

Address Supporting Organization (ASO) Status of implementation of independent review recommendations June 2014

Background

The purpose of the ASO is to advise the ICANN Board with respect to policy issues relating to the operation, assignment, and management of Internet addresses.

The Address Supporting Organization (ASO) is one of the supporting organizations that according to ICANN Bylaws say should be “formed through community consensus”. In 2005, ICANN started an independent review process of all ICANN bodies. The ASO review is the last one of a five years’ cycle.

The NRO commissioned ITEMS International -a consultancy firm specialized in strategic consulting in the areas of telecommunications and the Internet - to conduct an independent review about the ASO performance, where a set of recommendations for changes and improvements.

The review process took 6 months, between July and December 2011, through the implementation of 3 three complementary and parallel methodological approaches: 1) Extended face-to-face interviews with selected individuals within ICANN, with a direct or indirect connection with the operations of the ASO, members of the five RIR communities and members of other constituencies; 2) Running of an online survey circulated widely within ICANN and the wider internet addressing community; and 3) Fact-gathering and evaluation by the review team. Details about the review process are included in the report represented by ITEMS International. The report was submitted for public comment on 16 March 2012¹.

On 30 April 2012, the NRO and the ASO Address Council, submitted a joint response² to the 26 recommendations of the Review Report and the one recommendation received during the ICANN public comment period, where most of the recommendations were accepted, some with considerations.

On 11 April 2013, during the 46th ICANN meeting held in Beijing, the ASO AC and the NRO met with the ICANN board to work through the recommendations and their status. Some were considered out of the scope of the ASO, but were shared with the ICANN board for their own benefit and independent follow-up.

The NRO and ASO AC acted upon the commitments agreed during that meeting and the next section of this document provides updated information about the status of the activities undertaken and the plans to implement them.

¹ ASO Independent Review Report – ITESM International <http://www.nro.net/documents/independent-report-aso>

² NRO response to ASO Independent Review Report
<http://www.nro.net/documents/response-to-aso-review-report>

Chronology of activities

June 2014	↑	Status of Implementation of recommendations
October 2013	↑	Status of implementation of recommendations
April 2013	↑	<u>Minutes</u> . ASO/NRO and ICANN Board in ICANN 46 in Beijing China
April 2012	↑	http://www.nro.net/documents/response-to-aso-review-report
March 2012	↑	The report is submitted for <u>public comment</u> to inform the NRO as well as ICANN in preparation for further steps in the review process.
September 2011	↑	Items International issues a global survey. The ASO/NRO publishes a <u>call for survey participation</u> .
June 2011	↑	Singapore Meeting – Items International hired as independent review consultants are performing interviews at the ICANN 41 Meeting.
December 2010	↑	The NRO publishes a <u>Request for Proposals for Consulting Services</u> . Applications must be submitted by 31 January 2011 to the Chair of the NRO at chair@nro.net .
August 2010	↑	Discussions are ongoing between the SIC and the NRO about the modalities for the ASO review.

Recommendations status

Recommendation	Report	Status
I) Recommendations regarding clarifications and updates to the ASO MoU		
Recommendation 1: Clarify the purpose, mandate and objectives of the ASO and distinguish between the ASO functions to be undertaken by the Address Council and those to be undertaken by the NRO Executive Council	<i>Task assigned to the NRO Communications Coordination Group (CCG) An explanatory document created by the CCG was circulated in the ASO AC and NRO EC mailing for approval New content is now published in ASO website. http://aso.icann.org/about-the-aso/</i>	<i>Closed.</i>
Recommendation 2: Update Attachment A of the ASO MoU to ensure that it is consistent with the description of the Global Policy Development Process (GPDP) in the Address Council Operating Procedures (ASO AC OP) document.	<i>The ASO AC OP (ASO Procedures) is an internal document, under ongoing development, where details about the global policy development process can be expanded and tasks between EC and NC (AC) assigned. We believe the ASO AC OP should be consistent with the ASO MoU and not vice versa, but as a result of this review the NRO will continue to review the OP to ensure that this is the case</i>	<i>Closed</i>
II) Global Policy Development Process (GPDP)		
Recommendation 3: The signatories of the ASO MoU should mutually agree on a procedure on how the	<i>The ASO AC considers the issue is artificial and not relevant.</i>	<i>Closed</i>

