

Application For Approval as Third-Party Provider (TPP) of Registrar Data Escrow (RDE) Services

To apply for approval as a Third-Party Provider (TPP) of Registrar Data Escrow (RDE) services, complete and submit the following application with the required documentation set forth below and payment of a non-refundable \$500 USD application processing and investigation fee. Applications are accepted on a rolling basis.

Completed applications must be addressed to:

Attn: Registrar Data
Internet Corporation for Assigned Names and Numbers
4676 Admiralty Way; Suite 330
Marina del Rey, CA 90292-6648 USA

Please answer each of the following requests in line below, as completely and thoroughly as possible.

A. General Information

1. Name of entity applicant:

2. Designated primary contact person for entity applicant:

3. Address of entity applicant:

4. Jurisdiction and type of entity (e.g., California non-profit corporation):

5. Telephone number for primary contact person:

6. Telephone number for entity applicant, if different:

7. Fax number for primary contact person:

8. Fax number for entity applicant, if different:

9. Email address for primary contact person:

10. Identify the ICANN-accredited registrar(s) sponsoring this application (i.e., the registrar(s) that intend(s) to utilize the RDE services of the applicant):

B. Required Appendices

Attach the following documentation to your completed application as appendices:

Appendix A - Evidence of Adequate Insurance

Provide evidence of the applicant's current public and/or professional liability insurance policies.

Appendix B - Evidence of Sufficient Capital

Provide recent audited financial statements, including at least the balance sheet and cash flows.

Appendix C - Letter of Intent from Registrar

Provide a signed letter from registrar(s) identified in number 10 above, stating intent to engage the applicant as a TPP.

C. Applicant Background

1. a. Provide the full names of all owners/shareholders of the applicant entity that own at least 5% of the company:

b. Within the last ten years, has the applicant or any of the people or entities listed above been charged with or convicted of a felony or of a misdemeanor related to financial activities, fraud, breach of fiduciary duty, or dishonesty, or been the subject of a judicial or administrative determination that is similar or related to any of these? If so, identify them:

c. Within the last ten years, has the applicant or any of the people or entities listed above been investigated or disciplined by the government of its, her, or his domicile for conduct involving dishonesty or misuse of funds of others? Is so, identify them:

d. Is the applicant or any of the people or entities listed above currently involved in any judicial, administrative, or regulatory proceeding that could result in a conviction, judgment, determination, or discipline of the type specified in "b" or "c" above? If so, identify them:

e. Has the applicant or any of the people or entities listed above been declined by ICANN of registrar accreditation or approval as a Third Party Provider of Registrar Data Escrow services? If so, identify them:

2. a. Provide the full names of all directors of the applicant entity.

b. Within the last ten years, have any of the people or entities listed above been charged with or convicted of a felony or of a misdemeanor related to financial activities, fraud, breach of fiduciary duty, or dishonesty, or been the subject of a judicial or administrative determination that is similar or related to any of these? If so, identify them:

c. Within the last ten years, have any of the people or entities listed above been investigated or disciplined by the government of its, her, or his domicile for conduct involving dishonesty or misuse of funds of others? Is so, identify them:

d. Are any of the people or entities listed above currently involved in any judicial, administrative, or regulatory proceeding that could result in a conviction, judgment, determination, or discipline of the type specified in "b" or "c" above? If so, identify them:

e. Has the applicant or any of the people or entities listed above had an application for registrar accreditation or approval as a Third Party

Provider of Registrar Data Escrow services declined by ICANN? If so, identify them:

3.
 - a. Provide the full names of all officers of the applicant entity.

