remedy the failure;
- 4.1.5. provide ICANN with monthly statistical summaries of reported issues in a mutually agreed upon format and as requested by ICANN;
- 4.1.6. prepare Deposits for verification testing by decrypting Deposits using PGP encryption technology and uncompressing Deposits to original size;
- 4.1.7. perform checksum validation of uncompressed Deposits and automated inspection of uncompressed Deposits using Perl verification scripts provided by ICANN;
- 4.1.8. report all uncorrected, missed Deposits and failed validation and verification test results electronically to Registrar within five days of the scheduled, but missed Deposit or failed verification test;
- 4.1.9. perform manual review of uncompressed Deposits as necessary when verification scripts indicate failed automated inspection or upon request by ICANN for the purpose of verification that the Deposits are complete, consistent, and in proper format;
- 4.1.10. securely store all Deposits for no less than three hundred and sixty-five days unless otherwise agreed by the parties in writing or as required by the RDE Specifications;
  - 4.1.10.1 Escrow Agent shall store all Deposits Online for at least 30 days following receipt.
  - 4.1.10.2 Escrow Agent shall store Deposits Online for a period longer than 30 days if reasonably requested by ICANN.
  - 4.1.10.3 Escrow Agent shall store all Deposits Offline that are less than 366 days aged and are not stored Online.
- 4.1.11. use commercially reasonable efforts and industry standard safeguards to protect the integrity and confidentiality of Deposits, including the use of PGP encryption technology, customized Perl scripts to verify data validity and confirm authenticity of the sender, and enterprise class software to store data both online and offline;

- 4.1.12. destroy or permanently erase any and all Deposits 18 or more months old, although Escrow Agent may destroy or permanently erase any or all Deposits older than one full year (prior to the end of 18 months);
- 4.1.13. administer the receipt, verification, storage, and release (as applicable) of the Deposits using reasonable and appropriate administrative, physical and technical safeguards to protect the privacy and security of the Deposits; and
- 4.1.14. comply with privacy and data protection regulations applicable to the receipt, verification and storage of Deposits by a third party provider of escrow services under applicable law, in accordance with the terms of this Agreement. Neither ICANN nor Escrow Agent makes any representation or warranty to any other party regarding compliance with applicable privacy and data protection laws and regulations in connection with the transmittal of data to Escrow Agent.

4.2 <u>Confidential Information</u>. Escrow Agent shall use commercially reasonable efforts and industry standard safeguards to protect the confidentiality of the Deposits. Except as provided in this Agreement, Escrow Agent shall not review, disclose, transfer, make available or use the Deposits or any other Registrar data obtained in performance of the Agreement. If Escrow Agent should receive a subpoena or any other order from a court or other judicial tribunal pertaining to the disclosure or release of the Deposits, Escrow Agent shall promptly provide ICANN and Registrar notice of such order, unless such notice is prohibited by law or regulation. Unless impracticable, Escrow Agent shall provide such notice within two business days after receipt. It shall be the responsibility of Registrar to challenge any such order if desired; provided, however, that Escrow Agent does not waive its rights to present its position with respect to any such order. Escrow Agent will cooperate with Registrar or ICANN, as applicable, to support efforts to quash or limit any subpoena, at Registrar's or ICANN's expense, as applicable.

5. <u>Covenants of Escrow Agent</u>. Throughout the term of this Agreement, Escrow Agent covenants as follows:

5.1 <u>Notice of Material Changes</u>. Escrow Agent shall inform ICANN and Registrar of any and all material changes that could reasonably be expected to have a negative effect on the security or stability of Escrow Agent's infrastructure, software, hardware, or premises, or material changes to practices, policies, or procedures related to security or separation of business practices and operations.

