1. RDS Risk Survey - Invitation

Who: You are invited to participate in this survey if you provide or use gTLD domain name registration data, including Registrants, Registrars, Registries, and the broad spectrum of individuals, businesses, and other organizations that consume Whois data today.

Why: This survey is a chance to tell the Expert Working Group on gTLD Directory Services (EWG) about the risks and benefits that the Next Generation Registration Directory Service (RDS) might have for YOU.

Results: All risks and benefits identified through this survey will be published in aggregated, anonymized form and used by the EWG to refine RDS recommendations to reduce unanticipated and unnecessary risks and as input to a full risk assessment.

If you may be impacted by the proposed RDS, responding to this survey will ensure the EWG is aware of risks and benefits that concern YOU when finalizing its report to the ICANN Board and Community.

2. RDS Risk Survey - Background

The ICANN Board formed the EWG to examine the purpose and provision of gTLD registration data, envisioning a clean-slate approach to meet global Internet community needs with greater privacy, accuracy, and accountability.

In response, the EWG recommended that today's Whois system be replaced with a next-generation Registration Directory Service (RDS) that enables consistent access to all gTLD registration data, with some data remaining public and other data being gated – that is, available only to authorized users for permissible purposes. The RDS also would include measures to increase accuracy and deter misuse.

To learn more about the proposed RDS:

- WATCH this short introductory video,
- LISTEN to this longer presentation,
- EXPLORE these FAQs, or
- READ the EWG's <u>Initial Report</u> and <u>Status Update Report</u>

This survey's results and preliminary analysis will be included in the EWG's report to the ICANN Board and used as input to policy development
processes. If ICANN decides to pursue RDS implementation, that system's design would undergo a formal risk assessment to analyze identified risks,
rank and prioritize them, assess their impacts and interactions, and identify steps to reduce risk.

3. RDS Risk Survey - Participation and Confidentiality

Everyone is welcome to participate in this survey.

However, we ask that you **please answer only those questions that apply to you** as a registration data provider and/or user, identifying potential RDS risks and benefits that could impact YOU.

Please simply skip questions that do not pertain to you, or that you do not wish to answer.

By participating in this survey, you understand and agree that responses gathered may be used by ICANN and published/disclosed to others outside of ICANN for the purposes described above. However, no respondent's individual or organization name will be included in any such publication.

The confidentiality mechanisms afforded by the survey platform itself are <u>detailed here</u>. If you have any questions about this survey, please contact us at Risk-EWG-Survey@icann.org.

You will have an opportunity to review your responses at the end of this survey before submitting them. Estimated time to complete this survey is 10 to 20 minutes (not including optional review of RDS background materials.)

To participate in this survey, please click "NEXT" to answer a few demographic questions.

4. RDS Risk Survey - Demographics

*1	. Where are you or your organization based? (select ALL that apply)
	Africa
	Asia
	Europe
	Oceania
	North America
	Latin America
	Whois system currently makes data about domain name registrations publicly available to anyone, including the names and addresses of trants and designated points of contact.
*2	2. Which of the following describes you? (Select ALL that apply)
	I do not use or provide Whois data.
	I input registration data to be provided by Whois.
	I collect, store, or relay registration data to be provided by Whois.
	I use registration data requested from Whois.

5. RDS Risk Survey - User/Provider Roles

Heli	o us understand your role in using or providing domain name registration data.
	Vhich best describes you as a Whois data PROVIDER? lect ALL that apply; Click each answer to <u>view definitions</u>)
	Natural Person (Individual) Registrant
_	
	Legal Person (Business) Registrant
	Proxy Service Provider
	Protected Registrant
	Domain Name Registrar
	Domain Name Registry
	Third-Party Whois Data Access Provider
	Other (please specify)
4. V	Vhich best describes you as a Whois data USER?
	lect ALL that apply; Click each answer to <u>view definitions</u>)
	Natural Person (Individual) Registrant
	Legal Person (Business) Registrant
	Proxy Service Provider
	Protected Registrant
	Internet Technical Staff
	On-Line Service Provider
	Individual Internet User
	Business Internet User
	Internet Researcher
	Intellectual Property Owner
	Law Enforcement Agency
	Operations/Security Incident Investigator
	Other Investigator
	Other (please specify)

6. RDS Risk Survey - Overview

The rest of this survey seeks input on potential risks and benefits associated with the EWG's recommended RDS, should ICANN choose to implement such a system to replace Whois.

The next few pages will ask questions about possible risks and benefits that could result from RDS implementation, organized into the following categories:

- Technical: Changes to processes that use or provide registration data today,
- Legal or Financial: Changes to legal considerations and costs associated with registration data,
- Operational: Changes in speed of access to or availability of registration data, and
- Security or Privacy: Changes that could affect the privacy of domain name registration data.

Throughout, you will be asked to flag the risks and benefits that are most important to you. At the end, you will have a chance to suggest ways to mitigate top risks or increase top benefits.