 - b. Within the last ten years, have any of the people or entities listed above been charged with or convicted of a felony or of a misdemeanor related to financial activities, fraud, breach of fiduciary duty, or dishonesty, or been the subject of a judicial or administrative determination that is similar or related to any of these? If so, identify them:

 - c. Within the last ten years, have any of the people or entities listed above been investigated or disciplined by the government of its, her, or his domicile for conduct involving dishonesty or misuse of funds of others? If so, identify them:

 - d. Are any of the people or entities listed above currently involved in any judicial, administrative, or regulatory proceeding that could result in a conviction, judgment, determination, or discipline of the type specified in "b" or "c" above? If so, identify them:

 - e. Has the applicant or any of the people or entities listed above had an application for registrar accreditation or approval as a Third Party Provider of Registrar Data Escrow services declined by ICANN? If so, identify them:

4.
 - a. Provide the full names of all managers of the applicant entity involved or who will be involved in providing RDE services.

 - b. Within the last ten years, have any of the people or entities listed above been charged with or convicted of a felony or of a misdemeanor related to financial activities, fraud, breach of fiduciary duty, or dishonesty, or been the subject of a judicial or administrative determination that is similar or related to any of these? If so, identify them:

c. Within the last ten years, have any of the people or entities listed above been investigated or disciplined by the government of its, her, or his domicile for conduct involving dishonesty or misuse of funds of others? If so, identify them:

d. Are any of the people or entities listed above currently involved in any judicial, administrative, or regulatory proceeding that could result in a conviction, judgment, determination, or discipline of the type specified in "b" or "c" above? If so, identify them:

e. Has the applicant or any of the people or entities listed above had an application for registrar accreditation or approval as a Third Party Provider of Registrar Data Escrow services declined by ICANN? If so, identify them:

5. How many years has the applicant been in business?

6. Describe all of the areas of business the applicant is involved in and provide the number of years involved in each:

7. Is the applicant operated, owned, or managed by an ICANN-accredited registrar or an affiliate of a registrar (e.g., common subsidiary or parent entity)? If so, identify the registrar(s):

8. Describe any and all litigation the applicant has been involved in within the last ten years.

9. Provide names and contact information for at least two client references who can attest to the reputation and suitability of the applicant as an RDE provider:

D. Applicant Capabilities

1. Provide an overview of your relevant business operations (including specific number of years of experience in areas such as data backup; data, source code, or technology escrow; traditional escrow; and off-site storage):

2. How many registrars does the applicant anticipate enrolling in its RDE service?

3. What other areas of business is the applicant involved in?

4. Approximately how many employees does the applicant have?

5. How many employees or contractors will be involved in the operation (i.e., not sales) of the RDE services?

6. Describe any RDE-related work that will not be performed directly by the applicant and identify the vendor(s) or other entities or individuals that will be providing such services:

7. Describe in detail the hardware that will be used for RDE services:

8. Describe in detail the software that will be used for RDE services:

9. Describe in detail the internet connectivity available for your RDE services, including providers and bandwidth:

10. Describe in detail your disaster and business continuity planning and contingency preparedness efforts applicable to RDE services:

E. Applicant Operations

1. Describe in detail the procedures and processes that will be implemented to accept RDE deposits:

2. Describe in detail the processes and methods by which deposited RDE data will be stored, including specification of measures that will be used to ensure security and integrity of data:

3. Describe in detail the applicant's procedures, including relevant timeframes, for responding to and complying with a request by ICANN or the registrar for release of deposited data. Include a description of all available methods of transmission of released data:

F. Attestation of Complete and Truthful Disclosure

By signing this application, the undersigned Applicant attests that the information contained in this application and all supporting documents included with this application, including documents and correspondence provided in support of this application at an earlier or later time, are true, accurate, and complete, to the best of the Applicant's knowledge. By signing this application, the undersigned Applicant gives ICANN permission to contact third parties, investigate, request, and obtain additional information and documentation, and otherwise verify the information contained in this application. Applicant waives liability on the part of ICANN for its actions in verifying the information provided in this application. Applicant further waives liability on the part of any third parties who provide truthful, material, relevant information about Applicant as requested in this application. Applicant understands that failure to provide truthful, accurate, and complete information in this application will result in the non-approval of the application by ICANN or withdrawal of approval if approval was already granted. Applicant understands that ICANN is not obligated to approve this application or enter into any agreement with the Applicant to provide TPP services

Signature

Date

Name of Signor (please print)

Title

Name of Applicant Entity