5.2 <u>Separation of Conflicting Businesses</u>. Escrow Agent shall adhere to strict separation of business practices with respect to its registrar data escrow and domain name businesses. Services provided under this Agreement shall be performed through passwordaccess only networks, protected by internal and external firewalls, which share no common hardware, software or users with those systems used by Escrow Agent's domain name businesses. All Deposits by Registrar will initially be encrypted. Escrow Agent shall ensure that all personnel with access to Deposits in an unencrypted form, if applicable, are provided with strict guidance on conflict of interest avoidance with respect to Escrow Agent's domain name businesses and the confidentiality obligations set forth in Section 4.2. Escrow Agent shall ensure that no employees providing services to customers in its domain name businesses have access to Deposits (encrypted or unencrypted) or hardware, software or systems used in Escrow Agent's registrar data escrow business. Escrow Agent shall ensure that no employees providing services to customers in its registrar data escrow business have access to hardware, software or systems used in Escrow Agent's domain name business. In addition, the service delivery teams for Escrow Agent's registrar data escrow business and Escrow Agent's domain businesses shall not be located in the same facility.

5.3 <u>Staffing</u>. Escrow Agent shall at all times maintain sufficient and commercially reasonable staffing to perform its obligations hereunder. A representative of ICANN will be identified as a resource for Escrow Agent to assist in Escrow Agent communications with Registrar.

5.4 <u>Business Continuity</u>. Escrow Agent shall use commercially reasonable efforts to maintain network and business continuity.

# 6. <u>Term and Termination</u>.

6.1 <u>Term</u>. The initial term of this Agreement shall be for a period of one year from the Effective Date. At the end of the initial term, this Agreement shall automatically renew for an additional one year period and upon each anniversary thereafter unless terminated according to the terms hereof.

6.2 <u>Termination of Agreement upon Termination of Registrar's RAA</u>. This Agreement shall be terminated upon termination of or expiration without renewal of Registrar's RAA, in which case ICANN shall notify Escrow Agent of such termination or expiration, provided, however, that such termination of Registrar's RAA shall not modify or relieve Escrow Agent of its responsibilities and duties hereunder to the extent provided herein. Thereupon Deposits shall be released to ICANN as provided in Section 7.

6.3 <u>Termination by ICANN or Escrow Agent</u>. This Agreement may be terminated by ICANN, with or without cause, by providing the other parties to this Agreement notice of termination at least 90 days in advance of the termination. This Agreement may be terminated by Escrow Agent on not less than 90 days prior written notification to Registrar and ICANN of Escrow Agent's intent to terminate this Agreement. In the event of such termination of (i) this Agreement by ICANN for any reason, or (ii) termination of this Agreement by Escrow Agent, Escrow Agent and Registrar shall fully cooperate to allow the transfer of the Deposits and all responsibilities of the Escrow Agent to a successor escrow agent hereunder if requested by ICANN.

- 6.4 <u>Termination by Registrar</u>.
  - 6.4.1. In the event Registrar is no longer obligated by its RAA to deposit registration data with ICANN or otherwise escrow its registration data, this Agreement shall be deemed terminated and ICANN will notify Escrow Agent, provided, however, that such termination shall not modify

or relieve Escrow Agent of its responsibilities and duties hereunder to the extent provided herein.

- 6.4.2. In accordance with the RDE Specifications, Registrar may elect to use an alternate, approved Third Party Provider ("TPP") of escrow services in lieu of the services provided by Escrow Agent hereunder. Where Registrar makes such an election, Registrar's Deposit obligations under this Agreement shall continue until Registrar successfully makes its initial Deposit with a TPP, at which time, this Agreement shall be deemed terminated and ICANN will notify Escrow Agent accordingly.
  - 6.4.2.1 Upon termination of this Agreement pursuant to Section 6.4.2, Escrow Agent shall continue to store and maintain Registrar's Deposits as described in Section 4, unless and until Registrar effects a transfer of all Deposits aged fewer than 366 days to the Registrar's approved TPP at Registrar's expense and effort, and ICANN confirms receipt and storage by TPP of same.
  - 6.4.2.2 Following termination of this Agreement pursuant to Section 6.4.2, Registrar shall fulfill its data escrow obligations under the RAA with an approved TPP for at least a term of 365 days or until Registrar is no longer obligated to escrow data under its RAA.