If you are unfamiliar with the proposed RDS, you may learn more before continuing by:

- WATCHING this short introductory video,
- LISTENING to this longer presentation,
- EXPLORING these FAQs, or
- READING the EWG's Initial Report and Status Update Report

Please answer questions that apply to YOUR OWN provision and/or use of registration data.

Skip any questions that do not apply to you or that you prefer not to answer.

7. RDS Risk Survey - Technical Risks

Please think about potential **Negative Technical Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Technical Risks that potentially impact YOU.
- Select TWO (2) risks that could have the biggest impact on you.
- Select TWO (2) risks mostly likely to occur.
- Select ANY newly-introduced RDS risk that is not already a known Whois risk.

You are encourag	ged to add to '	tnese exampies by	/ aescribing	otner risks usi	ng rows t-n.

hange. I might no longer have anonymous public access to all egistration data. Accreditation for access to gated data might be urdensome. I might need to change user interfaces for registration ata entry or access. I might need to update software that handles egistration data. Other Technical Risk (describe below) Other Technical Risk (describe below)		Might impact you?	Two most impactful?	Two most likely?	New with RDS?
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Juright need to change user interfaces for registration	e) I might no longer have anonymous public access to all egistration data.				
data entry or access. e) I might need to update software that handles egistration data. f) Other Technical Risk (describe below) g) Other Technical Risk (describe below) h) Other Technical Risk (describe below) c) If you added Other Technical Risks above, please briefly describe them below.					
Other Technical Risk (describe below)					
g) Other Technical Risk (describe below) n) Other Technical Risk (describe below) 5. If you added Other Technical Risks above, please briefly describe them below.					
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8. RDS Risk Survey - Technical Benefits

Please think about potential **Positive Technical Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Technical Benefits that potentially impact YOU.
- Select TWO (2) benefits that could have the biggest impact on you.
- Select TWO (2) benefits mostly likely to occur.
- Select ANY newly-introduced RDS benefit that is not already a known Whois benefit.

Procurate. Access to registration data might be more uniform and possistent. I might have better access to gated data that I really edd. I might no longer be required to provide port 43 public hois access. Other Technical Benefit (describe below) Other Technical Benefit (describe below)	Procurate. Access to registration data might be more uniform and possistent. I might have better access to gated data that I really edd. I might no longer be required to provide port 43 public hois access. Other Technical Benefit (describe below) Other Technical Benefit (describe below)		Two most impactful?	Two most likely?	New with RDS
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9. RDS Risk Survey - Legal and Financial Risks

Please think about potential **Negative Legal and Financial Impacts** that the RDS could have on your use or provision of registration data...

- Select ALL Legal and Financial Risks that potentially impact YOU.
- Select TWO (2) risks that could have the biggest impact on you.
- Select TWO (2) risks mostly likely to occur.
- Select ANY newly-introduced RDS risk that is not already a known Whois risk.

You are encouraged to add to these	examples by	describing oth	er risks usin <mark>ç</mark>	g rows h-j.
	Might impact you?	Two most impactful?	Two most likely?	New with RDS?
a. I might have difficulty complying with my local data privacy laws.				
b. The amount of registration data that is freely available to all might decrease.				
c. RDS access logging or notification might compromise active investigations.				
d. I might have to consent to centralized access or storage to register a domain.				
e. My total cost for obtaining registration data might increase.				
f. I might have difficulty complying with legitimate law enforcement requests.				
g. Without public access to all data, I might make less value-added services profit.				
h. Other Legal/Financial Risk (describe below)				
i. Other Legal/Financial Risk (describe below)				
j. Other Legal/Financial Risk (describe below)				
10. If you added Other Legal or Finan	ıcial Risks ab	ove, please bri	efly describe	them below
h)				
i)				
j)				

10. RDS Risk Survey - Legal and Financial Benefits

Please think about potential **Positive Legal and Financial Impacts** that the RDS could have on your use or provision of registration data...

- Select ALL Legal and Financial Benefits that potentially impact YOU.
- Select TWO (2) benefits that could have the biggest impact on you.
- Select TWO (2) benefits mostly likely to occur.
- Select ANY newly-introduced RDS benefit that is not already a known Whois benefit.

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Other Legal/Financial Benefit (describe below) Other Legal/Financial Benefit (describe below)	
2. If you added Other Legal or Financial Benefits above, please briefly descri	
elow.	be them

11. RDS Title Survey - Operational Risks

Please think about potential **Negative Operational Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Operational Risks that potentially impact YOU.
- Select TWO (2) risks that could have the biggest impact on you.
- Select TWO (2) risks mostly likely to occur.
- Select ANY newly-introduced RDS risk that is not already a known Whois risk.

ou are encouraged to add to these	examples by	describing oth	er risks using	g rows e-g.
	Might impact you?	Two most impactful?	Two most likely?	New with RDS?
My access to registration data might be impeded by RDS failure.	П			
b. My access to registration data might be slowed by RDS bottlenecks.				
c. My access to gated data might be delayed by slow accreditation.				
d. RDS-returned registration data might not be sychronized with recent updates.				
e. Other Operational Risk (describe below)				
f. Other Operational Risk (describe below)				
g. Other Operational Risk (describe below)				
14. If you added Other Operational R	isks above, p	lease briefly de	escribe them	below.