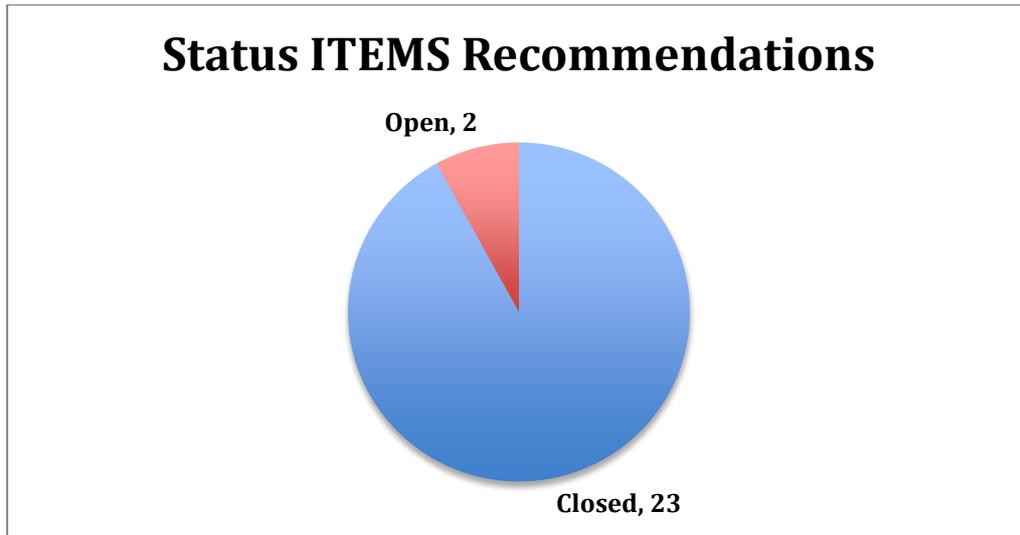
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Address Council should deal with a global policy proposal that has been objected or rejected by the ICANN Board.		
Recommendation 4: The signatories of the ASO MoU should mutually agree on a mediation procedure should the ICANN Board reject a resubmitted global policy proposal for the second time.	<i>The ASO AC considers the issue is artificial and not relevant.</i>	<i>Closed</i>
Recommendation 5: The signatories of the ASO MoU should agree on a procedure through which the recognition of the ability of the ICANN Board to request the Address Council to initiate a policy development process through the RIRs would be provisioned.	<i>The ASO AC considers the issue is artificial and not relevant</i>	<i>Closed</i>
Recommendation 6: Update Section 6.1.1 of the ASO AC OP concerning the Address Council Review Segment to reflect the fact that the ICANN Board is now mandated to request advice from the Address Council on the merits of a forwarded global policy.	<i>The ASO AC consider the issue is not relevant as the one the main responsibilities stated in the ASO MoU is to provide advice to the Board of ICANN on number resource allocation policy</i>	<i>Closed</i>
Recommendation 7: Section 6 of the ASO AC OP should contain a complete description of the GPDP, including Attachment A of the ASO MoU and all the associated procedures requested by the ASO MoU.	<i>Agreed. The ASO AC will discuss and resolve the matter in their teleconference scheduled on August 2014.</i>	<i>Open.</i>
III) Recommendations regarding the presence of the ASO during ICANN meetings		
Recommendation 8: The in-person meetings of the Address Council held during ICANN meetings should be open to all registered participants, at least for	<i>The NRO EC and ASO AC agreed that the annual ASO AC face-to-face meeting be conducted during ICANN meetings (preferably the first of each year). Starting in ICANN 46 in Beijing the ASO AC made their meeting open including the meeting with ICANN Board.</i>	<i>Closed</i>

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most of the agenda.		
Recommendation 9: During ICANN meetings, the ASO should continue to organize, on an experimental basis, short joint sessions with interested SOs, ACs and GNSO Constituencies.	<i>Agreed. ASO AC and NRO EC chair have been working in coordination with other constituencies and ICANN staff to fulfill this recommendation. This will be an ongoing effort.</i>	Closed
Recommendation 10: The agenda for NRO/ASO workshops at ICANN meetings should be enriched, avoiding presentations that are already available in the NRO, ASO and RIR websites.	<i>Agreed. The agenda of workshops can be enriched to become more attractive to the ICANN audience and should be announced in advance. Presentations should focus on current and prospective ASO activities. Presentations could be made publicly available in the NRO, ASO and RIR websites. The ASO AC is open to explore new formats to organize the ASO Workshop. On ongoing coordination and support from ICANN will be required.</i>	Closed
Recommendation 11: The presentation of the ASO Report during ICANN meetings should always be delivered by the Chair of the Address Council.	<i>Agreed</i>	Closed
IV) Recommendations regarding enhancements to the ASO website		
Recommendation 12: The ASO website as a whole, and especially the homepage, should clearly reflect the fact that the ASO is an ICANN SO whose functions are fulfilled by the NRO.	<i>An explanatory document created by the CCG was circulated in the ASO AC and NRO EC mailing lists for comments. The content is now published at http://aso.icann.org/internet-community/what-is-the-aso/</i>	Closed.
Recommendation 13: A detailed FAQ of the ASO should be added to the ASO website.	<i>A FAQ document produced by the NRO CCG was circulated in the ASO mailing list for comments. FAQ section will be part of the revamp ASO website to be launch in approximately end of August 2014.</i> <i>New ASO website currently under production at https://meetings.ripe.net/aso-devel/</i>	Closed.
Recommendation 14: A fully researched, documented and referenced history of the ASO should replace the existing history page of the ASO website.	<i>A document created by the CCG was circulated in the ASO AC mailing list. The content is now published at http://aso.icann.org/internet-community/history-of-the-aso/</i>	Closed
Recommendation 15: The ASO should translate the ASO's constituent	<i>The following ASO's constituent documents were translated to ICANN "official" languages Spanish, French, Arabic, Russian and Chinese:</i>	Closed