## 7. <u>Release of Deposits</u>.

7.1 <u>Notice for Release of Deposits</u>. Escrow Agent shall effect a Release within five business days following receipt of any of the following:

- 7.1.1. Written notice executed jointly by ICANN and Registrar requesting Escrow Agent effect such Release; or
- 7.1.2. Written notice by ICANN that Registrar's RAA has expired without renewal or been terminated, with confirmation by ICANN that such Release is in accordance with the RAA; or
- 7.1.3. Written notice by ICANN that such Release is otherwise permitted by the RAA, as it may be amended from time to time, and that ICANN has complied with the RAA's requirements (if any) to provide notice of the Release to Registrar; or
- 7.1.4. Written notice by ICANN that a court or arbitral tribunal or legislative or government agency that ICANN finds to be of competent jurisdiction has issued an order, rule, statute, regulation, or other requirement (a copy of which ICANN has provided to Registrar) that mandates the Release.

7.2 <u>Notice from Escrow Agent to Registrar upon Release of Deposits</u>. Upon any Release or within 24 hours of any Release, Escrow Agent shall provide Registrar with written notice of same.

8. <u>Indemnification</u>. Escrow Agent shall hold and save ICANN and Registrar (subject to the limitation set forth in Section 10 below) and their respective officers, directors, agents and employees harmless from liability, claims, suits, causes of action, fees, charges, costs, damages and any other expenses whatsoever of any nature or kind, for or on account of any or all suits or damages whatsoever resulting from injuries or damages sustained, arising or resulting from the negligence or willful misconduct of Escrow Agent, its parent, subsidiaries, officers, directors or employees.

# 9. <u>Arbitration</u>.

9.1 <u>Disputes Between ICANN and Registrar</u>. In the event of any dispute between ICANN and Registrar arising under Registrar's RAA, including without limitation the termination thereof and release of Deposits to ICANN hereunder, Section 5 of the RAA shall control the resolution of such dispute, or, as the case may be, any successor dispute resolution provision under the RAA as the same may be amended from time to time.

9.2 Disputes Involving Escrow Agent. Upon the occurrence of a dispute, controversy or claim between the parties arising from alleged performance or non-performance of any obligation under this Agreement or any obligation relating thereto that is not the subject of Section 9.1 above shall be settled by arbitration held in Singapore in accordance with the UNCITRAL Arbitration Rules for the time being in force. The arbitration shall be administered by Singapore International Arbitration Centre ("SIAC") in accordance with its Practice Note on UNCITRAL cases. The arbitration will be conducted in the English language. Any arbitration will be in front of a three arbitrators, including one nominee of Registrar, one nominee of ICANN, and one nominee of Escrow Agent. In order to expedite the arbitration and limit its cost, the arbitrator(s) shall establish page limits for the parties' filings in conjunction with the arbitration, and should the arbitrator(s) determine that a hearing is necessary, the hearing shall be limited to one (1) calendar day, provided that in any arbitration in which a party is seeking punitive or exemplary damages, or operational sanctions, the hearing may be extended for one (1) additional calendar day if agreed upon by the parties or ordered by the arbitrator(s) based on the arbitrator(s) independent determination or the reasonable request of one of the parties thereto. The prevailing party in the arbitration will have the right to recover its costs and reasonable attorneys' fees, which the arbitrator(s) shall include in the awards. Each party shall treat information received from the other party pursuant to the arbitration that is appropriately marked as confidential as Confidential Information of such other party. Any party that seeks to confirm or vacate an arbitration award issued under this Section 9 may do so only pursuant to the applicable arbitration statutes. In any litigation involving ICANN concerning this Agreement, jurisdiction and exclusive venue for such litigation shall be in a court located in Los Angeles County, California, USA; however, the parties shall also have the right to enforce a judgment of such a court in any court of competent jurisdiction. For the purpose of aiding the arbitration and/or preserving the rights of the parties during the pendency of an arbitration, the parties shall have the right to seek a temporary stay or injunctive relief from the arbitration panel or a court, which shall not be a waiver of this agreement to arbitrate.