12. RDS Risk Survey - Operational Benefits

Please think about potential **Positive Operational Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Operational Benefits that potentially impact YOU.
- Select TWO (2) benefits that could have the biggest impact on you.
- Select TWO (2) benefits mostly likely to occur.
- Select ANY newly-introduced RDS benefit that is not already a known Whois benefit.

You are encouraged to add to these	examples by	describing oth	er risks using	g rows e-g.
	Might impact you?	Two most impactful?	Two most likely?	New with RDS?
a. I might have more reliable high-speed access to registration data.	П			
b. RDS response time might be more uniform and predictable than Whois.				
c. Real-time authenticated access to gated data may be faster than today.				
d. Relay and reveal responses from accredited Proxies may be shorter.				
e. Other Operational Benefits (describe below)				
f. Other Operational Benefits (describe below)				
g. Other Operational Benefits (describe below)				
16. If you added Other Operational Bo	enefits above	, please briefly	describe the	m below.
e)				
7)		-		

13. RDS Risk Survey - Security and Privacy Risks

Please think about potential **Negative Security and Privacy Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Security and Privacy Risks that potentially impact YOU.
- Select TWO (2) risks that could have the biggest impact on you.
- Select TWO (2) risks mostly likely to occur.
- Select ANY newly-introduced RDS risk that is not already a known Whois risk.

You are encouraged to add to these	examples by	describing oth	er risks using	g rows h-j.
· ·		Two most impactful?	Two most likely?	New with RDS?
a. My registration data might be misused by the RDS operator.				
b. My registration data might be more vulnerable to external attack.				
c. My registration data might be more accessible to law enforcement.				
d. I might have to supply a valid email address to register a gTLD domain.				
e. I might have to supply a valid phone number to register a gTLD domain.				
f. I might have to supply a verifiable identity to register a gTLD domain.				
g. I might have to declare that I am a legal or natural person to register a gTLD domain.				
h. Other Security/Privacy Risk (describe below)				
i. Other Security/Privacy Risk (describe below)				
j. Other Security/Privacy Risk (describe below)				
18. If you added Other Security or Pr	ivacy Risks a	bove, please bi	riefly describ	e them
below.				
n)				
)				
)				

14. RDS Risk Survey - Security and Privacy Benefits

Please think about potential **Positive Security and Privacy Impacts** that the RDS could have on the way that YOU use or provide registration data...

- Select ALL Security and Privacy Benefits that potentially impact YOU.
- Select TWO (2) benefits that could have the biggest impact on you.
- Select TWO (2) benefits mostly likely to occur.
- Select ANY newly-introduced RDS benefit that is not already a known Whois benefit.

	Might impact you?	Two most impactful?	Two most likely?	New with RDS?
. My registration data might be better protected against nisuse.				
. My registration data might be more uniformly secured.				
. Gated access may deter unlawful access to high-risk egistration data.				
. Less of my registration data might be public and nonymously available.				
. I might publish a reusable Contact ID instead of my ame.				
I might be able to register a domain using a Secure rotected Credential.				
. Other Security/Privacy Benefit (describe below)				
Other Security/Privacy Benefit (describe below)				
Other Security/Privacy Benefit (describe below)				
0. If you added Other Security or Pri elow.	vacy Benefit	s above, please	e briefly desc	ribe them

RDS Risk Survey	
15. RDS Risk Survey - Strategies for Risk Mitigation	
Finally, think about the risks and benefits that you consider the most likely and most impactful .	
If desired, use the "Previous" button to review your answers before continuing.	
21. If you consider any top RDS risks unavoidable, please tell us why:	
▼	
22. If you consider any top RDS risks acceptable, please tell us why:	
v	

S Risk Surve				
. If you think any	y top RDS risks ca	n be shifted or i	reduced, please e	xplain how:
				~
If you consider	any risks to be a	good trade for b	enefits gained, pl	ease tell us why:
-			, , , , , , , , , , , , , , , , , , ,	_
				v
D b	f 4b		- d	
Do you nave ar	ly further comme	nts to neip us ui	nderstand your to	p risks and benefits

16. RDS Risk Survey - Does Not Apply

101 KDO KISK Outvey - Does Not Apply
Thank you for your interest; the questions posed by this survey do not appear to apply to you.
If you feel that you have reached this page in error, you may click "Previous" to modify your responses. Otherwise, please click "Next" to exit this survey.
We invite you to visit the EWG's Public Research Page in 3Q14 to view published survey results.

17. RDS Risk Survey - Conclusion