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documents into the main languages in use within ICANN and the addressing communities.	<p>1.- NRO Memorandum of Understanding http://aso.icann.org/documents/nro-memorandum-of-understanding/</p> <p>2.- ICANN Address Supporting Organization (ASO) MoU http://aso.icann.org/documents/memorandum-of-understanding/</p> <p>3.- AFRINIC addition to NRO MoU http://aso.icann.org/documents/afrinic-memorandum-of-understanding/</p> <p>Additionally the following Global Policies were also translated to the same languages</p> <p>1.- Internet Assigned Numbers Authority (IANA) Policy For Allocation of IPv6 Blocks to Regional Internet Registries</p> <p>2.- Internet Assigned Numbers Authority (IANA) Policy for Allocation of ASN Blocks to Regional Internet Registries</p> <p>3.- Global Policy for Post Exhaustion IPv4 Allocation Mechanisms by the IANA http://aso.icann.org/global-policies/</p>	
Recommendation 16: The ASO website should be regularly checked for technical errors, broken links, etc. For this Reviewers recommend using the three W3C website validators.	<p>The ASO website now contains a module that reviews any broken links and it's hosted in RIPE NCC with 24/7 monitor.</p> <p>Additionally, the CCG is working on a complete revamp of the ASO website.</p>	Closed
V) Recommendations regarding the enhancement of the ASO Procedures		
Recommendation 17: The procedures of the ASO should be labeled 'ASO Procedures', not ASO AC Procedures.	Agreed. The ASO AC will discuss and resolve the matter in their teleconference scheduled on August 2014.	Open
Recommendation 18: A procedure for the appointment of NomCom members should be added to the ASO Procedures.	<p>The ASO AC agreed in new Procedures to Appoint Members to Various Bodies.</p> <p>https://aso.icann.org/documents/aso-ac-procedures-to-appoint-members-to-various-bodies/</p>	Closed
Recommendation 19: A procedure for the appointment of members of the Affirmation of Commitments (AoC) Review Teams and any other ICANN bodies should be added to the ASO Procedures.	<p>The ASO AC agreed in new Procedures to Appoint Members to Various Bodies.</p> <p>https://aso.icann.org/documents/aso-ac-procedures-to-appoint-members-to-various-bodies/</p>	Closed

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<p>Recommendation 20: A procedure for advising the ICANN Board on the recognition of new RIRs should be added to the ASO Procedures.</p>	<p><i>Not relevant. Not applicable</i></p>	<p><i>Closed</i></p>
<p>VI) Recommendations to the ICANN Board</p>		
<p>Recommendation 21: The ICANN Board should be urged to request advice from the ASO on policy issues regarding IP number resources other than global addressing policies.</p>	<p><i>Out of scope recommendation is for ICANN Board</i></p>	<p><i>Closed</i></p>
<p>Recommendation 22: The ICANN Board should check if its Procedures for the Ratification of Global Addressing Policies are in conformity with the ATRT Report's recommendations in this regard.</p>	<p><i>Out of scope recommendation is for ICANN Board</i></p>	<p><i>Closed</i></p>
<p>VII) Recommendations to the NRO Executive Council</p>		
<p>Recommendation 23: The NRO Executive Council should help to empower the Policy Proposal Facilitating Teams (PPFT) in their facilitation role.</p>	<p><i>Agreed</i></p>	<p><i>Closed</i></p>
<p>Recommendation 24: The NRO Executive Council should respond to the ICANN Board's request to react to the ATRT Report as soon as possible.</p>	<p><i>Agreed</i></p>	<p><i>Closed</i></p>
<p>Recommendation 25: The ICANN Board and the NRO Executive Council should agree on the content of a FAQ of the ASO to be posted on the ASO website.</p>	<p><i>Not applicable. Should be agreed by the constituency.</i></p>	<p><i>Closed</i></p>

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Recommendation 26: The ICANN Board and the NRO Executive Council are encouraged to agree on the content of a documented History of the ASO to be posted in the ASO website.	<i>Not applicable. Should be agreed by the constituency.</i>	<i>Closed</i>



Status - Independent Review Recommendations Advancing Graphic