9.3 <u>Specific Performance</u>. ICANN, Escrow Agent and Registrar agree that irreparable damage could occur if any of the provisions of this Agreement was not performed in accordance with its specific terms. Accordingly, the parties agree that they each shall be entitled to seek from the arbitrators specific performance of the terms of this Agreement (in addition to any other remedy to which each party is entitled).

9.4 <u>Time is of Essence</u>. Prompt resolution of any dispute is important to all parties to this Agreement. The parties agree that the arbitration of any dispute shall be conducted expeditiously. The arbitrators shall be instructed and directed to assume case management initiative and control over the arbitration process (including scheduling of events, pre-hearing discovery and activities, and the conduct of the hearing), in order to complete the arbitration as expeditiously as is reasonably practical for obtaining a just resolution of the dispute.

# 10. <u>Limitation of Liability and Consequential Damage Waiver</u>.

10.1 <u>Liability of ICANN</u>. ICANN's aggregate monetary liability to Registrar for any claims, suits, causes of action, fees, charges, costs, damages and any other expenses whatsoever of any nature or kind arising under, related to, or in connection with this Agreement, shall be determined under Section 5 of the RAA, or, as the case may be, any successor provision relating to monetary liabilities under the RAA as the same may be amended from time to time.

- 10.2 Liability of Escrow Agent.
  - 10.2.1. Notwithstanding the foregoing, in the event Escrow Agent through negligence causes Registrar's information held in escrow to be delivered or made available to a person or entity other than a "Designated Party" (which shall be defined as ICANN or its designee, Registrar, or any named third-party pursuant to Registrar's written instructions), Escrow Agent's liability for damages suffered by Registrar in such circumstances shall not exceed (i) USD \$10,000.00 (if Registrar completed 100,000 or more domain name Transactions during the preceding ICANN fiscal quarter) or (ii) USD \$5,000.00 (if Registrar completed fewer than 100,000 domain name Transactions in the preceding ICANN fiscal quarter), provided, however, that notwithstanding the foregoing, Escrow Agent's liability shall be unlimited in any circumstances where there is: (i) gross negligence or willful misconduct (including for delivering or making available Registrar's information held in escrow to anyone other than a Designated Party); (ii) any claim of infringement of any patent, copyright, or trademark; (iii) liability for death or bodily injury; or (iv) proven theft.

10.3 <u>Consequential Damages Waiver</u>. In no event shall any party to this Agreement be liable to another party for any incidental, special, punitive or consequential damages, lost profits, any costs or expenses for the procurement of substitute services, or any other indirect damages, whether arising in contract, tort (including negligence) or otherwise even if the possibility thereof may be known in advance to one or more parties.

11. <u>Notices</u>. Notices shall be deemed received on the fifth day after being sent by first class U.S. mail, or on the following day if sent overnight by U.S. commercial express courier to an address within the United States, or on the third day after being sent by international express courier. With the exception of notices regarding the amendment or modification of the RDE Specifications as provided under Section 2.2, all notices under this Agreement shall be in writing and addressed and sent to the person(s) listed in the space provided below:

## **ICANN**

Company: Internet Corporation for Assigned Names and Numbers Attention: Registrar Data Escrow Copy to: General Counsel Address: 12025 Waterfront Drive, Suite 300 City, State, Zip: Los Angeles, California, CA 90094-2536 Telephone: +1 310.823.9358 Fax: +1 310.823.8649 Email: data-escrow@icann.org

#### Escrow Agent

Company: China Internet Network Information Center (CNNIC) Attn: TPP RDE Client Services Address: 4 South 4<sup>th</sup>, Zhongguancun, Haidian District City, State, Zip: Beijing, CN 100190 Telephone: +86 10 58813401 Fax: +86 10 58812666 Email: deagent@cnnic.cn

## Registrar

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Fax:			_
Email:			
-	TD		-
IANA	_ID:		

11.1 Any party may change its address for delivery of notices as set forth above by delivering notice of such change as provided herein.

## 12. <u>Miscellaneous</u>.

12.1 This Agreement and any and all Appendices hereto shall constitute the entire agreement by and among the parties hereto with regard to the subject matter hereof, provided, however, that with respect to matters determined under the RAA as set forth herein, the RAA (as

the same may be modified, amended or superseded from time to time) shall control. No waiver, consent, modification or change of terms of this Agreement shall bind either party unless in writing signed by each party, and then such waiver, consent, modification or change shall be effective only in the specific instance and for the specific purpose given.

12.2 Each party, in performance of the obligations set forth in this Agreement, shall comply with all laws and regulations applicable to such party's duties and responsibilities under this Agreement.

12.3 Nothing contained in this Agreement shall be deemed to imply or constitute any party as the agent or representative of another party for any purpose other than as expressly set forth herein.

12.4 Each reference to "days" herein shall mean calendar days, unless otherwise specified herein.

12.5 The waiver by any party of a breach or a default of any provision of this Agreement by the other party shall not be construed as a waiver of any succeeding breach of the same or any other provision, nor shall any delay or omission on the part of any party to exercise or avail itself of any right, power or privilege that it has, or may have hereunder, operate as a waiver of any right, power or privilege by such party.

12.6 This Agreement, and the rights and obligations hereunder, may not be assigned, in whole or in part by Escrow Agent without the prior written consent of Registrar and ICANN. In the case of any permitted assignment or transfer of or under this Agreement, this Agreement or the relevant provisions shall be binding upon, and inure to the benefit of, the successors, executors, heirs, representatives, administrators and assigns of the parties hereto.

12.7 This Agreement shall not be construed to create any obligation to any non-party to this Agreement, including any registered name holder. There shall not be any third-party intended beneficiaries under this Agreement.

12.8 The obligations of the parties intended to survive the termination of this Agreement are only those provisions of Sections 4.1.10, including subsections (storage of Deposits), 4.1.11 (protection of Deposits), 4.1.12 (destruction of aged Deposits), 4.1.14 (compliance with data privacy laws), 4.2 (confidentiality), 5 (covenants of Escrow Agent), 7 (Releases), 8 (indemnification), 9 (arbitration), 10 (limitation of liability and consequential damages waivers), 11 (notices), and 12 (miscellaneous), which shall survive the termination of this Agreement for any reason.

12.9 This Agreement shall be fairly interpreted in accordance with its terms and without any strict construction in favor of or against either of the parties. Captions and headings contained in this Agreement have been included for ease of reference and convenience and shall not be considered in interpreting or construing this Agreement.

12.10 This Agreement shall be governed by the laws of the State of California without regard to conflicts of law principles.

12.11 Escrow agent agrees not to charge the Registrar and ICANN any fee for the services provided pursuant in this agreement.

12.12 This Agreement may be executed in any number of multiple counterparts, each of which is to be deemed an original, and all of such counterparts together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the Effective Date.

# Registrar

Signature:	
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Name:		
Title:		

Date: \_\_\_\_\_

# Internet Corporation for Assigned Names and Numbers

Signature:			
-			
Name:			

Title:\_\_\_\_\_

Date: \_\_\_\_\_

# China Internet Network Information Center (CNNIC)

Signature:		
Name:	 	 
Title:		
Date:		

# Certification of Usage of ICANN-Approved Registrar Data Escrow Agreement Template

By signing this certificate, a duly authorized person (officer, owner, director, agent or representative) of the Escrow Agent with the requisite power and authority to act on its behalf, certifies that the ICANN-approved registrar data escrow agreement template (the "Template") was used for the purposes of this Agreement. Additionally, the Template has not been edited or modified in any material respect from the Template posted here <u>https://www.icann.org/resources/pages/registrar-data-escrow-2015-12-01-en at the time of execution of this certificate</u>.

We hereby certify that the above information is correct. *Escrow Agent Signature* 

We hereby confirm that we have read and accepted the above information. *Registrar Signature*